SCS/AAR:iar 10/1	17/03 228184	Atty. Ref. No. 245-65473
RECORDATION FORM COVER SHEET - PATENTS ONLY		
VIA FACSIMILE - (703) 306-5995 MAIL STOP ASSIGNMENT RECORDATION SERVICES DIRECTOR OF PATENTS AND TRADEMARKS 1. Total number of pages including cover sheet, attachments and document: 5		
2. Name of Conveying Party(ies) and Execution Date(s) of Document(s) Check here if additional name(s) attached		
Name((s): David A. Horne and Kenichi Yakushijin tion Date(s): June 10, 2003	Check here if auditional name(s) attached
3. Name and	address of receiving party	if additional name(s) & address(es) are attached
Name:	Name: The State of Oregon acting by and through the State Board of Higher Education on Behalf of Oregon State University	
Address:	Office of Technology Transfer	
	312 Kerr Administration Building	
City:	Corvallis State/Country: Oregon	Zip: 97331-2140
4. Nature of Conveyance ☐ Assignment ☐ Morger ☐ Security Agreement ☐ Name Change ☐ Other:		
5. Total number of applications and patents involved: 1		
6. Charge Fee (37 C.F.R. § 3.41) of \$40.00 to our Deposit Account No. 02-4550.		
7. Check here if any deficiency/overpayment is authorized to be charged to deposit account 02-4550		
8. Enter either the Execution date (of the Declaration and Power of Attorney), Application Number, or the Patent Number. Do not enter more than one number for the same patent. A. This document is being filed with a new application. Execution date is: B. Patent Application No.(s) or Patent No.(s): 10/297.688 Check here if additional numbers are attached		
Stacey C. S Klarquist S One World 121 S.W. S	dent's name, address, and telephone number Slater Sparkman, LLP d Trade Center, Suite 1600 Salmon Street Oregon 97204-2988	
Telephone	:: 503-226-7391	
10. Please return the attached postcard to confirm that these items have been received.		
11. Statement and signature To the best of my knowledge and belief, the foregoing information is true and correct and any ottached copy is a true copy of the original document. Stacey C. Slater		

Signature

cc: Client Docketing Accounting

> PATENT REEL: 014056 FRAME: 0652

Date

Name of Person Signing

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ASSIGNMENT

WHEREAS, we, David A. Horne, of Corvallis, County of Benton, State of Oregon,
Citizen of the United States of America, and Kenichi Yakushijin, of Corvallis, County of Benton,
State of Oregon, citizen of Japan, have invented a certain invention, entitled SYNTHESIS OF
BIS-HETEROCYCLIC ALKALOIDS, having U.S. Patent Application Serial No. 10/297,688,
for which I am making application for Letters Patent of the United States, said application having
been filed December 5, 2002; and assigned Application No. 10/297,688.

WHEREAS, The State of Oregon acting by and through the State Board of Higher Education on behalf of Oregon State University, having an address of Office of Technology Transfer, 312 Kerr Administration Building, Corvallis, OR 97331-2140, desires to acquire an interest therein:

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, we, David A. Horne and Kenichi Yakushijin, hereby sell, assign and transfer to The State of Oregon acting by and through the State Board of Higher Education on behalf of Oregon State University, the full and exclusive right, title and interest in and to said invention, patent application and patent rights throughout the world, including foreign patent priority rights, the right to file and prosecute International Applications under the Patent Cooperation Treaty, and the right to file and prosecute applications under the European Patent Convention; said invention, application and letters patent in this or any foreign country, and all divisions, continuations, reissues and extensions thereof, to be held and enjoyed by The State of Oregon acting by and through the State Board of Higher Education on behalf of Oregon State University,

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for its own use and benefit, and for its successors and assigns to the full end of the term for which letters patent may be granted in this or any foreign country, as fully and entirely as the same would have been held by us had this assignment and sale not been made, and covenant that we have full right so to do, and agree that we will communicate to The State of Oregon acting by and through the State Board of Higher Education on behalf of Oregon State University, or its successors and assigns, any facts known to us respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths, and do everything possible to aid The State of Oregon acting by and through the State Board of Higher Education on behalf of Oregon State University, its successors and assigns to obtain and enforce proper patent protection for said invention in this or any foreign country.

We hereby grant the law firm of Klarquist Sparkman, LLP, the power to insert on this

Assignment any further information which may be necessary or desirable in order to comply with
all applicable legal requirements, including the rules of the United States Patent and Trademark

Office, for submitting and recording this document.

ASSIGNMENT Page 2 of 4

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SCS/AAR:kdn:jat 05/19/03 245-65473 157120

Executed at the place and date opposite our respective signatures below.

on this 10 day of 2 une STATE OF Oregon COUNTY OF Benton

This 10 day of Jove, 2003, before me personally came the above-named David A. Horne, who executed the foregoing instrument in my presence, and who acknowledged to me that he executed the same of his own free will for the purposes set forth therein.



Notary Public For Oregon My commission expires: oct. 31, 2003

[SEAL]

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Executed at the place and date opposite our respective signatures below.

Kenichi Yakushin STATE OF Oregon

This /O day of Jane, 2003, before me personally came the above-named Kenichi Yakushijin, who executed the foregoing instrument in my presence, and who acknowledged to me that he executed the same of his own free will for the purposes set forth therein.

official seal «XYMLEEN M TROSETH NOTARY PUBLIC-OREGON COMMISSION NO. 328621 MY COMMISSION EXPIRES OCT 31, 2003

Notary Public For Oregon
My commission expires: Oct, 31, 2003

[SEAL]

COUNTY OF Benton

ASSIGNMENT

RECORDED: 10/17/2003

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