

FORM PTO-1595 (Modified)  
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P06/REV03

## RECORDATION FORM COVER SHEET

U.S. DEPARTMENT OF COMMERCE  
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## PATENTS ONLY

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To the Director of the United States Patent and Trademark Office: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Baiyi Zhao  
Enock Berluche  
Smita Kacker  
Jo Ann M. Canich

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

## 3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date: 8/8/03, 8/12/03, 9/15/03, 10/10/03

## 2. Name and address of receiving party(ies):

Name: ExxonMobil Chemical Patents Inc.

Internal Address:

Street Address: 13501 Katy Freeway

City: Houston State: TX ZIP: 77079

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

10/448,837

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: ExxonMobil Chemical Company

Internal Address: P.O. Box 2149

Street Address:

City: Baytown State: TX ZIP: 77522

## 6. Total number of applications and patents involved:

1

## 7. Total fee (37 CFR 3.41):.....\$ 40.00

☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☒ Authorized to be charged to deposit account

## 8. Deposit account number:

05-1712

(Attach duplicate copy of this page if paying by deposit account)

## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Catherine L. Bell

Name of Person Signing

Signature

October 14, 2003

Date

Total number of pages including cover sheet, attachments, and document:

9

Mail documents to be recorded with required cover sheet information to:

Mail Stop Assignment Recordation Services

Director of the United States Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450

700048179

PATENT  
REEL: 014048 FRAME: 0755

CH \$40.00 051712 10448837

# **ASSIGNMENT**

## **INVENTOR or INVENTORS:**

Baiyi Zhao

Smita Kacker

Enock Berluche

Jo Ann M. Canich

In consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of and/or promise of payment which is hereby acknowledged, the undersigned person(s) named above, herein referred to as UNDERSIGNED, hereby agree(s) as follows:

- 1) UNDERSIGNED hereby assign(s) to ExxonMobil Chemical Patents Inc., a corporation of Delaware, its successors, legal representatives and assigns, herein referred to collectively as ASSIGNEE, the entire right, title and interest, for the United States and all foreign countries, in and to UNDERSIGNED'S invention or improvement and to all patent applications and patents covering same which is described in a patent application entitled

Soluble Late Transition Metal Catalysts for Olefin Oligomerizations III

said application having been executed on the <sup>8th + 12th</sup> ~~15th~~ <sup>10th</sup> day(s) of <sup>August</sup> ~~September~~ <sup>October</sup> 2003, and being further identified as USSN 10/448,837 or Case No. 2002B069A/2; and all rights of priority created by said application under provisions of international conventions or treaties.

- 2) UNDERSIGNED agree(s) upon the request of ASSIGNEE to execute any and all applications for and documents relating to obtaining patents and the prosecution thereof for said invention or improvement, and any continuations of such applications or substitutes therefor or registrations thereof, and any specific separate assignments of any of the above required for recording in the United States Patent and Trademark Office and any foreign patent office that ASSIGNEE may deem necessary or expedient.
- 3) UNDERSIGNED agree(s) that in the event of any application based on said assigned invention or improvement, or patent issued thereon, or any reissue or application for the reissue thereof, becoming involved in priority of invention proceedings, UNDERSIGNED will cooperate with ASSIGNEE to the best of the ability of UNDERSIGNED in the matter of preparing and executing all documents and giving and producing evidence in support thereof.
- 4) UNDERSIGNED agree(s) to perform upon ASSIGNEE'S request any and all affirmative acts to obtain United States and foreign patents covering said invention or improvement and to vest all rights therein hereby conveyed to ASSIGNEE, as fully and as entirely as the same would have been held and enjoyed by UNDERSIGNED if this assignment and sale had not been made.
- 5) UNDERSIGNED hereby authorize(s) ASSIGNEE's attorney to insert at paragraph 1, in this instrument the date(s) on which UNDERSIGNED executed the Declaration for the above-referenced patent application.

IN WITNESS WHEREOF, this assignment has been executed by UNDERSIGNED on the date opposite UNDERSIGNED'S NAME.

DATE \_\_\_\_\_ Baiyi Zhao

\_\_\_\_\_  
Witness

DATE \_\_\_\_\_ Enock Berluche

\_\_\_\_\_  
Witness

DATE \_\_\_\_\_ Smita Kacker

\_\_\_\_\_  
Witness

DATE August 8, 2003 Jo Ann M. Canich Jo Ann M. Canich

Janara McNeill  
Witness

ASSIGNMENT

INVENTOR or INVENTORS:

Baiyi Zhao

Smita Kacker

Enock Berluche

Jo Ann M. Canich

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IN WITNESS WHEREOF, this assignment has been executed by UNDERSIGNED on the date opposite UNDERSIGNED'S NAME.

DATE 09/15/2003 Baiyi Zhao  
Baiyi Zhao

Doug Berg  
Witness

DATE \_\_\_\_\_  
Enock Berluche

\_\_\_\_\_  
Witness

DATE \_\_\_\_\_  
Smita Kacker

\_\_\_\_\_  
Witness

DATE \_\_\_\_\_  
Jo Ann M. Canich

\_\_\_\_\_  
Witness

ASSIGNMENT

INVENTOR or INVENTORS:

Baiyi Zhao

Smita Kacker

Enock Berluche

Jo Ann M. Canich

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Soluble Late Transition Metal Catalysts for Olefin Oligomerizations III

said application having been executed on the <sup>21<sup>st</sup></sup> ~~19<sup>th</sup>~~ <sup>10<sup>th</sup></sup> day(s) of <sup>August</sup> ~~September~~ <sup>October</sup> 2003, and being further identified as USSN 10/448,837 or Case No. 2002B069A/2; and all rights of priority created by said application under provisions of international conventions or treaties.

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IN WITNESS WHEREOF, this assignment has been executed by UNDERSIGNED on the date  
opposite UNDERSIGNED'S NAME.

DATE \_\_\_\_\_  
Baiyi Zhao

\_\_\_\_\_  
Witness

DATE \_\_\_\_\_  
Enock Berluche

\_\_\_\_\_  
Witness

DATE Oct 10, 2003 Smita Kacker  
Smita Kacker

Jamara McNabb  
Witness

DATE \_\_\_\_\_  
Jo Ann M. Canich

\_\_\_\_\_  
Witness

ASSIGNMENT

INVENTOR or INVENTORS:

Baiyi Zhao

Smita Kacker

Enock Berluche

Jo Ann M. Canich

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DATE \_\_\_\_\_ Baiyi Zhao

\_\_\_\_\_  
Witness

DATE 8/12/2003 Enock Berluiche  
Enock Berluiche

Dennis G. Peiffer  
Witness

DATE \_\_\_\_\_ Smita Kacker

\_\_\_\_\_  
Witness

DATE \_\_\_\_\_ Jo Ann M. Canich

\_\_\_\_\_  
Witness