

05-14-2003

Form PTO-1595

(Rev. 03/01)

OMB No. 0651-0027 (exp. 5/31/2002)

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U.S. DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark Office*Resubm*

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

James Walter Caddell, Jr.  
Matthew Nicklus Miller  
Brian Alan Norton

## 2. Name and address of receiving party(ies)

Name: General Electric Company

Internal Address: \_\_\_\_\_

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Street Address: One River Road

City: Schenectady State: NY Zip: 12345

Execution Date: 11/13/2002

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: 11/13/2002

A. Patent Application No.(s) 10/295,185

B. Patent No.(s) \_\_\_\_\_

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Patrick R. Scanlon

Internal Address: Pierce Atwood

Street Address: One Monument Square

City: Portland State: ME Zip: 04101

## 6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

- ☒ Enclosed (Authorization to charge to deposit account on enclosed form PTO/SB/17)  
☐ Authorized to be charged to deposit account

## 8. Deposit account number:

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## 9. Signature.

Patrick R. Scanlon

Name of Person Signing

*Patrick R. Scanlon*

Signature

5/16/03

Date

Total number of pages including cover sheet, attachments, and documents: 3

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11-22-2002

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(Rev. 03/01)

OMB No. 0651-0027 (exp. 5/31/2002)

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U.S. DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark Office

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## 1. Name of conveying party(ies):

James Walter Caddell, Jr.

Matthew Nicklus Miller

Brian Alan Norton

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:



Assignment



Merger



Security Agreement



Change of Name



Other \_\_\_\_\_

11/13/2002

Execution Date: \_\_\_\_\_

## 2. Name and address of receiving party(ies)

Name: General Electric Company

Internal Address: \_\_\_\_\_

Street Address: One River Road

City: Schenectady State: NY Zip: 12345

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: 11/13/2002

A. Patent Application No.(s) \_\_\_\_\_

B. Patent No.(s) \_\_\_\_\_

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Jonathan M. Hines

Internal Address: Pierce Atwood

Street Address: One Monument Square

City: Portland State: ME Zip: 04101

6. Total number of applications and patents involved: ☐

7. Total fee (37 CFR 3.41).....\$ 40.00



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## 8. Deposit account number: \_\_\_\_\_

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## 9. Signature.

Jonathan M. Hines

Name of Person Signing

Signature

11/15/2002

Date

Total number of pages including cover sheet, attachments, and documents: 3

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Washington, D.C. 20231

JC996 U.S. PTO  
10/29/02

11/15/02

PATENT  
REEL: 014050 FRAME: 0029

# ASSIGNMENT

ATTORNEY DOCKET NO. 122600

For good and valuable consideration, of which we acknowledge receipt, we,

James Walter Caddell, Jr. of Milford, Ohio  
 Matthew Nicklus Miller of Maineville, Ohio  
 Brian Alan Norton of Cincinnati, Ohio

respectively, hereby sell, assign and transfer to General Electric Company, (a New York corporation) having an address at One River Road, Schenectady, New York, 12345, its successors and assigns, the entire right, title and interest throughout the world in our invention entitled FABRICATED REPAIR OF CAST NOZZLE for which an application for a United States Patent was executed on the dates indicated below, and any and all patent applications and patents of every country for said invention, including divisions, continuing applications, re-examinations, reissues, and extensions thereof, and all rights of priority resulting from the filing of said applications; we authorize the above-named assignee to apply for patents of foreign countries for said invention and to claim all rights of priority without further authorization from us; we agree to execute all papers useful in connection with said United States and foreign applications, and generally to do everything possible to aid said assignee, its successors, assigns and nominees, at their request and expense, in obtaining and enforcing patents for said invention in all countries; and we request any official whose duty it is to issue patents, to issue any and all patents granted for said invention to the above-named assignee, its successors and assigns.

James Walter Caddell, Jr. signed the declaration for the above identified patent application on 11-13-02.

Matthew Nicklus Miller signed the declaration for the above identified patent application on 11-13-02.

Brian Alan Norton signed the declaration for the above identified patent application on 11-13-02.

Date 11/13/2002 James Walter Caddell, Jr.  
 Name: James Walter Caddell, Jr.

State of Ohio  
 County of Hamilton, ss.

On this 13<sup>th</sup> day of November, before me, County aforesaid, personally appeared James Walter Caddell, Jr. known to me to be the individual(s) named in, and who executed, the foregoing instrument, and being first duly sworn, did acknowledge that the so-named individual(s) executed the same as his/her/their free act and deed and as for the purposes therein set forth.

**Kimberly M. Howard**  
**Notary Public, State of Ohio**  
**My Commission Expires**  
**October 2, 2006**  
 Seal **Recorded in Hamilton County** Kimberly M. Howard  
 Notary Public

Date: 11/13/02 Matthew Nicklus Miller  
 Name: Matthew Nicklus Miller

State of Ohio  
 County of Hamilton, ss.

On this 13<sup>th</sup> day of November, before me, County aforesaid, personally appeared Matthew Nicklus Miller known to me to be the individual(s) named in, and who executed, the foregoing instrument, and being first duly sworn, did acknowledge that the so-named individual(s) executed the same as his/her/their free act and deed and as for the purposes therein set forth.

**Kimberly M. Howard**  
**Notary Public, State of Ohio**  
**My Commission Expires**  
**October 2, 2006**  
 Seal **Recorded in Hamilton County** Kimberly M. Howard  
 Notary Public

I certify this is a true copy  
 of the original document.

Included in H&R  
**PATENT**

REEL: 014050 FRAME: 0030

Date: Nov 13, 2002

Brian Alan Norton  
Name: Brian Alan Norton

State of Ohio  
County of Hamilton, ss.

On this 13<sup>th</sup> day of November, before me, County aforesaid, personally appeared Brian Alan Norton known to me to be the individual(s) named in, and who executed, the foregoing instrument, and being first duly sworn, did acknowledge that the so-named individual(s) executed the same as his/her/their free act and deed and as for the purposes therein set forth.

Kimberly M. Howard  
Notary Public, State of Ohio  
My Commission Expires  
October 2, 2006  
Recorded in Hamilton County

Kimberly M. Howard  
Notary Public

Seal