

05-15-2003

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SHEET

To the Honorable Commissioner of Patents

and original documents or copy thereof.

1. Name of conveying party(ies):

- 1) Alfons Eizenhoefer
2) Said Tatesh

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: 1) April 14, 2002; 2) Oct. 21, 2002

2. Name and address of receiving party(ies):

Name: **Agere Systems Inc.**

Internal Address: _____

Street Address: **1110 American Parkway NE**

City: **Allentown** State: **PA** Zip: **18109 USA**

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is

A. Patent Application No.(s) **09/356,260** Filed on **July 16, 1999** B. Patent No. (s) _____

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Docket Administrator**

Agere Systems Inc.

Internal Address: **Room 4U-533C**

Street Address: **Four Connell Drive**

City: **Berkeley Heights,**

State: **New Jersey**, Zip: **07922-2747**

6. Total number of applications and patents involved: **1**

7. Total fee (37 CFR 3.41) \$ **\$40.00**

☐ Enclosed

☒ Authorized to be charged to deposit account

8. Deposit account number: **50-1735**

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Richard J. Botos

Name of Person Signing

Richard J. Botos
Signature

5/09/03
Date

Total number of pages including cover sheet, attachments, and document:

Mail documents to be recorded with required cover sheet information to:

Commissioner for Patents, Mail Stop Assignments
P.O. Box 1450, Alexandria, Virginia 22313-1450

A. Eizenhoefer 7-2-1

05/14/2003 TDI A21 00000065 501735 09356260

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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:
Asst. Commissioner of Patents and Trademarks,
Washington, D.C. 20231, on **May 9, 2003**
(Date of Deposit)
Matthew
PATENT Date

REEL: 014056 FRAME: 0986

ASSIGNMENT AND AGREEMENT

For value received, we, Peter Kuczynski of Nuremberg in the Country of Fed. Rep. of Germany, and Alfons Eizenhoefer, of Nuremberg and the Country of Fed. Rep. of Germany, and Said Tatesh, of Swindon in the County of Wiltshire and the Country of Great Britain, hereby sell, assign and transfer to Agere Systems Guardian Corp., a corporation of the State of Delaware, having an office at 9333 S. John Young Parkway, Orlando, Florida 32819, U.S.A. and its successors, assigns and legal representatives, the entire right, title and interest, for the United States of America, in and to certain inventions related to **Method And System For Signalling** described in an application for Letters Patent of the United States, Serial No. 09/356260, filed July 16, 1999, and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and we also concurrently hereby sell, assign and transfer to Agere Systems Guardian Corp. the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

We authorize Agere Systems Guardian Corp. to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from us, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Agere Systems Guardian Corp. to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

We request that any and all patents for said inventions be issued to Agere Systems Guardian Corp. in the United States and in all countries foreign to the United States, or to such nominees as Agere Systems Guardian Corp. may designate.

We agree that, when requested, we shall, without charge to Agere Systems Guardian Corp. but at its expense, sign all papers, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.

Peter Kuczynski

Date: _____

On this _____ day of _____, 20____, before me personally came, Peter Kuczynski to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

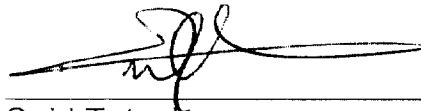
Notary Public


Alfons Eizenhoefer

Date: 14 th of April 2002

On this _____ day of _____, 20____, before me personally came, Alfons Eizenhoefer to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Notary Public


Said TateshDate: 21-10-02

On this _____ day of _____, 20____, before me personally came, Said Tatesh to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Notary Public

Agere Systems Inc.
P. O. Box 614
Berkeley Heights, New Jersey 07922-0614

PATENT ASSIGNMENT

by and between

LUCENT TECHNOLOGIES INC.

and

AGERE SYSTEMS GUARDIAN CORP.

Dated as of January 30, 2001

PATENT ASSIGNMENT

THIS PATENT ASSIGNMENT (this "Assignment"), effective as of January 30, 2001 (the "Effective Date"), is by and between Lucent Technologies Inc., a Delaware corporation, with offices at 600 Mountain Avenue, Murray Hill, New Jersey 07974, United States of America, ("ASSIGNOR") and Agere Systems Guardian Corp., a Delaware corporation, with offices at 555 Union Boulevard, Allentown, PA 18109, United States of America ("Agere Systems Guardian").

RECITALS

A. WHEREAS, the Board of Directors of ASSIGNOR has determined that it is in the best interests of ASSIGNOR and its stockholders to separate ASSIGNOR's existing businesses into two independent businesses;

B. WHEREAS, ASSIGNOR presently owns or controls certain patents, patent applications, and invention submissions listed in the attached Appendices A and B (hereinafter "TRANSFERRED PATENTS") and;

C. WHEREAS, in furtherance of the foregoing separation, ASSIGNOR desires to transfer, assign, convey, deliver and vest all of its interests and rights in TRANSFERRED PATENTS for all countries, jurisdictions and political entities of the world, to and in Agere Systems Guardian;

NOW, THEREFORE, in consideration of the premises and for other good and valid consideration, the receipt and sufficiency of which are hereby acknowledged, the parties, intending to be legally bound, agree as follows:

ASSIGNOR, subject to existing rights and licenses of third parties, does hereby assign, convey, transfer and deliver, and agrees to assign, convey, transfer and deliver to Agere Systems Guardian, its successors, assigns and legal representatives or nominees, ASSIGNOR's entire right, title and interest, for all countries, jurisdictions and political entities of the world, along with the right to sue for past infringement, to all TRANSFERRED PATENTS listed on Appendices A and B, and corresponding counterpart foreign patents and patent applications, with respect to which, and to the extent to which, ASSIGNOR now has or hereafter acquires the right to so assign, convey, transfer and deliver. Agere Systems Guardian recognizes that ASSIGNOR holds only bare legal title to the TRANSFERRED PATENTS listed in Appendix A (which lists the United States Patents and patent applications previously exclusively licensed to Lucent Technologies Microelectronics Guardian Corp.).


ASSIGNOR and ASSIGNEE recognize that the patents listed in Appendices A and B may inadvertently include patents that are owned by various subsidiaries of ASSIGNOR, including Agere, Inc., Ortel Corporation, Optimay Corporation, Herrmann Technology, Inc., and Enable Semiconductor, Inc. Ownership of such patents shall not be affected by this Patent

Assignment, and ASSIGNEE agrees that any such patents shall be deemed deleted from Appendices A and B.

ASSIGNOR agrees that, upon request it will, at any time without charge to Agere Systems Guardian, but at Agere Systems Guardian's expense, furnish all necessary documentation relating to or supporting chain of title, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable or convenient for vesting title to TRANSFERRED PATENTS in Agere Systems Guardian, its successors, assigns and legal representatives or nominees; including but not limited to any acts which may be necessary, desirable or convenient for claiming said rights and for securing and maintaining patents for said inventions in any and all countries and for vesting title thereto in Agere Systems Guardian and its respective successors, assigns and legal representatives or nominees.

IN WITNESS WHEREOF, the parties have caused this PATENT ASSIGNMENT to be executed by their duly authorized representatives as of the Effective Date.

LUCENT TECHNOLOGIES INC.

By: 
Daniel P. McCurdy
President, Intellectual Property Business

AGERE SYSTEMS GUARDIAN CORP.

By: 
Fred M. Romano
President

Execution Copy

ACKNOWLEDGMENTS

STATE OF NEW JERSEY)

: ss:

COUNTY OF SOMERSET)

I CERTIFY that on January 30, 2001, Daniel P. McCurdy personally came before me and this person acknowledged under oath, to my satisfaction that:

a.) this person signed, sealed and delivered the attached Patent Assignment as President - Intellectual Property Business of Lucent Technologies Inc.; and

b.) this Patent Assignment was signed and made by Lucent Technologies Inc. as its voluntary act and deed by virtue of authority from its Board of Directors.



Name

TAMORA ANNE HANNA

Notary Public

Notary Public of New Jersey

My Commission Expires

Registered in Hunterdon County

[Notarial Seal]

My Commission Expires March 25, 2002

STATE OF FLORIDA)

: ss:

COUNTY OF ORANGE)

I CERTIFY that on January 31, 2001, Fred M. Romano personally came before me and this person acknowledged under oath, to my satisfaction that:

a.) this person signed, sealed and delivered the attached Patent Assignment as Vice President of Agere Systems Guardian Corp. ; and

b.) this Patent Assignment was signed and made by Agere Systems Guardian Corp. as its voluntary act and deed by virtue of authority from its Board of Directors.

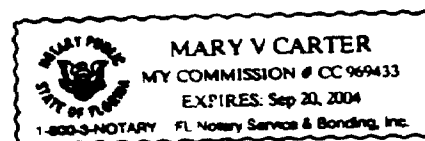


Name

Notary Public

My Commission Expires:

[Notarial Seal]



APPENDIX B (continued)
Transferred Patents

Case Name	Filing Date	Issue Date	Patent No.	Serial No.
Easter 26-11-4-7	3/3/1999			09/261885
Easter 30-15-8	4/30/1999			09/303172
Easter 31-16-9	7/19/1999			09/357016
Easter 32-17-10	8/3/1999			09/366362
Easter 33-18-11	8/3/1999			09/366361
Easter 34-19-12	1/26/2000			09/491836
Easter 36-22-15	7/15/1999			09/354399
Easter 37-23-16	11/22/1999			09/444817
Easter 39-25-18	7/20/1999			09/357407
Easter 43-29-102-22-24	12/13/1999			09/459708
Easter 45-31-103	9/20/1999			09/399621
Easter 46-32-25	8/18/1999			09/376696
Easter 47-33-26	8/3/1999			09/368339
Easter 48-34-27	8/18/1999			09/376191
Easter 52-38-31	8/17/1999			09/375971
Easter 54-40-33	10/27/1999			09/428210
Easter 55-41-34-2	8/27/1999			09/384506
Easter 56-42-35-14	9/3/1999			09/390617
Easter 57-4-43-36	8/30/1999			09/385972
Easter 59-20-130-12	11/1/1999			09/431198
Easter 61-46-39-15	9/21/1999			09/400246
Easter 62-47-40-16	9/24/1999	7/25/2000	6093086	09/405617
Easter 63-48-41	10/25/1999			09/426017
Easter 65-50-43	10/4/1999			09/412688
Easter 66-23-5	10/8/1999			09/415529
Easter 68-5-52-45-17	10/13/1999			09/417232
Easter 69-53-46-24-11	10/14/1999			09/418087
Easter 70-54-47-25-12	10/14/1999			09/418078
Easter 72-28-136-13	11/1/1999			09/432721
Ehrich 5-18	5/10/1999			09/307601
Ehrich 6-1-1-1-6-21-1	10/22/1999			60/161226
Eizenhoefer 7-2-1	7/16/1999			09/356260
El-Kik 2-10-23-12	11/16/1998			09/192712
El-Kik 3-13	3/11/1999			09/265932
El-Kik 4	1/22/1999			09/236049
El-Kik 5-2-14-4	11/16/1998			09/192654
El-Kik 6-27-7	12/23/1998			09/219775
El-Kik 7	6/3/1999			09/324922
El-Kik 9	7/24/2000		09/624618	
Elko 13	4/3/1997	3/21/2000	6041127	
Ellis 3-4-21-36	3/31/2000			09/540618
Embree 37-6-8-4	5/30/1997		08/866510	
Embree 5-2	11/27/1987		07/126132	
Enable 1	4/17/1998		09/061937	
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Eng 4-5-1-1-3	8/20/1999			09/378032
Erkocevic 4-1-1	12/28/1996		08/781970	
Eryilmaz 3	10/15/1998			09/173217
Eshraghi 1-3-12-5-7	1/6/1999			09/226378
Esry 2-13-1-10-2	9/16/1999			09/397458
Esry 3-18-2-14-3	9/21/1999			09/397459
Esry 4-19-3-15-4	10/14/1999			09/418299
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Evans 1	1/13/1999	4/4/2000	6046923	09/229184
Evans 2-2-16-2	10/18/1994		08/324842	
Evans 2-3	9/21/1999			09/401032
Evans-Lutterodt 1-1	7/16/1999			09/356396
Factor 1-1-1-5-2-3-3	4/12/2000			09/547610
Fadavi-Ardekani 10-12	9/11/1997		08/927425	
Fadavi-Ardekani 14-7-5	4/17/1998		09/071064	