

05-19-2003



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## RECORDATION FORM COVER SHEET

5-13-03

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1. Total number of pages including cover sheet, attachments and document: 13

2. Name of Conveying Party(ies) and Execution Date(s) of Document(s) ☐ Check here if additional name(s) attached

Name(s): Liquid Audio

Execution Date(s): September 27, 2002

3. Name and address of receiving party

☐ Check here if additional name(s) & address(es) are attached

Name Microsoft Corporation

Address One Microsoft Way

City Redmond

State/Country WA

Zip 98052

4. Nature of Conveyance

☐ Assignment☐ Merger☐ Security Agreement☐ Name Change☒ Other: Submitting

corrected Recordation Form Cover Sheet for Document ID No. 102325302

5. Total number of applications and patents involved: 28

6. Total Fee Enclosed (37 C.F.R. § 3.41): Fee previously submitted (see attached)

7. ☒ Check here if any deficiency/overpayment is authorized to be charged to deposit account 02-4550

8. Enter either the Execution date (of the Declaration and Power of Attorney), Application Number, or the Patent Number. Do not enter more than one number for the same patent.

A. ☐ This document is being filed with a new application. Execution date is: \_\_\_\_\_B. ☒ Patent Application No.(s) or Patent No.(s): 08/966,072☒ Check here if additional numbers are attached

9. Correspondent's name, address, and telephone number

Stephen A. Wight

Klarquist Sparkman, LLP

One World Trade Center, Suite 1600

121 S.W. Salmon Street

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Telephone: 503-226-7391

10. ☒ Please return the attached postcard to confirm that these items have been received.

11 Statement and signature To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Stephen A. Wight

Name of Person Signing

Signature

May 9, 2003

Date

**RECORDATION FORM COVER SHEET – PATENTS ONLY  
CONTINUATION SHEET****12. Additional Patent number(s) or Patent Application number(s).****Enter either the Application Number or the Patent Number. Do not enter both numbers for the same property.**

U.S. Patent No. 5,852,800

U.S. Patent No. 6,121,904

U.S. Patent No. 6,028,541

U.S. Patent No. 6,118,392

U.S. Patent No. 6,043,763

U.S. Patent No. 6,125,348

U.S. Patent No. 6,330,673

U.S. Patent No. 6,209,094

U.S. Patent No. 6,345,100

U.S. Patent No. 6,320,965

U.S. Patent No. 6,219,634

U.S. Patent No. 6,151,631

U.S. Patent No. 6,266,644

U.S. Patent No. 6,330,675

U.S. Patent No. 6,367,019

U.S. Patent No. 6,385,596

U.S. Application No. 09/522,061

U.S. Application No. 09/289,513

U.S. Application No. 09/551,260

U.S. Application No. 09/389,842

U.S. Application No. 09/468,279

U.S. Application No. 09/645,014

cc: Docketing

**PATENT  
REEL: 014066 FRAME: 0167**

U.S. Application No. 09/645,013

U.S. Application No. 09/773,256

U.S. Provisional Application No. 60/353,581

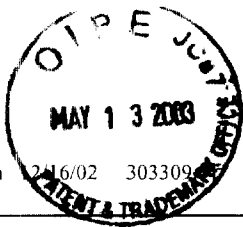
PCT/US00/08118

PCT/US00/28205

PCT/US02/01746

cc: Docketing

**PATENT**  
**REEL: 014066 FRAME: 0168**



SAV/lcm 2/16/02 303309



12-31-2002

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Atty. Ref. No. 3382-64277

## RECORDATION FORM COVER SHEET - PATENTS ONLY

BOX ASSIGNMENT

COMMISSIONER OF PATENTS AND TRADEMARKS

WASHINGTON, D.C. 20231

12-23-02

1. Total number of pages including cover sheet, attachments and document: 9		
2. Name of Conveying Party(ies) and Execution Date(s) of Document(s) <input type="checkbox"/> Check here if additional name(s) attached Name(s): Liquid Audio Execution Date(s): September 27, 2002		
3. Name and address of receiving party <input type="checkbox"/> Check here if additional name(s) & address(es) are attached Name: Microsoft Corporation Address: One Microsoft Way City: Redmond State/Country: Washington Zip: 98052		
4. Nature of Conveyance <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Name Change <input type="checkbox"/> Other:		
5. Total number of applications and patents involved: 28		
6. Total Fee Enclosed (37 C.F.R. § 3.41): \$1,120.00		
7 <input checked="" type="checkbox"/> Check here if any deficiency/overpayment is authorized to be charged to deposit account 02-4550		
8. Enter either the Execution date (of the Declaration and Power of Attorney), Application Number, or the Patent Number. Do not enter more than one number for the same patent. A. <input type="checkbox"/> This document is being filed with a new application. Execution date is: _____ B. <input checked="" type="checkbox"/> Patent Application No.(s) or Patent No.(s): 08/966,072 <input checked="" type="checkbox"/> Check here if additional numbers are attached		
9. Correspondent's name, address, and telephone number Stephen A. Wight Klarquist Sparkman, LLP One World Trade Center, Suite 1600 121 S.W. Salmon Street Portland, Oregon 97204-2988 Telephone: 503-226-7391		
10. <input checked="" type="checkbox"/> Please return the attached postcard to confirm that these items have been received.		
11. Statement and signature To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.  Stephen A. Wight Name of Person Signing  Signature  December 16, 2002 Date		

12/30/2002 6TON11 00000126 08966072

01 FC:8321

1120.00 OF

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**RECORDATION FORM COVER SHEET – PATENTS ONLY  
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**Enter either the Application Number or the Patent Number. Do not enter both numbers for the same property.**

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U.S. Patent No. 6,121,904

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U.S. Application No. 09/468,279

U.S. Application No. 09/645,014

U.S. Application No. 09/645,013

U.S. Application No. 09/773,256

U.S. Provisional Application No. 60/353,581

PCT Application No. WO 2000/US08118

PCT Application No. WO 2000/US28205

PCT Application No. WO 2002/US01746

*PCT/US02/  
01746*

cc: Docketing

**PATENT**  
**REEL: 014066 FRAME: 0171**

# **Exhibit A to Asset Purchase Agreement**

## **ASSIGNMENT**

**WHEREAS, LIQUID AUDIO**, a Delaware Corporation, (hereinafter referred to as "Assignor") owns all right, title and interest in and to the inventions, patents and patent applications (hereinafter referred to as the "Intellectual Property") identified in Exhibit B attached hereto; and

**WHEREAS, MICROSOFT CORPORATION**, a Washington Corporation, (hereinafter referred to as "Assignee"), is desirous of acquiring the entire domestic and foreign right, title, and interest in and under the Intellectual Property.

**NOW, THEREFORE**, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Assignor assigns and transfers to the Assignee and the Assignee's legal representatives, successors and assigns, pursuant to the terms of a concurrently executed Asset Purchase Agreement, its full and exclusive rights in and to the Intellectual Property in the U.S. and every foreign country and its entire right, title, and interest in and to the Intellectual Property and related applications (e.g., provisional applications, non-provisional applications, continuations, continuations-in-part, divisionals, reissues, reexaminations, National phase applications, including petty patent applications, and utility model applications) that may be filed in the United States and every foreign country on the Intellectual Property, and extensions or derivations thereof, both foreign and domestic, that may issue thereon, and we do hereby authorize and request the Commissioner of Patents to issue U.S. patents to the above-mentioned Assignee agreeably with the terms of this assignment document. Further, Assignor assigns and transfers to the Assignee and the Assignee's legal representatives, successors and assigns the full and exclusive right to recover all past damages and other potential relief arising from the Intellectual Property assigned by this Agreement, including the full and exclusive right to pursue Civil Action Number 3:02cv3115, filed in US District Court for the Northern District of California (San Francisco), and naming Infosplit, Inc., as defendant.

**ASSIGNOR HEREBY AUTHORIZES** the Assignee to insert in Exhibit B to this assignment document the filing date and application number of any application if the date and number are unavailable at the time this document is executed.

**UPON SAID CONSIDERATION**, Assignor conveys to the Assignee the right to make application in its own behalf for protection of the Intellectual Property in the U.S. and countries foreign to the U.S. and to claim under the Patent Cooperation Treaty, the International Convention and/or other international arrangement for any such application the date of any earlier U.S. application (or any other application on the invention) to gain priority with respect to other applications.

IN WITNESS WHEREOF, Assignor has caused one of its officers to hereunder set his hand on the date shown below.

Date Sept. 27, 2002

Gerald W. Kearby CEO  
Title

STATE OF CALIFORNIA )

) SS:

COUNTY OF SAN MATEO )

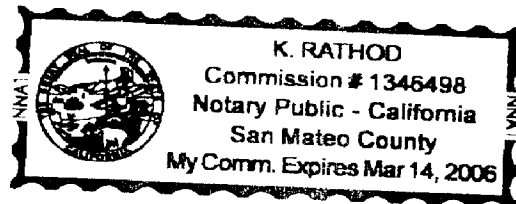
On this 27<sup>th</sup> day of SEPTEMBER, 2002, before me, a Notary Public in and for said county, appeared GERALD KEARBY, who is personally PROVED known to me to be the same person whose name is subscribed to the foregoing assignment document, and acknowledged that he/~~she~~ signed and delivered the document as his/her free and voluntary act for the uses and purposes therein set forth.

K. Rathod

Notary Public

{SEAL}

My Commission Expires: 03/14/2006





## Exhibit B to Asset Purchase Agreement

### Select Liquid Audio Patents:

U.S. Patent No. 5,852,800, to Modeste, et al.

**Title: Method and Apparatus for user Controlled Modulation and Mixing for Digitally Stored Compressed Data**

Issued: December 22, 1998

U.S. Patent No. 6,121,904, to Levine, E.

**Title: Lossless Data Compression with Low Complexity**

Issued: September 19, 2000

U.S. Patent No. 6,028,541, to Levine, E.

**Title: Lossless Data Compression with Low Complexity**

Issued: February 22, 2000

U.S. Patent No. 6,118,392, to Levine, E.

**Title: Lossless Data Compression with Low Complexity**

Issued: September 12, 2000

U.S. Patent No. 6,043,763, to Levine, E.

**Title: Lossless Data Compression with Low Complexity**

Issued: March 28, 2000

U.S. Patent No. 6,125,348, to Levine, E.

**Title: Lossless Data Compression with Low Complexity**

Issued: September 26, 2000

U.S. Patent No. 6,330,673, to Levine, E.

**Title: Robust Watermark Method and Apparatus for Digital Signals**

Issued: December 11, 2001

U.S. Patent No. 6,209,094, to Levine, E., et al.

**Title: Robust Watermark Method and Apparatus for Digital Signals**

Issued: March 27, 2001

U.S. Patent No. 6,345,100, to Levine, E.

**Title: Robust Watermark Method and Apparatus for Digital Signals**

Issued: February 5, 2002

U.S. Patent No. 6,320,965, to Levine, E.

**Title: Secure Watermark Method and Apparatus for Digital Signals**

Issued: November 20, 2001

U.S. Patent No. 6,219,634, to Levine, E.

**Title: Efficient Watermark Method and Apparatus for Digital Signals**

Issued: April 17, 2001

U.S. Patent No. 6,151,631, to Ansell, et al.

**Title: Territorial Determination of Remote Computer Location in a Wide Area Network for Conditional Delivery of Digitized Products**

Issued: November 21, 2000

U.S. Patent No. 6,266,644, to Levine, S.

**Title: Audio Encoding Apparatus and Methods**

Issued: July 24, 2001

U.S. Patent No. 6,330,675, to Wiser, et al.

**Title: System and Method for Secure Transfer of Digital Data to a Local Recordable Storage Medium**

Issued: December 11, 2001

U.S. Patent No. 6,367,019, to Ansell, et al.

**Title: Copy Security for Portable Music Players**

Issued: April 2, 2002

U.S. Patent No. 6,385,596, to Wiser, et al.

**Title: Secure Online Music Distribution System**

Issued: May 7, 2002

### **Select Liquid Audio Applications:**

U.S. Serial No. 09/522,061, filed March 9, 2000, by Wiser, et al.

**Title: Secure Online Music Distribution System**

Atty Docket P-2110.01

U.S. Application No. 08/966,072, filed July 11, 1997, by Wiser, et al.

**Title: Digital Audio Signal Filtering Mechanism and Method**

Atty Docket P-2064

U.S. Application No. 09/289,513, filed April 9, 1999, by Wiser, et al.

**Title: Secure Online Music Distribution System**

Atty Docket P-2090

U.S. Serial No. 09/551,260, filed April 18, 2000, by Ansell, et al.

**Title: Territorial Determination of Remote Computer Location in a Wide Area Network for Conditional Delivery of Digitized Products**

Atty Docket P-2091.01

U.S. Application No. 09/389,842, filed September 2, 1999, by Levine, E., et al.

**Title: Server Side Watermark Data Writing Method and Apparatus for Digital Signals**

Atty Docket P-2100

U.S. Application No. 09/468,279, filed December 20, 1999, by Ansell, et al.

**Title: Adaptable Security Mechanism for Preventing Unauthorized Access of Digital Data**

Atty Docket P-2131

U.S. Application No. 09/645,014, filed August 23, 2000, by Lucas, et al.

**Title: Remote Software Installation and Maintenance**

Atty Docket P-2138D1

U.S. Application No. 09/645,013, filed August 23, 2000, by Lucas, et al.

**Title: Remote Software Installation and Maintenance**

Atty Docket P-2138D2

U.S. Application No. 09/773,256, filed January 30, 2001, by Brownell, et al.

**Title: Security Mechanism for Computer Processing Modules**

Atty Docket P-2170

U.S. Provisional Application No. 60/353,581, filed January 30, 2002, by Brownell, et al.

**Title: Digital Rights Management**

Atty Docket PR-2219

### **Select Liquid Audio Foreign-Filed Applications:**

EP Application No. EP20000921844, filed April 7, 2000, naming Wiser, Cherenson, Ansell, and Cannon

**Title: Secure Online Music Distribution System**

Atty Docket P-2090-EP

Japanese Application No. 2000-611,258, filed November 2, 2001, naming Wiser, et al.

**Title: Secure Online Music Distribution System**

Atty Docket P-2090-JP

EP Application No. EP19990953187, filed October 15, 1999, naming Ansell and Cherenson

**Title: Territorial Determination of Remote Computer Location in A Wide Area Network for Conditional Delivery of Digitized Products**

Atty Docket P-2091-EP

Japanese Application No. 2000-576,334, filed October 15, 1999, naming Ansell, et al.

**Title: Territorial Determination of Remote Computer Location in A Wide Area Network for Conditional Delivery of Digitized Products**

Atty Docket P-2091-JP

PCT Application No. WO2000US08118, filed March 24, 2000, naming Ansell, Cherenson, Paley, Katz, Kelsey, and Schneier

**Title: Copy Security for Portable Music Players**

Atty Docket P-2098-PCT

EP Application No. EP20000918450, filed March 24, 2000, naming Ansell, Cherenson, Paley, Katz, Kelsey, and Schneier

**Title: Copy Security for Portable Music Players**

Atty Docket P-2098-EP

Japanese Application No. 2000-608,380, filed , naming Ansell, et al.

**Title: Copy Security for Portable Music Players**

Atty Docket P-2098-JP

PCT Application No. WO2000US28205, filed October 11, 2000, naming Ansell, et al.

**Title: Adaptable Security Mechanism for Preventing Unauthorized Access of Digital Data**

Atty Docket P-2131-PCT

Japanese Application No. 2001-547,236, filed June 20, 2002, naming Ansell, et al.

**Title: Adaptable Security Mechanism for Preventing Unauthorized Access of Digital Data**

Atty Docket P-2131-JP

EP Application No. EP20000976565, filed October 11, 2000, naming Ansell, et al.

**Title: Adaptable Security Mechanism for Preventing Unauthorized Access of Digital Data**

Atty Docket P-2131-EP

PCT Application No. WO2002US01746, filed January 22, 2002, naming Brownell, et al.

**Title: Security Mechanism for Computer Processing Modules**

Atty Docket P-2170-PCT