

05-14-2003

Form PTO-1595

(Rev. 03/01)

OMB No. 0651-0027 (exp. 5/31/2002)

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U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Robert (NMN) Proctor
James Patrick Dolan
John Christopher Brauer

5-B-03

2. Name and address of receiving party(ies)

Name: General Electric Company

Internal Address: _____

Additional name(s) of conveying party(ies) attached? ☒ Yes ☐ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Street Address: One River Road

City: Schenectady State: NY Zip: 12345

Execution Date: 10/25/2002, 10/31/2002

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

10/25/2002 &

If this document is being filed together with a new application, the execution date of the application is: 10/31/2002

A. Patent Application No.(s) 10/285,891

B. Patent No.(s) _____

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Patrick R. Scanlon

Internal Address: Pierce Atwood

Street Address: One Monument Square

City: Portland State: ME Zip: 04101

6. Total number of applications and patents involved: ☐

7. Total fee (37 CFR 3.41).....\$ 40.00

- ☒ Enclosed (Authorization to charge to deposit account on enclosed form PTO/SB/17)
☐ Authorized to be charged to deposit account

8. Deposit account number:

DO NOT USE THIS SPACE

9. Signature.

Patrick R. Scanlon

Name of Person Signing

Patrick R. Scanlon

Signature

5/16/03

Date

Total number of pages including cover sheet, attachments, and documents: 4

05/14/03 003 EDDPER 00000002 070865 10283891

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Washington, D.C. 20231PATENT
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RECORDATION FORM COVER SHEET
PATENTS ONLY

OMB No. 0651-0027 (exp. 5/31/2002)

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Christopher Charles Glynn
Kenneth Martin Lewis

2. Name and address of receiving party(ies)

Name: General Electric Company

Internal Address: _____

Additional name(s) of conveying party(ies) attached? ☒ Yes ☐ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

10/25/2002 and
10/31/2002

Execution Date: _____

Street Address: One River Road

City: Schenectady State: NY Zip: 12345

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

10/25/2002 and

If this document is being filed together with a new application, the execution date of the application is: 10/31/2002

A. Patent Application No.(s) _____

B. Patent No.(s) _____

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Jonathan M. Hines

Internal Address: Pierce Atwood

Street Address: One Monument Square

City: Portland State: ME Zip: 04101

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

- ☒ Enclosed (Authorization to charge to deposit account on enclosed form PTO/SB/17)
☐ Authorized to be charged to deposit account

8. Deposit account number: _____

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9. Signature.

Jonathan M. Hines

Name of Person Signing

Jonathan M. Hines
Signature

10/31/2002

Date

Total number of pages including cover sheet, attachments, and documents: 4

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

ASSIGNMENT

ATTORNEY DOCKET NO. 13DV-14137

For good and valuable consideration, of which we acknowledge receipt, we,

Robert (nmn) Proctor	of	West Chester, Ohio
James Patrick Dolan	of	Cincinnati, Ohio
John Christopher Brauer	of	Lawrenceburg, Indiana
Christopher Charles Glynn	of	Hamilton, Ohio
Kenneth Martin Lewis	of	Mason, Ohio

respectively, hereby sell, assign and transfer to General Electric Company, (a New York corporation) having an address at One River Road, Schenectady, New York, 12345, its successors and assigns, the entire right, title and interest throughout the world in our invention entitled **Turbine Cooling, Purge, and Sealing System** for which an application for a United States Patent was executed on the dates indicated below, and any and all patent applications and patents of every country for said invention, including divisions, continuing applications, re-examinations, reissues, and extensions thereof, and all rights of priority resulting from the filing of said applications; we authorize the above-named assignee to apply for patents of foreign countries for said invention and to claim all rights of priority without further authorization from us; we agree to execute all papers useful in connection with said United States and foreign applications, and generally to do everything possible to aid said assignee, its successors, assigns and nominees, at their request and expense, in obtaining and enforcing patents for said invention in all countries; and we request any official whose duty it is to issue patents, to issue any and all patents granted for said invention to the above-named assignee, its successors and assigns.

Robert (nmn) Proctor signed the declaration for the above identified patent application on 10/25/2002.

James Patrick Dolan signed the declaration for the above identified patent application on 10/25/2002.

John Christopher Brauer signed the declaration for the above identified patent application on 10/25/2002.

Christopher Charles Glynn signed the declaration for the above identified patent application on 10/31/02.

Kenneth Martin Lewis signed the declaration for the above identified patent application on 10/25/02.

Date: 10/25/2002.

Robert (nmn) Proctor
Robert (nmn) Proctor

State of Ohio
County of Hamilton, ss.



On this 25 day of October, 2002, before me, County aforesaid, personally appeared Robert (nmn) Proctor, known to me to be the individual(s) named in, and who executed, the foregoing instrument, and being first duly sworn, did acknowledge that the so-named individual(s) executed the same as his/her/their own act and deed and as for the purposes therein set forth.

D. Michelle Bischof
Notary Public

Date: 10/25/2002

James Patrick Dolan
James Patrick Dolan

State of Ohio
County of Hamilton, ss.



On this 25 day of October, 2002, before me, County aforesaid, personally appeared James Patrick Dolan, known to me to be the individual(s) named in, and who executed, the foregoing instrument, and being first duly sworn, did acknowledge that the so-named individual(s) executed the same as his/her/their own act and deed and as for the purposes therein set forth.

D. Michelle Bischof
Notary Public, State of Ohio
My Commission Expires May 1, 2003

D. Michelle Bischof
Notary Public

Date: 10/25/02

John Christopher Brauer
John Christopher Brauer

State of Ohio
County of Hamilton, ss.

On this 25 day of October, 2002, before me, County aforesaid, personally appeared John Christopher Brauer known to me to be the individual(s) named in, and who executed, the foregoing instrument, and being first duly sworn, did acknowledge that the so-named individual(s) executed the same as his/her/their free act and deed and as for the purposes therein set forth.



D. MICHELLE BISCHOF
Notary Public, State of Ohio
My Commission Expires May 1, 2003

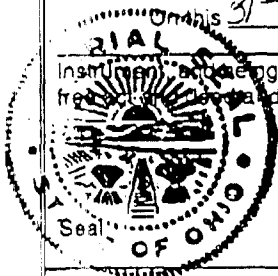
D. Michelle Bischof
Notary Public

Date: 10/25/02

Christopher Charles Glynn
Christopher Charles Glynn

State of Ohio
County of Hamilton, ss.

On this 31st day of October, 2002, before me, County aforesaid, personally appeared _____ known to me to be the individual(s) named in, and who executed, the foregoing instrument, and being first duly sworn, did acknowledge that the so-named individual(s) executed the same as his/her/their free act and deed and as for the purposes therein set forth.



D. MICHELLE BISCHOF
Notary Public, State of Ohio
My Commission Expires May 1, 2003

D. Michelle Bischof
Notary Public

Date: 10/25/02

Kenneth Martin Lewis
Kenneth Martin Lewis

State of Ohio
County of Hamilton, ss.

On this 25 day of October, 2002, before me, County aforesaid, personally appeared Kenneth Martin Lewis known to me to be the individual(s) named in, and who executed, the foregoing instrument, and being first duly sworn, did acknowledge that the so-named individual(s) executed the same as his/her/their free act and deed and as for the purposes therein set forth.



D. MICHELLE BISCHOF
Notary Public, State of Ohio
My Commission Expires May 1, 2003

D. Michelle Bischof
Notary Public