

RECORDATION FORM COVER SHEET

NO. 155 P. 2

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

OMB No. 0651-0011 (exp. 4/94)
LD0314 NP

PATENTS ONLY

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

- 1) Upender Velaparthy
- 2) Mark D. Wittman

2. Name and address of receiving party(ies)

Name: Bristol-Myers Squibb Company

Internal Address: _____

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Street Address: Lawrenceville-Princeton Road

City: Princeton State: NJ ZIP: 08543-4000

Execution Date: 1) and 2): October 20, 2003

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

10/674,098

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Stephen B. Davis

Internal Address: Bristol-Myers Squibb Company

Patent Department

Street Address: P.O. Box 4000

City: Princeton State: NJ ZIP: 08543-4000

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$ 40

- ☐ Enclosed
☒ Authorized to be charged to deposit account and any other additional fees required.

8. Deposit account number:

19-3880 (in the name of Bristol-Myers Squibb Company)

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Maureen S. Gibbons

Name of Person Signing
Reg. No. 44,121


Signature

October 22, 2003

Date

☐ Certificate of mailing on reverse side

Total number of pages including cover sheet, attachments, and document: 4

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, MAIL STOP: Assignment

Commissioner for Patents, P.O. Box 1450
Alexandria, VA 22313-1450

PATENT

REEL: 014063 FRAME: 0866

700049112

CH \$40.00 193880 10674098

ASSIGNMENT

We,

Upendar Velaparthy residing at 1298 Hartford Turnpike, #9J
North Haven, Connecticut 06473
United States of America

Mark D. Wittman residing at 30 Fairlawn Drive
Wallingford, Connecticut 06492
United States of America,

pursuant to contractual obligations heretofore assumed by us and/or for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, do hereby sell and assign to **Bristol-Myers Squibb Company**, a Delaware corporation, having a place of business at Lawrenceville-Princeton Road, Princeton, NJ 08543-4000, its successors, assigns and legal representatives, all our right, title and interest, which includes the right to and full benefit of such priorities as may now or hereafter be granted to us by local laws or by treaty, including any international convention for the protection of industrial property, in and for all countries of the world, including the United States and its territories and possessions, in and to the invention entitled:

NOVEL TYROSINE KINASE INHIBITORS

Invented by us and described in the non-provisional United States patent application

Application No. 10/674,098, filed September 29, 2003,

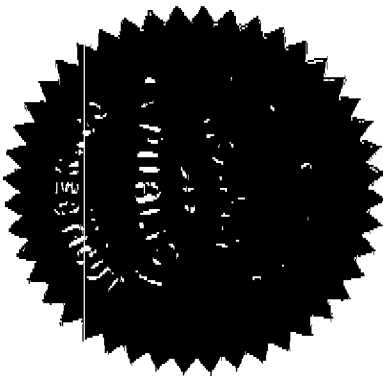
Including said non-provisional United States patent application and any application claiming priority from said non-provisional application, filed in any country, and any patents which may be issued and/or granted thereon, and all divisions, continuations, reissues, reexamination certificates and extensions thereof in all countries, the said interest being the entire ownership of said invention and all of said applications, patents (including reissue patents), extensions and reexamination certificates to be held and enjoyed by the said Bristol-Myers Squibb Company and its successors and assigns to the full end of the terms to which said patents (including reissue patents), extensions and reexamination certificates may be granted and/or issued, as fully and entirely as the same would have been held and enjoyed by us if this sale, assignment and transfer had not been made;

And we hereby agree to communicate to said assignee or its representatives any facts known to us respecting said invention, to testify in any legal proceedings, to sign and/or execute any further documents and/or instruments which may be necessary, lawful and proper in and/or for the filing and/or prosecution of all applications, including divisional, continuation and reissue applications, extensions and reexamination certificates and/or the granting and/or issuance thereof and/or to otherwise secure title to said invention and all of said applications, patents (including reissue patents, extensions and reexamination certificates in said assignee, and in general to do everything possible to aid said assignee, its successors and assigns to obtain and enforce proper protection for said invention in all countries.

Signed this 20 day of October, 2003 by Upender Velaparthy
Upender Velaparthy

STATE OF Connecticut)
COUNTY OF New Haven) ss. Wallingford

On the 20 day of October, 2003, before me came Upender Velaparthy, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he/she executed it.



Janet C. Sudol
Notary Public

JANET C. SUDOL
Notary Public, State Of Connecticut
My Commission Expires August 31, 2007

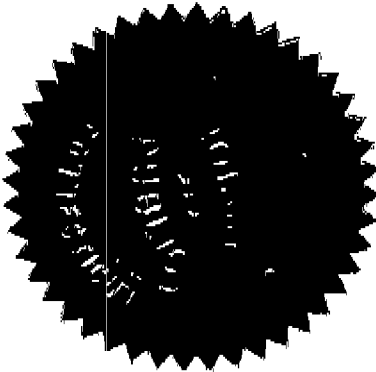
Signed this 20th day of October, 2003 by Mark D. Wittman
Mark D. Wittman

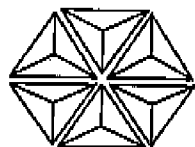
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Janet C. Sudol
Notary Public

JANET C. SUDOL
Notary Public, State Of Connecticut
My Commission Expires August 31, 2007





Bristol-Myers Squibb Company
Patent Department

FACSIMILE TRANSMITTAL SHEET

DATE: October 22, 2003

TO: PTO Assignment Branch

FACSIMILE NO.: (703) 306-5995

FROM: Maureen S. Gibbons

RE: Serial No. 10/674,098 (Docket No. LD0314 NP)

TELEPHONE NO.: (609) 252-3453 **FAX NO.:** (609) 252-4526

COMPANY: Bristol-Myers Squibb Company

Number of Pages: 5 (including cover sheet)

If you do not receive a complete fax, please contact Debbie Wojcik at (609) 252-5520.

COMMENTS:

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