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Docket No.: POU920030054US1

FCRM PTO-1595 (Modified)
(Rev. 03-01)
OMB No. 0651-0027 (exp 5/31/2002)
POB/RE /03



FEET

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

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102451738

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1 Name of conveying party(ies):

Michael A. Blake
Pak-kin Mak
Adrian E. Seigler
Gary A. Van Huben

S-12-03

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

3 Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: 5/9/03; 5/9/03; 5/9/03; 5/9/03

2. Name and address of receiving party(ies):

Name: International Business Machines Corporation

Address: New Orchard Road

City: Armonk

State/Prov.: NY

Country: USA

ZIP: 10504

Additional name(s) & address(es)

☐ Yes ☒ No

4 Application number(s) or patent numbers(s):

10435776

If this document is being filed together with a new application, the execution date of the application is: May 9, 2003

Patent Application No.

Filing date

B. Patent No.(s)

Additional numbers

☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Philmore H. Colburn II

Registration No. 35,101

Address: Cantor Colburn LLP

55 Griffin Road South

05/19/2003 TDIAG1 00000122 090463 10435776

01 FC:8021 40.00 CH

City: Bloomfield

State/Prov.: CT

Country: USA

ZIP: 06002

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

- ☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account
☒ Authorized to be charged to deposit account

8. Deposit account number:

09-0463

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

David A. Fox, Reg. No. 38,807

May 12, 2003

Name of Person Signing

Signature

3

Date

Total number of pages including cover sheet, attachments, and

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

PATENT

REEL: 014072 FRAME: 0292

U.S. PTO
10/435776
05/12/03

ASSIGNMENT

Whereas, we

- (1) Michael A. Blake of 3 Central Ave., City of Wappingers Falls,
County of Dutchess and State of NY 12590
- (2) Pak-kin Mak of 7 Trotter Lane, City of Poughkeepsie,
County of Dutchess and State of NY 12603
- (3) Adrian E. Seigler of 86 Sutton Park Road, City of Poughkeepsie,
County of Dutchess and State of NY 12603
- (4) Gary A. Van Huben of 22 Daley Road, City of Poughkeepsie,
County of Dutchess and State of NY 12603

have invented certain improvements in

COHERENCY MANAGEMENT FOR A SWITCHLESS DISTRIBUTED SHARED MEMORY COMPUTER SYSTEM

and executed a United States Patent Application therefor on

- | | |
|-------------------|-------------------|
| (1) <u>5/9/03</u> | (2) <u>5/9/03</u> |
| (3) <u>5/9/03</u> | (4) <u>5/9/03</u> |

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York, 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we, the above named, hereby sell, assign and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and the invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patents granted upon the invention set forth in said application to IBM, its successors and assigns; and I hereby agree that IBM may apply for foreign Letters Patent on said invention and I will execute all IBM

A S S I G N M E N T (Continued)

papers necessary in connection with the United States and fo reign applications when called upon to do so by IBM.

Signed

- (1) Michael A Blake at Poughkeepsie, NY on 5/9/03
Michael A. Blake
- (2) Pak-kin Mak at POUGHKEEPSIE NY on 5/9/03
Pak-kin Mak
- (3) Adrian E Seigler at Poughkeepsie, NY on 5/9/03
Adrian E. Seigler
- (4) Gary A Van Huben at Poughkeepsie NY on 5/9/03
Gary A. Van Huben