

05-12-2003

FICE

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APPENDIX B

DOCKET NO. 210\_596



102444791

Form PTO 1595

U.S. Department of Commerce

# RECORDATION FORM COVER SHEET PATENTS ONLY

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents on hand thereof.

1. Name of conveying party(ies) S-S-03  
Peter R. Bushnell, Robert C. Reimann,  
Christopher Repice, Mark Colton, Xuqiang Liao,  
Gawain Mundy, Stephen Stopyra, Belin  
Czechowicz, Suresh Duraisamy, Timothy  
Campbell and Dennis Stone

2. Name and address of receiving party(ies):  
Name: Carrier Corporation  
Address: Carrier World Headquarters  
One Carrier Place, Farmington CT 06034

Additional name(s) attached? ☒ No

Additional name(s) of conveying parties attached Yes ☒ No

3. Nature of Conveyance:

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other

Execution Date May 2, 2003

4. Application number(s) or patent number(s)

10429388

If this document is being filed together with a new application, the execution date of this application is May 2, 2003.

A. Patent Application No.(s)

B. Patent No.(s)

Additional Numbers attached? ☒ No

5. Name and address of party to whom correspondence concerning this document should be mailed:

Name: Dana F. Bigelow

Address: WALL MARJAMA & BILINSKI LLP  
101 South Salina Street, Suite 400  
Syracuse, NY 13202  
Telephone: (315) 425-9000  
Facsimile: (315) 425-9114

6. Total number of application and patents involved: 1

7. Total fee (37 CFR 3.4) \$40.00

☒ Enclosed  
☒ Authorized to charge any deficiency or credit any over payment to  
Deposit Account No. 03-0835.

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8. Statement and Signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Dana F. Bigelow  
Reg. No. 26,441

May 5, 2003

Date

Total Number of Pages Comprising Cover Sheet 4

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10429388

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PATENT

REEL: 014039 FRAME: 0251

10/429388  
JC997 U.S. PTO  
05/06/03

## JOINT ASSIGNMENT

WE, Peter R. Bushnell, Robert C. Reimann, Christopher Repice, Mark Colton, Xuqiang Liao, Gawain Mundy, Stephen Stopyra, Belin Czechowicz, Suresh Duraisamy, Timothy Campbell and Dennis Stone, believe that we are the inventors of the subject matter disclosed in the application for patent (hereinafter "the invention or inventions") identified herein as

### UNIBODY MODULAR BUS AIR CONDITIONER

and further identified by Attorney Docket Number 210\_596.

- ☒ for which the undersigned have executed an application for patent in the United States of America on May 2, 2003 and transmitted herewith.
- ☐ which application for patent was filed on \_\_\_\_\_ and has been assigned Serial No. \_\_\_\_\_ by the United States Patent and Trademark Office.

In consideration of the sum of One Dollar (\$1.00) and other good and valuable consideration paid to each of the undersigned, the receipt and sufficiency of which is hereby acknowledged, each of the undersigned agrees to assign, and hereby does assign, transfer and set over to Carrier Corporation, a corporation incorporated in the State of Delaware, and having a principal place of business at Carrier World Headquarters, One Carrier Place, Farmington CT 06034, (hereinafter designated as the Assignee) his or her entire right, title and interest in the invention or inventions as represented by the application for patent identified herein (the "application") in the United States, its territories, dependencies and possessions, his or her entire right, title, and interest in the application, and his or her entire right, title and interest in the invention or inventions as regards all other national jurisdictions and international entities that have the power to grant patents, wherever situated, including without limitation all applications for patent which claim priority to and/or the benefit of the subject matter disclosed in the application for patent identified herein, including any provisional application, utility application, design application, and/or plant application, as well as any continuation application, divisional application, continuation-in-part application, reissue application, reexamination application, patent interference proceeding, foreign national application and/or any application filed or to be filed under a patent treaty such as the International Convention for Protection of Industrial Property and/or the Patent Cooperation Treaty, and any Letters Patent which may be granted therefor in any jurisdiction.

In addition to the above assignment, each of the undersigned agrees to execute all papers necessary in connection with the application and any continuing applications, divisional applications, or continuations-in-part thereof, and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient.

Each of the undersigned agrees to execute all papers necessary in connection with any interference which may be declared concerning this application or continuation or division thereof and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with such interference.

Each of the undersigned agrees to execute all papers and documents and perform any act which may be necessary in connection with claims or provisions of the International Convention for Protection of Industrial Property or similar agreements.

Each of the undersigned agrees to perform all affirmative acts which may be necessary to obtain a grant of a valid United States Patent to the Assignee.

Each of the undersigned hereby authorizes and requests the Commissioner of Patents to issue any and all Letters Patents of the United States resulting from said application or any divisions or continuing applications thereof to the said Assignee, as Assignee of the entire interest, and hereby covenants that he or she has full right to convey the entire interest herein assigned, and that he or she has not executed and will not execute, any agreement in conflict herewith.

Each of the undersigned hereby grants to any duly empowered legal representative, including specifically Thomas J. Wall, Owen D. Marjama, Peter J. Bilinski, George S. Blasiak, Robert E. Purcell, Daniel P. Malley, Dana F. Bigelow, Indranil Mukerji, Joseph B. Milstein and Eric M. Smith of WALL MARJAMA & BILINSKI LLP, the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office or with the rules of any other national or international Patent Office for recordation of this document or a true copy thereof.

In witness whereof, this instrument has been executed by the undersigned on the date opposite the respective name of the undersigned.

This assignment should preferably be signed before: (a) a Notary Public if within the U.S.A. or (b) a U.S. Consul if outside the U.S.A. If neither, then it should be signed before at least two witnesses.

Date May 2, 2003

Signature Peter R. Bushnell (SEAL)  
Peter R. Bushnell

Date 5/2/03

Notary/Witness Jenny L. Berube

Date \_\_\_\_\_

Notary/Witness \_\_\_\_\_

Date May 2, 2003

Signature Robert C. Reimann (SEAL)  
Robert C. Reimann

Date 5/2/03

Notary/Witness Jenny L. Berube

Date \_\_\_\_\_

Notary/Witness \_\_\_\_\_

Date May 2, 2003

Signature Christopher Repice (SEAL)  
Christopher Repice

Date 5/2/03

Notary/Witness Jenny L. Berube

Date \_\_\_\_\_

Notary/Witness \_\_\_\_\_

Date MAY 2, 2003

Signature Mark Colton (SEAL)  
Mark Colton

Date 5/2/03

Notary/Witness Jenny L. Berube

Date \_\_\_\_\_

Notary/Witness \_\_\_\_\_

JENNY L. BERUBE  
Notary Public, State of New York  
Qualified in Onon. Co. No. 01BE6040019  
My Commission Expires: 4/17/06

Date MAY, 2, 2003

Signature Xuqiang Liao (SEAL)  
Xuqiang Liao

Date 5/2/03

Notary/Witness Jenny L. Berube

Date \_\_\_\_\_

Notary/Witness \_\_\_\_\_

Date MAY 2, 2003

Signature Gawan Mundy (SEAL)  
Gawan Mundy

Date 5/2/03

Notary/Witness Jenny L. Berube

Date \_\_\_\_\_

Notary/Witness \_\_\_\_\_

Date May 2, 2003

Signature Stephen Storyra (SEAL)  
Stephen Storyra

Date 5/2/03

Notary/Witness Jenny L. Berube

Date \_\_\_\_\_

Notary/Witness \_\_\_\_\_

Date May 02, 2003

Signature Belin Czechowicz (SEAL)  
Belin Czechowicz

Date 5/2/03

Notary/Witness Jenny L. Berube

Date \_\_\_\_\_

Notary/Witness \_\_\_\_\_

Date MAY 02, 2003

Signature Suresh Duraisamy (SEAL)  
Suresh Duraisamy

Date 5/2/03

Notary/Witness Jenny L. Berube

Date \_\_\_\_\_

Notary/Witness \_\_\_\_\_

Date May 02, 2003

Signature Timothy Campbell (SEAL)  
Timothy Campbell

Date 5/2/03

Notary/Witness Jenny L. Berube

Date \_\_\_\_\_

Notary/Witness \_\_\_\_\_

Date May 02, 2003

Signature Dennis Stone (SEAL)  
Dennis Stone

Date 5/2/03

Notary/Witness Jenny L. Berube

Date \_\_\_\_\_

Notary/Witness \_\_\_\_\_

JENNY L. BERUBE  
Notary Public, State of New York  
Qualified in Onon. Co. No. 01BE6040019  
My Commission Expires: 4/11/06