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05-19-2003



COMMERCE
Trademark Office
742433-45
02313865

102451090

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies)
Tzer-Peng CHEN
Chih-Sung CHANG
Kuang-Neng YANG

2. Name and address of receiving party(ies)
Name: United Epitaxy Company, Ltd.
Internal Address: _____

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:
☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Street Address: 9F, No. 10, Li-Hsin Rd., Science-
Based Industrial Park,

City: Hsinchu State: _____ Zip: _____

Country: Taiwan Postal Code: _____

Execution Date: December 1, 2002

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):
If this document is being filed together with a new application, the filing date of the application is: _____

A. Patent Application No.(s)

B. Patent No.(s)

10/316,088

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Attorney Name: Donald R. Studebaker

Firm Name: Nixon Peabody LLP

Internal Address: Suite 800

Street Address: 8180 Greensboro Drive,

City: McLean State: VA Zip: 22102

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$40.00

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number:

19-2380 (742433-45)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Donald R. Studebaker

Name of Person Signing

Signature

May 12, 2003

Date

Total number of pages including cover sheet, attachments, and documents: 4

Certificate of Mailing or Transmission [37 CFR 1.8(a)]

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to Mail Stop Assignment Recordation Services, Director of the US Patent and Trademark Office, P. O. Box 1450, Alexandria, VA 22313-1450, or being facsimile transmitted to the USPTO at (703) 306-5995, on May 12, 2003.

Signature:
Name: Rhonda M. Grant

Mail documents to be recorded with required cover sheet information to:

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EET U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office
Docket No. 742433-45

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying parties:

Tzer-Perng CHEN
Chih-Sung CHANG
Kuang-Neng YANG

12-11-02

2. Name and address of receiving party(ies)

Name: United Epitaxy Company, Ltd.

Internal Address: _____

Street Address: 9F, No. 10, Li-Hsin Rd., Science-
Based Industrial Park,

City: Hsinchu State: _____ Zip: _____

Country: Taiwan Postal Code: _____

Additional name(s) & address(es) attached? ☐ Yes ☒ No

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: _____

4. Application number(s) or patent number(s);

If this document is being filed together with a new application, the filing date of the application is: _____

A. Patent Application No.(s)

10,316088

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Attorney Name: Donald R. Studebaker

Firm Name: Nixon Peabody LLP

Internal Address: Suite 800

Street Address: 8180 Greensboro Drive,

City: McLean State: VA Zip: 22102

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41)\$40.00

- ☒ Enclosed
☐ Authorized to be charged to deposit account

8. Deposit account number:

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Donald R. Studebaker

Name of Person Signing

Signature

December 11, 2002

Date

Total number of pages including cover sheet, attachments, and documents: 3

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

ASSIGNMENT

Serial No. 10/316,088

Filed December 11, 2002

WHEREAS, Tzer-Peng CHEN, Chih-Sung CHANG, Kuang-Neng YANG (hereinafter designated as the undersigned) has (have) invented certain new and useful improvements in

Method for Integrating Compound Semiconductor with

Substrate of High Thermal Conductivity

for which an application for Letters Patent of the United States of America has been executed by the undersigned on _____, and;

WHEREAS, _____ United Epitaxy Company, Ltd. of

9F, No. 10, Li-Hsin Rd., Science-Based Industrial Park, Hsinchu, Taiwan

its heirs, successors, legal representatives and assigns (hereinafter designated as the Assignee) is desirous of acquiring the entire right, title and interest in and to said invention and in and to any Letters Patent(s) that may be granted therefor in the United States of America;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to the undersigned in hand paid, the receipt of which is hereby acknowledged, and other good and valuable consideration, the undersigned has (have) sold, assigned and transferred, and by these presents do sell, assign and transfer unto said Assignee the full and exclusive right to the said invention in the United States of America and its territories and for all foreign countries, dependencies and possessions and the entire right, title and interest in and to any and all Letters Patent(s) which may be granted therefor in the United States of America and its territories, dependencies and possessions, and in and to any and all divisions, reissues, continuations and extensions thereof for the full term or terms for which the same may be granted.

The undersigned agree(s) to execute all papers necessary in connection with this application and any continuing, divisional or reissue applications thereof and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient.

The undersigned agree(s) to execute all papers necessary in connection with any interference which may be declared concerning this application or any continuation, division or reissue thereof or Letters Patent(s) or reissue patent issued thereon and to cooperate with the Assignee in every way possible in obtaining and producing evidence and proceeding with such interference.

The undersigned agree(s) to execute all papers and documents and to perform any act which may be necessary in connection with claims under or provisions of the International Convention for the Protection of Industrial Property or similar agreements.

The undersigned agree(s) to perform all affirmative acts which may be necessary to obtain a grant of a valid United States patent(s) to the Assignee and to vest all rights therein hereby conveyed to said Assignee as fully and entirely as the same would have been held by the undersigned if this Assignment and sale had not been made.

The undersigned hereby authorize(s) and request(s) the Commissioner of Patents and Trademarks to issue any and all Letters Patents of the United States of America resulting from said application or any division or divisions or continuing or reissue applications thereof to the said Assignee, as Assignee of the entire interest, and hereby covenants that he has (they have) the full right to convey the entire interest herein assigned, and that he has (they have) not executed, and will not execute, any agreement in conflict herewith.

The undersigned hereby grant(s) the law firm of NIXON PEABODY LLP the power to insert on this Assignment any further identification which may be necessary or desirable in order to comply with the rules of the U.S. Patent and Trademark Office for recordation of this document.

Date 12/01/2002 Signature Tzer-Peng Chen

Name Tzer-Peng Chen

Date 12/01/2002 Signature Chih-Sung Chang

Name Chih-Sung Chang

Date 12/01/2002 Signature Kuang-Neng Yang

Name Kuang-Neng Yang