



RECOR

05-09-2003



102444014

5.6.03

Attorney's Docket No. 003300-964

To the Director of the United States Patent and Trademark Office. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

CLAS SONESSON; BENGT ANDERSSON (deceased)  
by INGELA MARIANNE SVAN, LISELOTT LILJA  
RÖNNQVIST, and JENNY MARIA CARLBERG as  
Legal Representative; SUSANNA WATERS;  
NICHOLAS WATERS; and JOAKIM TEDROFF

Additional name(s) of conveying party(ies) attached?  Yes  No

3. Nature of conveyance:

Assignment  Merger  
 Security Agreement  Change of Name

Other: \_\_\_\_\_

Execution Date: January 22 and 26, 2001; February 20,  
26 and 28, 2001

2. Name and address of receiving party(ies):

Name: A. CARLSSON RESEARCH AB

Address: Box 444

SE-405 30 Göteborg,

Sweden

Additional name(s) & address(es) attached?  Yes  No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

10/168,173

B. Patent No.(s)

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Benton S. Duffett, Jr.

Address: BURNS, DOANE, SWECKER & MATHIS, L.L.P.

P.O. Box 1404

Alexandria, Virginia 22313-1404

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR § 3.41): \$ 40.00

Enclosed

Authorized to be charged to deposit account, if necessary

8. Deposit account number:

02-4800

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Benton S. Duffett, Jr.  
Name of Person Signing

Benton S. Duffett Jr.  
Signature

May 6, 2003  
Date

Total number of pages including cover sheet, attachments, and document: 4

Mail documents to be recorded with required cover sheet information to:

05/08/2003 ECDOPER 00000079 10168173

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Director of the United States Patent and Trademark Office  
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CORRECTED/REFILED

A/D 581-40

10/168173  
12 Rec'd PGT/PTO JUN 19 2002

FORM PTO-1595  
(Rev. 6/93)

04-04-2003

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

EET



102406230

Attorney's Docket No. 003300-964

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies): 6-19-02  
CLAS SONESSON; BENGT ANDERSSON (deceased)  
by INGELA MARIANNA SVAN, LISELOTT LILJA  
RONNQUIST, and JENNA MARIA CARLBERG as  
Legal Representative; SUSANNA WATERS;  
NICHOLAS WATERS; and JOAKIM TEDROFF

2. Name and address of receiving party(ies):  
Name: A. CARLSSON RESEARCH AB  
Address: Box 444  
SE-405 30 Göteborg,  
Sweden

Additional name(s) of conveying party(ies) attached?  Yes  No

3. Nature of conveyance:  
 Assignment  Merger  
 Security Agreement  Change of Name  
Other: \_\_\_\_\_

Additional name(s) & address(es) attached?  Yes  No

Execution Date: January 22 and 26, 2001; February 20,  
26 and 28, 2001

4. Application number(s) or patent number(s): 10/168173  
If this document is being filed together with a new application, the execution date of the application is: January 22 and 26, 2001;  
February 20, 26 and 28, 2001

A. Patent Application No.(s)  
Filed Herewith

B. Patent No.(s)

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:  
Name: Benton S. Duffett, Jr.  
Address: BURNS, DOANE, SWECKER & MATHIS, L.L.P.  
P.O. Box 1404  
Alexandria, Virginia 22313-1404

6. Total number of applications and patents involved: 1  
7. Total fee (37 CFR § 3.41): \$ 40.00  
 Enclosed  
 Authorized to be charged to deposit account, if necessary  
8. Deposit account number:  
02-4800

DO NOT USE THIS SPACE

9. Statement and signature.  
To the best of my knowledge and belief, the foregoing information is true and correct, and any attached copy is a true copy of the original document.

Benton S. Duffett, Jr.  
Name of Person Signing

Benton S. Duffett Jr.  
Signature

June 19, 2002  
Date

Total number of pages including cover sheet, attachments, and document: 3

Mail documents to be recorded with required cover sheet information to:

06/24/2002 GFREY1 00000113 10168173  
03 FC:581

Commissioner of Patents and Trademarks  
Box Assignments  
Washington, D.C. 20231

40.00 DP

## ASSIGNMENT

(JOINT)

This assignment by (1) Clas Sonesson, residing at Billdal, Sweden, (2) Bengt Andersson (deceased), formerly residing at Göteborg, Sweden, (3) Susanna Waters, residing at Göteborg, Sweden, (4) Nicholas Waters, residing at Göteborg, Sweden, (5) Joakim Tedroff, residing at Danderyd, Sweden

(hereinafter referred to as "the Assignors"),

respectively, witnesseth:

WHEREAS, <sup>\*see below</sup> the Assignors have invented certain new and useful improvements in \_\_\_\_\_ set forth in an application for Letters Patent of the United States,  which is a provisional application to be filed herewith;  which is a non-provisional application having an oath or declaration executed on even date herewith prior to filing of application;  bearing Application No. PCT/SE00/02674, and filed on 22 December 2000; and

WHEREAS, A. CARLSSON RESEARCH AB, a corporation duly organized under and pursuant to the laws of Sweden and having its principal place of business at Box 444, SE-405 30 GÖTEBORG, Sweden (hereinafter referred to as "the Assignee"), is desirous of acquiring the entire right, title, and interest in and to said inventions, the right to file applications on said inventions and the entire right, title and interest in and to any applications, including provisional applications for Letters Patent of the United States or other countries claiming priority to said application, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon.

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and sufficient consideration, the receipt of which is hereby acknowledged, the Assignors have sold, assigned, transferred, and set over, and by these presents do sell, assign, transfer, and set over, unto the Assignee, its successors, legal representatives, and assigns the entire right, title, and interest in and to the above-mentioned inventions, the right to file applications on said inventions and the entire right, title and interest in and to any applications for Letters Patent of the United States or other countries claiming priority to said applications, and any and all Letters Patent or Patents of the United States of America and all foreign countries that may be granted therefor and thereon, and in and to any and all applications claiming priority to said applications, divisions, continuations, and continuations-in-part of said applications, and reissues and extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by the Assignee, for its own use and behalf and the use and behalf of its successors, legal representatives, and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted as fully and entirely as the same would have been held and enjoyed by the Assignors had this sale and assignment not been made;

AND for the same consideration, the Assignors hereby covenant and agree to and with the Assignee, its successors, legal representatives, and assigns, that, at the time of execution and delivery of these presents, the Assignors are the sole and lawful owners of the entire right, title, and interest in and to the inventions set forth in said applications and said applications, including provisional applications, above-mentioned, and that the same are unencumbered, and that the


\*NEW MODULATORS OF DOPAMINE NEUROTRANSMISSION IA

Assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth;

AND for the same consideration, the Assignors hereby covenant and agree to and with the Assignee, its successors, legal representatives, and assigns that the Assignors will, whenever counsel of the Assignee, or the counsel of its successors, legal representatives, and assigns, shall advise that any proceeding in connection with said inventions or said applications for Letters Patent or Patents, or any proceeding in connection with Letters Patent or Patents for said inventions in any country, including interference proceedings, is lawful and desirable, or that any application claiming priority to said application, division, continuation, or continuation-in-part of any applications for Letters Patent or Patents, or any reissue or extension of any Letters Patent or Patents to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement, and defense of Letters Patent or Patents for said inventions, without charge to the Assignee, its successors, legal representatives, and assigns, but at the cost and expense of the Assignee, its successors, legal representatives, and assigns;

AND the Assignors hereby authorize and request the attorneys of BURNS, DOANE, SWECKER & MATHIS, L.L.P. of Alexandria, Virginia to insert in the spaces provided above the filing date, application number, and attorney docket number of said application when known.

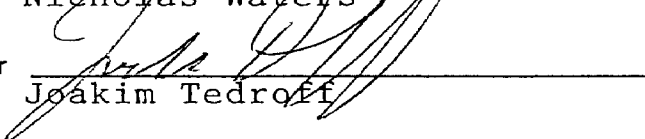
AND the Assignors hereby request the Commissioner of Patents to issue any and all said Letters Patent of the United States to the Assignee as the Assignee of said inventions, the Letters Patent to be issued for the sole use and behalf of the Assignee, its successors, legal representatives, and assigns.

Date Jan 22, 2001 Signature of Assignor   
Ias Sonesson

Date \_\_\_\_\_ Signature of Assignor See separate sheet  
Bengt Andersson (deceased)

Date Jan 22-2001 Signature of Assignor   
Susanna Waters

Date Jan 22-2001 Signature of Assignor   
Nicholas Waters

Date Jan 26-2001 Signature of Assignor   
Joakim Tedroff

Date \_\_\_\_\_ Signature of Assignor \_\_\_\_\_

Date \_\_\_\_\_ Signature of Assignor \_\_\_\_\_

Date \_\_\_\_\_ Signature of Assignor \_\_\_\_\_

Assignor Bengt Andersson (deceased)

by Ingela Svan 010228  
Ingela Marianne Svan (widow) Date

by Liselott Lilja Rönqvist 010220  
Liselott Lilja Rönqvist as mother on Date  
behalf of underage son Anders Kristoffer  
Lilja

by Jenny Maria Carlberg 010226  
Jenny Maria Carlberg (daughter) Date