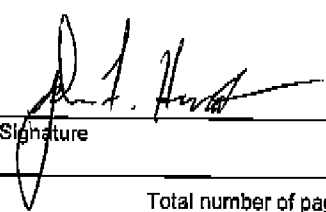


Substitute Form PTO-1595
 Attorney Docket No.: 06666-142001
 Client's Ref. No.: USC3282-CIP

RECORDATION FORM COVER SHEET PATENTS ONLY

Commissioner for Patents: Please record the attached original document(s) or copy(ies).	
1. Name of conveying party(ies): Nicos A. Petasis, Ilia A. Zavialov, and Zubin D. Patel Additional name(s) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	2. Name and address of receiving party(ies): University of Southern California 3716 S. Hope St., Suite 313 Los Angeles, CA 90007-4344 Additional names/addresses attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
3. Nature of conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other: Execution Date: 10/8/03; 10/9/03 and 10/16/03	4. Application number(s) or patent number(s): If this document is being filed with a new application, the execution date of the application is: 10/8/03; 10/9/03 and 10/16/03 A. Patent Application No(s).: 10/405,922 B. Patent No(s).: Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
5. Name/address of party to whom correspondence concerning document should be mailed: JOHN F. HORVATH Fish & Richardson P.C. 500 Arguello Street, Suite 500 Redwood City, California 94063	6. Total number of applications/patents involved: 1 7. Total fee (37 CFR §3.41): \$40 <input type="checkbox"/> Enclosed <input checked="" type="checkbox"/> Authorized to charge Deposit Account. 8. Deposit Account No.: 06-1050 Please apply any additional charges, or any credits, to our Deposit Account No. 06-1050.
DO NOT USE THIS SPACE	
9. Statement and Signature: <i>To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.</i>	
John F. Horvath Reg. No. 47,663 Name of Person Signing	<div style="display: flex; justify-content: space-between;"> <div style="text-align: center;">  Signature </div> <div style="text-align: center;"> 10/21/03 Date </div> </div>
Total number of pages including coversheet, attachments and document: 9	

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CERTIFICATE OF TRANSMISSION BY FACSIMILE

I hereby certify that this correspondence is being transmitted by facsimile to the Patent and Trademark Office on the date indicated below.

October 21, 2003
 Date of Transmission

Signature

Loni M. Cummings
 Typed Name of Person Signing Certificate

PATENT

700049098

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Attorney Docket No: 06666-142001/USC3282-CIP

ASSIGNMENT

For valuable consideration, we, NICOS A. PETASIS of 3037 Gotera, Drive Hacienda Heights, CA 91745, ILIA A. ZAVIALOV of 189 Summit Trace Road, Langhorne, PA 19047, ZUBIN D. PATEL of 19733 Teresa Court, Cerritos, CA 90703, hereby assign to:

University of Southern California , a corporation of California having a place of business at:

3716 S. Hope St., Suite 313
Los Angeles, CA 90007-4344; and

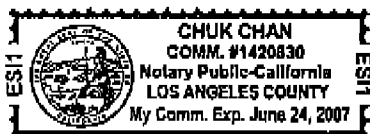
its successors and assigns (collectively hereinafter called "the Assignee"), the entire right, title and interest throughout the world in the inventions and improvements which are subject of an application for United States Patent signed by us, entitled AMINO POLYOLS AND AMINO SUGARS, filed April 1, 2003, and assigned U.S. Serial Number 10/405,922, and we authorize and request the attorneys appointed in said application to hereafter complete this assignment by inserting above the filing date and serial number of said application when known; this assignment including said application, any and all United States and foreign patents, utility models, and design registrations granted for any of said inventions or improvements, and the right to claim priority based on the filing date of said application under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes; and we authorize the Assignee to apply in all countries in our name or in its own name for patents, utility models, design registrations and like rights of exclusion and for inventors' certificates for said inventions and improvements; and we agree for ourselves and our respective heirs, legal representatives and assigns, without further compensation to perform such lawful acts and to sign such further applications, assignments, Preliminary Statements and other lawful documents as the Assignee may reasonably request to effectuate fully this assignment.

Attorney Docket No: 06666-142001/USC3282-CIP

IN WITNESS WHEREOF, I hereto set my hand and seal at City of Hacienda Hts.
this 16 day of OCTOBER, 2003
[Signature] L.S.
NICOS A. PETASIS

State of California :
: ss.
County of Los Angeles :

Before me this 16th day of October, 2003, personally
appeared NICOS A. PETASIS ~~known to me~~ to be the person whose name is subscribed to the
foregoing Assignment and acknowledged that he executed the same as his free act and deed for
the purposes therein contained.



[Signature]

Notary Public

My Commission Expires: June 24, 2007

[Notary's Seal Here]

Attorney Docket No: 06666-142001/USC3282-CIP

IN WITNESS WHEREOF, I hereto set my hand and seal at _____,
this _____ day of _____, 20_____

ILIA A. ZAVIALOV L.S.

State of _____ :
: ss.

County of _____ :

Before me this _____ day of _____, 20_____, personally
appeared ILIA A. ZAVIALOV known to me to be the person whose name is subscribed to the
foregoing Assignment and acknowledged that he executed the same as his free act and deed for
the purposes therein contained.

Notary Public

My Commission Expires:

[Notary's Seal Here]

Attorney Docket No: 06666-142001/USC3282-CIP

IN WITNESS WHEREOF, I hereto set my hand and seal at Whittier, CA,
this 8th day of October, 2003
Zubin Patel L.S.
ZUBIN D. PATEL

State of California :

: ss.

County of Los Angeles

Before me this 8th day of Oct, 2003, personally
appeared ZUBIN D. PATEL known to me to be the person whose name is subscribed to the
foregoing Assignment and acknowledged that he executed the same as his free act and deed for
the purposes therein contained.

Elisa T. Giumi

Notary Public

My Commission Expires: Sept. 8, 2007

[Notary's Seal Here]

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Attorney Docket No: 06666-142001/USC3282-CIP

ASSIGNMENT

For valuable consideration, we, NICOS A. PETASIS of 3037 Gotera, Drive, Hacienda Heights, CA 91745, ILIA A. ZAVIALOV of ~~189 Summit Trace Road, Langhorne, PA 19047,~~ *43 Moorsgate Circle, East Windsor, NJ 08520*,
ZUBIN D. PATEL of 19733 Teresa Court, Cerritos, CA 90703, hereby assign to:

University of Southern California, a corporation of California having a place of business at:

3716 S. Hope St., Suite 313
Los Angeles, CA 90007-4344; and

its successors and assigns (collectively hereinafter called "the Assignee"), the entire right, title and interest throughout the world in the inventions and improvements which are subject of an application for United States Patent signed by us, entitled AMINO POLYOLS AND AMINO SUGARS, filed April 1, 2003, and assigned U.S. Serial Number 10/405,922, and we authorize and request the attorneys appointed in said application to hereafter complete this assignment by inserting above the filing date and serial number of said application when known; this assignment including said application, any and all United States and foreign patents, utility models, and design registrations granted for any of said inventions or improvements, and the right to claim priority based on the filing date of said application under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes; and we authorize the Assignee to apply in all countries in our name or in its own name for patents, utility models, design registrations and like rights of exclusion and for inventors' certificates for said inventions and improvements; and we agree for ourselves and our respective heirs, legal representatives and assigns, without further compensation to perform such lawful acts and to sign such further applications, assignments, Preliminary Statements and other lawful documents as the Assignee may reasonably request to effectuate fully this assignment.

PATENT

Attorney Docket No: 06666-142001/USC3282-CIP

IN WITNESS WHEREOF, I hereto set my hand and seal at _____,
this _____ day of _____, 20_____

L.S.

NICOS A. PETASIS

State of _____ :

: ss.

County of _____ :

Before me this _____ day of _____, 20_____, personally
appeared NICOS A. PETASIS known to me to be the person whose name is subscribed to the
foregoing Assignment and acknowledged that he executed the same as his free act and deed for
the purposes therein contained.

Notary Public

My Commission Expires:

[Notary's Seal Here]

Attorney Docket No: 06666-142001/USC3282-CIP

IN WITNESS WHEREOF, I hereto set my hand and seal at UNION, NJ.
this 9TH day of OCTOBER, 20 03
Ilia A. Zavalov L.S.
ILIA A. ZAVIALOV

State of NEW JERSEY :
: ss.

County of UNION :

Before me this 9TH day of OCTOBER, 20 03, personally
appeared ILIA A. ZAVIALOV known to me to be the person whose name is subscribed to the
foregoing Assignment and acknowledged that he executed the same as his free act and deed for
the purposes therein contained.

Kathleen Torpey
Notary Public

My Commission Expires:

[Notary's Seal Here]

KATHLEEN TORPEY
NOTARY PUBLIC OF NEW JERSEY
My Commission Expires 09/01/04

Attorney Docket No: 06666-142001/USC3282-CIP

IN WITNESS WHEREOF, I hereto set my hand and seal at _____,
this _____ day of _____, 20_____.
_____. L.S.

ZUBIN D. PATEL

State of _____ :
: ss.

County of _____ :

Before me this _____ day of _____, 20_____, personally
appeared ZUBIN D. PATEL known to me to be the person whose name is subscribed to the
foregoing Assignment and acknowledged that he executed the same as his free act and deed for
the purposes therein contained.

Notary Public

My Commission Expires:

[Notary's Seal Here]

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