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RECORDATION FORM COVER SHEET

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To the Director of the United States Patent and Trademark Office: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):
Edwards Lifesciences AG

2. Name and address of receiving party(ies):

Name: Edwards Lifesciences Corporation

Internal Address: Law Department

Street Address: One Edwards Way

City: Irvine State: CA ZIP: 92614

Additional name(s) & address(es) attached? ☐ Yes ☒ No

Additional names(s) of conveying party(ies) ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: 9/22/03

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

09/670,082

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: John Christopher James

Internal Address: EDWARDS LIFESCIENCES LLC

Law Department

Street Address: One Edwards Way

City: Irvine State: CA ZIP: 92614

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☒ Authorized to be charged to deposit account

8. Deposit account number:

50-1225

(Attach duplicate copy of this page if paying by deposit account)

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

John Christopher James Reg. No. 40,660

Name of Person Signing

Signature

October 8, 2003

Date

Total number of pages including cover sheet, attachments, and document: 3

Customer No. 30452

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Mail documents to be recorded with required cover sheet information to:
Mail Stop Assignment Recordation Services
Director of the United States Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450

PATENT

REEL: 014034 FRAME: 0943

CH \$40.00 501225 09670082

ASSIGNMENT

WHEREAS, Edwards Lifesciences AG, a limited liability company incorporated, organized and existing under the laws of Switzerland, having a principal place of business at Au Glapin, 1162 Saint Prex, Switzerland ("Assignor"), is the owner of the entire right, title and interest in DEVICE AND A METHOD FOR TREATMENT OF ATRIOVENTRICULAR, the application for Letters Patent of the United States which was filed on September 26, 2000, as U.S. Patent Application Serial No. 09/670,082, and

WHEREAS, Edwards Lifesciences Corporation, a Delaware corporation, having a place of business at One Edwards Way, Irvine, California 92614 ("Assignee"), is desirous of obtaining the entire right, title, and interest in, to and under the aforesaid application.

NOW, THEREFORE, effective September 22, 2003, in consideration of one dollar (\$1.00) and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, Assignor has transferred, assigned, and set over and by these presents does hereby transfer, assign, and set over to Assignee, for its own use and benefit, and for the use and benefit of its successors, legal representatives, and assigns, all of the following:

- (a) the entire right, title and interest in the patent application mentioned above, the inventions and improvements described therein, any divisionals, continuations and continuations-in-part thereof, any patent applications claiming priority therefrom, any patents that may be granted thereon, any rights of priority, and any reissues, reexaminations and extensions thereof;
- (b) the entire right, title, and interest in said inventions or improvements for any and all foreign countries, including any foreign patent applications and patents claiming priority therefrom, any continuations, divisionals, and continuations-in-part thereof, any patent applications claiming priority therefrom, any patents which may be granted thereon, any reissues, reexaminations, extensions thereof, and any rights of priority for patent and utility model applications in all countries arising under any applicable international convention for the protection of industrial property and/or any internal priority legislation of such countries; and
- (c) the entire right, title, and interest in all claims for damages and all remedies arising out of any past, present, and future infringement or violation of any of said Letters Patents, with the right to sue for, and collect the same for its own use and benefit, and for the use and benefit of its successors, assigns or other legal representatives.

Assignor hereby authorizes and requests the Commissioner of Patents and Trademarks of the United States, and any official of any country or countries foreign to the United States whose duty it is to issue patents or other evidence or forms of industrial property protection, to issue the same to EDWARDS LIFESCIENCES CORPORATION in accordance with the terms of this instrument.

Assignor warrants that it is the true and lawful owner of the entire right, title and interest herein assigned to Assignee and that it has the right to make this assignment; and further warrants that there are no outstanding prior assignments in the interest herein assigned.

For said consideration, Assignor hereby agrees, upon the request and at the expense of said Assignee, its successors, legal representatives and assigns, to execute any and all divisional, continuation, and continuation-in-part applications for said inventions or improvements, and any necessary oath or supplemental oath or affidavit relating thereto, and any applications for the reissue, reexamination or extension of any of said Letters Patents that Assignee, its successors, legal representatives and assigns may deem necessary or expedient. Assignor further agrees upon the request of Assignee, its successors, legal representatives and assigns to execute any and all documents that shall be required to be executed in connection with any and all applications for foreign Letters Patents therefor, including the prosecution thereof, and to execute any and all documents necessary to vest title in said foreign applications and patents in said Assignee.

For said consideration, Assignor further agrees, upon the request of said Assignee, its successors, legal representatives and assigns, in the event any of said applications or any continuations, divisionals, continuations-in-part thereof, or Letters Patents issued thereon, or any reexamination or reissue or any applications for the reissue or reexamination thereof become involved in an interference, to cooperate to the best of its ability with Assignee, its successors, legal representatives and assigns in the matters of preparing and executing the preliminary statement and giving and producing evidence in support thereof. Assignor further agrees to perform, upon such request, any and all affirmative acts to obtain Letters Patents, and vest all rights therein in Assignee, its successors, legal representatives and assigns whereby said Letters Patents will be held and enjoyed by Assignee, its successors, legal representatives and assigns to the end of the term for which said Letters Patents may be granted as fully and entirely as the same would have been held and enjoyed by Assignor if this assignment and transfer had not been made.

IN WITNESS WHEREOF, a duly authorized officer of Assignor has executed this Agreement this 22 day of September, 2003.

Edwards Lifesciences AG,
a Swiss corporation

By: _____


Peter Wiget, President