

05-09-2003

FORM PTO-1595
(Rev. 6-93)
OMI No. 0651-0011 (exp. 4/94)

RECOI



102445229

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

DOCKET - 131.02USU1

OFFICE OF PUBLIC RECORDS

2003 MAY -6 PM 2:27

To the Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies) **FINANCE SECTION** 2. Name and address of receiving party(ies):

- (1) James G. McArthur
(2) Dale John Talbot
(3) Andrew D. Simmons
(4) Ryan McGuinness
(5) Michael Kelly
(6) Lisa V. Tsui
(7) Thomas Dull

Cell Genesys, Inc.
342 Lakeside Drive
Foster City, California 94404

S. L. U3

Additional name(s) of conveying party(ies) attached? ☒ NoAdditional name(s) & address(es) attached? ☒ No

3. Nature of conveyance:

- ☒ Other: Corrective Assignment to add seventh inventor
Thomas Dull to Reel 013507/Frame 0238.

Execution Date: (1) June 13, 2002, (2) June 13, 2002, (3) June 12, 2002, (4) June 13, 2002, (5) June 24, 2002, (6) June 17, 2002, and
(7) June 12, 2002

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)
0/145,289, filed May 14, 2002

B. Patent No.(s)

Additional numbers attached? ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Karen S. Canady
Address: GATES & COOPER LLP
6701 Center Drive West, Suite 1050
Los Angeles, CA 90045

6. Total number of applications and patents involved: One

7. Total fee (37 CFR 3.41): \$40

☒ Assignment recordation fee is to be charged to Deposit
Account No. 50-0494.

8. Please charge any additional fees or credit any
overpayments to Deposit Account No. 50-0494.

DO NOT USE THIS SPACE

9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the
original document.

Karen S. Canady
Name of Person Signing

Signature

May 1, 2003

Date

Total number of pages including cover sheet, attachments, and document: Nine

5/08/03 DBYRNE 00000039 500494 10145289

Do not detach this portion

1. FCE 0021 documents to be recorded with required cover sheet information to:

Mail Stop: Assignment Recordation Services
Director of the U.S. Patent and Trademark Office
P. O. Box 1450
Alexandria, VA 22313-1450

Public burden reporting for this sample cover sheet is estimated to average about 30 minutes per document to be recorded, including time for reviewing the
document and gathering the data needed, and completing and reviewing the sample cover sheet. Send comments regarding this burden estimate to the U.S.
Patent and Trademark Office, Office of Information Systems, PK2-1000C, Washington, D.C. 20231, and to the Office of Management and Budget, Paperwork
Reduction Project (0651-0011), Washington, D.C. 20503.

PATENT
REEL: 014040 FRAME: 0199

11-25-2002



102291662

COPY

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

Docket-G&C 131.2-US-01

To the Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

- (1) James G. McArthur
- (2) Dale John Talbot
- (3) Andrew D. Simmons
- (4) Ryan McGuinness
- (5) Michael Kelly
- (6) Lisa V. Tsui

11-19-02

2. Name and address of receiving party(ies):

Cell Genesys, Inc.
342 Lakeside Drive
Foster City, California 94404

Additional name(s) of conveying party(ies) attached? ☒ No

Additional name(s) & address(es) attached? ☒ Yes

3. Nature of conveyance:

☒ **Corrective Assignment Recordation Cover Sheet**
(REEL/FRAME: 013214/0493)

Execution Date: (1) June 13, 2002, (2) June 13, 2002, (3) June 12, 2002, (4) June 13, 2002, (5) June 24, 2002, (6) June 17, 2002, and (7) June 12, 2002

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: N/A

A. Patent Application No.(s)

B. Patent No.(s)

10/145,289 filed May 14, 2002

Additional numbers attached? ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Karen S. Canady
Address: GATES & COOPER LLP
6701 Center Drive West, Suite 1050
Los Angeles, CA 90045

6. Total number of applications and patents involved: One

7. Total fee (37 CFR 3.41): \$40

☒ Assignment recordation fee is to be charged to a Credit Card. PTO-2038 Credit Card Payment Form is enclosed.

8. Please charge any additional fees or credit any overpayments to Deposit Account No. 50-0494.

DO NOT USE THIS SPACE

9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Karen S. Canady

Name of Person Signing

Signature

November 14, 2002

Date

Total number of pages including cover sheet, attachments, and document: One

Do not detach this portion

Mail documents to be recorded with required cover sheet information to:

11/25/2002 LMUELLER 00000025 10145289

01 FC:0021

40.00 DP

Director - United States Patent and Trademark Office
Box Assignment
Washington, D.C. 20231

Public burden reporting for this sample cover sheet is estimated to average about 30 minutes per document to be recorded, including time for reviewing the document and gathering the data needed, and completing and reviewing the sample cover sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Office of information systems, PK2-1000C, Washington, D.C. 20231, and to the Office of Management and Budget, Paperwork Reduction Project (0651-0011), Washington, D.C. 20503.

PATENT
REEL: 014040 FRAME: 0200

ASSIGNMENT

WHEREAS, James G. McArthur, Dale John Talbot, Andrew D. Simmons, Ryan McGuinness, Michael Kelly, Lisa V. Tsui, and Thomas Dull (hereinafter "Assignor(s)"), made certain new and useful inventions and improvements which are entitled LENTIVIRAL VECTORS ENCODING CLOTTING FACTORS FOR GENE THERAPY and which are disclosed in:

☒ U.S. Application Serial No. 10/145,289, filed on May 14, 2002

AND WHEREAS, Cell Genesys, Inc., a corporation organized and existing under and by virtue of the laws of the State of Delaware, and having an office and place of business at 342 Lakeside Drive, Foster City, California 94404 (hereinafter "Assignee") is desirous of acquiring the entire right, title and interest in and to said inventions, improvements and application and in and to the Letters Patent to be obtained therefor;

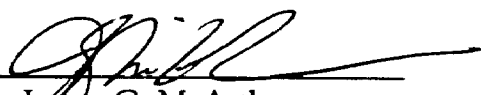
NOW THEREFORE, to all whom it may concern, be it known that for and in consideration of the sum of One Dollar and other good and valuable considerations, the receipt and sufficiency whereof is hereby acknowledged, Assignor(s) have sold, assigned, and transferred, and by these presents do sell, assign and transfer unto said Assignee, its successors or assigns, the entire right, title and interest for all countries in and to all inventions and improvements disclosed in the aforesaid application, and in and to the application, all divisions, continuations, or renewals thereof, all Letters Patent which may be granted therefrom, and all reissues or extensions of such patents, and in and to any and all applications which have been or shall be filed in any foreign countries for Letters Patent on the inventions and improvements, including an assignment of all rights under the provisions of the International Convention, and all Letters Patent of foreign countries which may be granted therefrom; and do hereby authorize and request the Commissioner for Patents to issue any and all United States Letters Patent for the aforesaid inventions and improvements to the Assignee as the assignee of the entire right, title and interest in and to the same, for the use of the Assignee, its successors and assigns.

AND, for the consideration aforesaid, Assignor(s) do hereby agree that they and their executors and legal representatives will make, execute and deliver any and all other instruments in writing including any and all further application papers, affidavits, assignments and other documents, and will communicate to said Assignee, its successors and representatives all facts known to Assignor(s) relating to said improvements and the history thereof and will testify in all legal

proceedings and generally do all things which may be necessary or desirable more effectually to secure to and vest in said Assignee, its successors or assigns the entire right, title and interest in and to the improvements, inventions, applications, Letters Patent, rights, titles, benefits, privileges and advantages hereby sold, assigned and conveyed, or intended so to be.

AND, furthermore Assignor(s) covenant and agree with said Assignee, its successors and assigns, that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by Assignor(s) and that full right to convey the same as herein expressed is possessed by Assignor(s). An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

Date: 4/13/02

Signature: 
Name: James G. McArthur

Date: _____

Signature: _____
Name: Dale John Talbot

Date: _____

Signature: _____
Name: Andrew D. Simmons

Date: _____

Signature: _____
Name: Ryan McGuinness

Date: _____

Signature: _____
Name: Michael Kelly

Date: _____

Signature: _____
Name: Lisa V. Tsui

Date: _____

Signature: _____
Name: Thomas Dull

G&C 131.2-US-U1

(G&C 131.2-US-U1)

proceedings and generally do all things which may be necessary or desirable more effectually to secure to and vest in said Assignee, its successors or assigns the entire right, title and interest in and to the improvements, inventions, applications, Letters Patent, rights, titles, benefits, privileges and advantages hereby sold, assigned and conveyed, or intended so to be.

AND, furthermore Assignor(s) covenant and agree with said Assignee, its successors and assigns, that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by Assignor(s) and that full right to convey the same as herein expressed is possessed by Assignor(s). An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

Date: _____

Signature: _____

Name: James G. McArthur

Date: June 13, 2002

Signature: Dale John Talbot

Name: Dale John Talbot

Date: _____

Signature: _____

Name: Andrew D. Simmons

Date: _____

Signature: _____

Name: Ryan McGuinness

Date: _____

Signature: _____

Name: Michael Kelly

Date: _____

Signature: _____

Name: Lisa V. Tsui

Date: _____

Signature: _____

Name: Thomas Dull

G&C 131.2-US-U1

(G&C 131.2-US-U1)

proceedings and generally do all things which may be necessary or desirable more effectually to secure to and vest in said Assignee, its successors or assigns the entire right, title and interest in and to the improvements, inventions, applications, Letters Patent, rights, titles, benefits, privileges and advantages hereby sold, assigned and conveyed, or intended so to be.

AND, furthermore Assignor(s) covenant and agree with said Assignee, its successors and assigns, that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by Assignor(s) and that full right to convey the same as herein expressed is possessed by Assignor(s). An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

Date: _____

Signature: _____
Name: James G. McArthur

Date: _____

Signature: _____
Name: Dale John Talbot

Date: 6/12/02

Signature: Andrew D. Simmons
Name: Andrew D. Simmons

Date: _____

Signature: _____
Name: Ryan McGuinness

Date: _____

Signature: _____
Name: Michael Kelly

Date: _____

Signature: _____
Name: Lisa V. Tsui

Date: _____

Signature: _____
Name: Thomas Dull

G&C 131.2-US-U1

(G&C 131.2-US-U1)

proceedings and generally do all things which may be necessary or desirable more effectually to secure to and vest in said Assignee, its successors or assigns the entire right, title and interest in and to the improvements, inventions, applications, Letters Patent, rights, titles, benefits, privileges and advantages hereby sold, assigned and conveyed, or intended so to be.

AND, furthermore Assignor(s) covenant and agree with said Assignee, its successors and assigns, that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by Assignor(s) and that full right to convey the same as herein expressed is possessed by Assignor(s). An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

Date: _____

Signature: _____
Name: James G. McArthur

Date: _____

Signature: _____
Name: Dale John Talbot

Date: _____

Signature: _____
Name: Andrew D. Simmons

Date: 6/13/02

Signature: _____
Name: Ryan McGuinness

Date: _____

Signature: _____
Name: Michael Kelly

Date: _____

Signature: _____
Name: Lisa V. Tsui

Date: _____

Signature: _____
Name: Thomas Dull

G&C 131.2-US-U1

(G&C 131.2-US-U1)

proceedings and generally do all things which may be necessary or desirable more effectually to secure to and vest in said Assignee, its successors or assigns the entire right, title and interest in and to the improvements, inventions, applications, Letters Patent, rights, titles, benefits, privileges and advantages hereby sold, assigned and conveyed, or intended so to be.

AND, furthermore Assignor(s) covenant and agree with said Assignee, its successors and assigns, that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by Assignor(s) and that full right to convey the same as herein expressed is possessed by Assignor(s). An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

Date: _____

Signature: _____

Name: James G. McArthur

Date: _____

Signature: _____

Name: Dale John Talbot

Date: _____

Signature: _____

Name: Andrew D. Simmons

Date: _____

Signature: _____

Name: Ryan McGuinness

Date: June 24, 2002

Signature: Michael Kelly

Name: Michael Kelly

Date: _____

Signature: _____

Name: Lisa V. Tsui

Date: _____

Signature: _____

Name: Thomas Dull

G&C 131.2-US-U1

(G&C 131.2-US-U1)

proceedings and generally do all things which may be necessary or desirable more effectually to secure to and vest in said Assignee, its successors or assigns the entire right, title and interest in and to the improvements, inventions, applications, Letters Patent, rights, titles, benefits, privileges and advantages hereby sold, assigned and conveyed, or intended so to be.

AND, furthermore Assignor(s) covenant and agree with said Assignee, its successors and assigns, that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by Assignor(s) and that full right to convey the same as herein expressed is possessed by Assignor(s). An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

Date: _____

Signature: _____

Name: James G. McArthur

Date: _____

Signature: _____

Name: Dale John Talbot

Date: _____

Signature: _____

Name: Andrew D. Simmons

Date: _____

Signature: _____

Name: Ryan McGuinness

Date: _____

Signature: _____

Name: Michael Kelly

Date: 6/17/02

Signature: Lisa V. Tsui

Name: Lisa V. Tsui

Date: _____

Signature: _____

Name: Thomas Dull

G&C 131.2-US-U1

(G&C 131.2-US-U1)

proceedings and generally do all things which may be necessary or desirable more effectually to secure to and vest in said Assignee, its successors or assigns the entire right, title and interest in and to the improvements, inventions, applications, Letters Patent, rights, titles, benefits, privileges and advantages hereby sold, assigned and conveyed, or intended so to be.

AND, furthermore Assignor(s) covenant and agree with said Assignee, its successors and assigns, that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by Assignor(s) and that full right to convey the same as herein expressed is possessed by Assignor(s). An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

Date: _____

Signature: _____
Name: James G. McArthur

Date: _____

Signature: _____
Name: Dale John Talbot

Date: _____

Signature: _____
Name: Andrew D. Simmons

Date: _____

Signature: _____
Name: Ryan McGuinness

Date: _____

Signature: _____
Name: Michael Kelly

Date: _____

Signature: _____
Name: Lisa V. Tsui

Date: 06/12/2002

Signature: Thomas Dull
Name: Thomas Dull

G&C 131.2-US-U1

(G&C 131.2-US-U1)

RECORDED: 05/06/2003

PATENT
REEL: 014040 FRAME: 0208