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PATENTS ONLYU.S. DEPARTMENT OF COMMERCE
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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

ALEXANDER LIFSON
MICHAEL F. TARAS

S-5-03

2. Name and address of receiving party(ies)

Name: CARRIER CORPORATION

Internal Address: P.O. BOX 4800

CARRIER PARKWAY

Street Address:

City: SYRACUSE State: NY Zip: 13221

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:



Assignment



Merger



Security Agreement



Change of Name



Other

Execution Date: 4/28/2003 & 4/30/2003

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: 4/28/03 4/30/03

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: GEORGE A. COURY

Internal Address: SUITE 1201

Street Address: 900 CHAPEL STREET

City: NEW HAVEN State: CT Zip: 06510

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40

☐ Enclosed☒ Authorized to be charged to deposit account
for any deficiencies

8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

George A. Coury

Name of Person Signing

Signature

5/5/2003

Date

Total number of pages including cover sheet, attachments and documents: 3

Mail documents to be recorded with required cover sheet information to:

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Washington, D.C. 20231

05/07/2003 EMAIL1 00000009 10429359

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PATENT
REEL: 014042 FRAME: 0117

ASSIGNMENT

In consideration of One Dollar (\$1.00), and other good and valuable consideration, the receipt of which is hereby acknowledged, I, the undersigned, **Alexander Lifson and Michael F. Taras**, citizens and residents of the United States of America,

Hereby sell, assign and transfer to **Carrier Corporation**, a corporation of **Delaware**, having a place of business at **Carrier Parkway, P.O. Box 4800, Syracuse, NY 13221**,

its successors, assigns and legal representatives, the entire right, title and interest for the United States and all foreign countries, in and to any and all improvements which are disclosed in the application for United States Letters Patent, which has been executed by the undersigned concurrently herewith and is entitled **VAPOR COMPRESSION SYSTEM PERFORMANCE ENHANCEMENT AND DISCHARGE TEMPERATURE REDUCTION IN THE UNLOADED MODE OF OPERATION (Attorney's Docket No. 03-154 /(10,523)**,

and in and to said application and all divisional, continuing, substitute, renewal, reissue, and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of said improvements; and in and to all original and reissued patents which have been or shall be issued in the United States and all foreign countries on said improvements;

Agree that said Assignee may apply for and receive Letters Patent for said improvements in its own name; and that, when requested, without charge to but at the expense of said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said improvements; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns, and representatives, all facts known to the undersigned relating to said improvements and the history thereof; and generally do everything possible which said Assignee, its successors, assigns or representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said improvements and for vesting title to said improvements and all applications for patents and all patents on said improvements, in

said Assignee, its successors, assigns and legal representatives; and Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

(L.S.)

Name: Alexander Lifson

(Date)

4/30/03

(L.S.)

Name: Michael F. Taras

(Date)

04/28/03