

05-21-2003

Form PTO-1595  
(Rev. 10/02)  
OMB No. 0651-0027 (exp. 6/30/2005)



U.S. DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark Office  
GUID-005CON2

102453224

Tab settings ⇌ ⇌ ⇌

To the honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Federico J. Benetti

Charles S. Taylor

Ivan Sepetka

Amr Salahieh

Robert C. Glines

William N. Aldrich

Brent Regan

John F. Frantzen

## 2. Name and address of receiving party(ies)

Name: \*CARDIOTHORACIC SYSTEMS, INC.

Internal Address: 3200 LakeSide Dr. Bldg. B, 4<sup>th</sup> Flr MS:444

City: Santa Clara State: CA Zip: 95054

**NOTE: Cardiothoracic Systems, Inc.,** has moved from  
3280 Alpine Road, Portola Valley, CA 94028 to 3200 LakeSide  
Dr., Bldg. B 4th Flr. MS:444 Santa Clara, CA 95054

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:



Assignment



Merger



Security Agreement



Change of Name



Other \_\_\_\_\_

Execution Date: 5/29/96; 5/14/96; 5/14/96; 5/14/96; 5/14/96  
5/14/96; 5/15/96 and 5/24/96

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s) 09/975,392 Filed 10/10/01

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Alan W. Cannon

Law Office of Alan W. Cannon

Internal Address: \_\_\_\_\_

Street Address: 834 South Wolfe Road

City: Sunnyvale State: California Zip: 94086

## 6. Total number of applications and patents involved:

7. Total fee (37 CFR 3.41). . . . . \$ 40.00



Enclosed



Authorized to be charged to deposit account

## 8. Deposit account number:

50-2653

(Attach duplicate copy of this page if paying by deposit account)

05/20/2003 TDI A21 00000155 502653 09975392  
01 FC:002 40.00 CH

DO NOT USE THIS SPACE

## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy  
is a true copy of the original document.

Alan W. Cannon

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and documents: 16

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments

VIRGINIA 22313-1450

PATENT  
REEL: 014075 FRAME: 0380

A S S I G N M E N T

WHEREAS, We, **Federico J. Benetti, M.D.**, a citizen of Argentina, residing at Entre Rios 134-6 Piso, 2000 Rosario-Santa Fe, **Charles S. Taylor**, a citizen of the USA, residing at 4380 26th Street, San Francisco, California 94131, **Ivan Sepetka**, a citizen of the USA, residing at 346 Costello Court, Los Altos, California 94024, **Amr Salahieh**, a citizen of Syria, residing at 935 Lovell Avenue, Campbell, California 95008, **Robert C. Glines**, a citizen of the USA, residing at 2611 Knollwood Drive, Cameron Park, California 95682, **William N. Aldrich**, a citizen of the USA, residing at 111 Lexington Avenue, Redwood City, California 94062, **Brent Regan**, a citizen of the USA, residing at 26180 Road 97, Davis, California 95616, and **John J. Frantzen**, a citizen of the USA, residing at 424 Poker Flat Road, Copperopolis, California 95228 (hereinafter referred to as "ASSIGNORS"), have invented and own a certain invention entitled "SURGICAL INSTRUMENTS AND PROCEDURES FOR STABILIZING THE BEATING HEART DURING CORONARY ARTERY BYPASS GRAFT SURGERY", for which application for Letters Patent of the United States of America has been filed on February 20, 1996, under Serial No. 08/603,758; and

WHEREAS, **CARDIOTHORACIC SYSTEMS, INC.**, a corporation organized and existing under and by virtue of the laws of the State of California and having its principal place of business at 3280 Alpine Road, Portola Valley, CA 94028 (hereinafter referred to as "ASSIGNEE"), is desirous of acquiring the exclusive right, title and interest in, to and under said invention and in, to and under Letters Patent or similar legal protection to be obtained there-

for in the United States of America, its territorial possessions and in any and all countries foreign thereto.

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNORS hereby sell, assign, transfer and set over unto the said ASSIGNEE, its successors and assigns, the full and exclusive right, title and interest to said invention and to all Letters Patent or similar legal protection, not only in the United States and its territorial possessions, but in all countries foreign thereto, to be obtained for said invention by said application or any continuation, division, renewal, substitute or reissue thereof or any legal equivalent thereof in a foreign country for the full term or terms for which the same may be granted, including all priority rights under the International Convention; and ASSIGNORS hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent and any legal equivalent thereof to said ASSIGNEE, its successors and assigns, in accordance with this Assignment.

ASSIGNORS hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this Assignment.

ASSIGNORS further covenant that ASSIGNEE will, upon request of ASSIGNEE, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents in foreign countries as may be known and accessible to ASSIGNORS and will testify as to the same in any interference or legal proceeding



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PATENT

related thereto and will promptly execute and deliver to ASSIGNEE or its designated legal representative any and all papers, instruments, declarations or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof in any foreign country which may be necessary or desirable to carry out the purposes thereof.

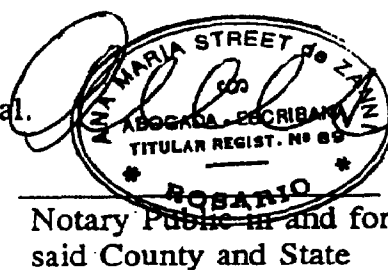
WITNESS my hand at ROSARIO SANTAFE A.C.  
(city) (state)  
this 29 day of May, 1996

  
FEDERICO J. BENETTI, M.D.

STATE OF SANTAFE )  
COUNTY OF A.C. ) ss.

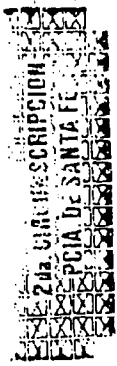
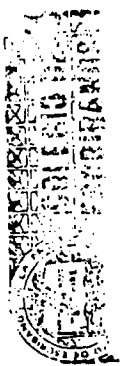
On this 29 day of mayo - - -, 1996, before me, a Notary Public, personally appeared Federico J. Benetti, M.D. known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.



Certifik efectuado en Foja  
Notarial de certificación  
No. 1903118

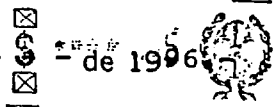
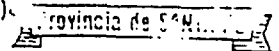
Seal



CERTIFICACION DE FIRMAS o  
de IMPRESIONES DIGITALES

(Ley 6898 art. 12 y Decret. 1612/95 art. 10).

Nº 1903119



Rosario, 29 - - - - - de mayo - - - - -

De conformidad con el requerimiento obrante en el acta número 23.-

labrada en la fecha, al folio 24.- del Registro de Intervenciones

Nº 89.-, del que soy titular- - -, en mi carácter de ESCRIBANO PUBLICO,

en uso de las atribuciones que me confieren las Leyes y Reglamentaciones

vigentes.

CERTIFICO

Que la/s firma/s que obra/n en el documento anexo, cuyo/s folio/s firmo y sello,

ha/n sido puesta/s en la fecha y en mi presencia por FEDERICO JOSE BENETTI

ROSSI.- - - - -



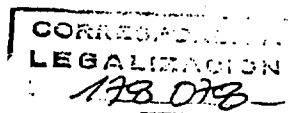
quien/es exhibe/n documento/s de identidad: LE.Nº6.073.679.- - - - -

*lls*

Tengo a la vista la documentación que acredita el carácter invocado, de

SE DEJA CONSTANCIA que el documento ha sido redactado en inglés

Soberrraspado: 29 vale.-



PATENT

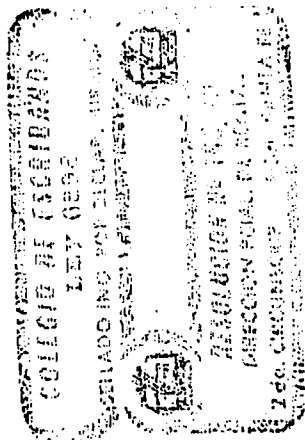
REEL: 014075 FRAME: 0384



CERTIFICACION DE FIRMAS o  
de IMPRESIONES DIGITALES  
(Ley 6898 art. 12 y Decret. 1612/95 art. 10)

Nº 1903119





SELLADO DE LEGALIZACION

EL COLEGIO DE ESCRIBANOS DE LA PROVINCIA DE

SANTA FE, República Argentina, en virtud de las facultades que  
le confiere la Ley 6898 y Decreto 1485/73, legaliza la firma y se-

llo del Escribano don ANA MARIA STREET de ZANKI

obrantes en el documento anexo. presentado en el día de la fecha

bajo el N° 178078 SERIE "A". La presente legaliza-

ción no juzga sobre el contenido y forma del documento.



Rosario. 31 MAY 1996

P.S. VIVIANA E. SANCHEZ  
VOCAL SUPLENTE

COLEGIO de ESCRIBANOS  
de la  
PROVINCIA de SANTA FE

Segunda Circunscripción  
Ley 8898

CONTADURIA

Una Legalización de Urgencia

Serie "A"

Nº 178078



WITNESS my hand at PORTOLA VALLEY, CA,  
(city) (state)

this 14 day of MAY, 1996.

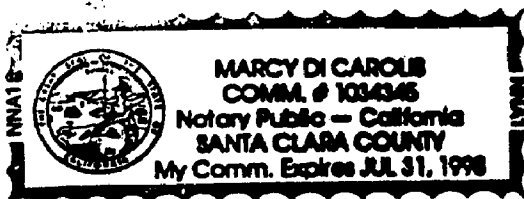
Charles S. Taylor  
CHARLES S. TAYLOR

STATE OF California  
COUNTY OF San Mateo SS.

On this 14<sup>th</sup> day of May, 1996, before me, a Notary Public,  
personally appeared Charles S. Taylor known to me (or proved to me on the basis of  
satisfactory evidence) to be the person whose name is subscribed to the within instrument and  
acknowledged to me that he executed the same in his authorized capacity and that by his  
signature on the instrument the person, or the entity upon behalf of which the person acted,  
executed the instrument.

WITNESS my hand and official seal.


Seal



Marcy Di Carolis  
Notary Public in and for  
said County and State

WITNESS my hand at PORTOLA VALLEY, CA 94028  
(city) (state)

this 14<sup>th</sup> day of May, 1996

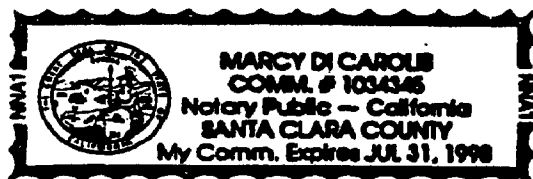
  
IVAN SEPETKA

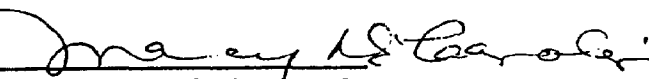
STATE OF California  
COUNTY OF San Mateo SS.

On this 14<sup>th</sup> day of May, 1996, before me, a Notary Public, personally appeared Ivan Sepetka known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Seal



  
Notary Public in and for  
said County and State

WITNESS my hand at Petaluma Valley, CA,  
(city) (state)

this 14 day of May, 1996

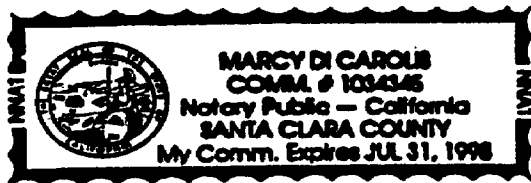
  
AMR SALAHIEH

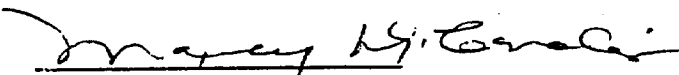
STATE OF California  
COUNTY OF San Mateo SS.

On this 14<sup>th</sup> day of May, 1996, before me, a Notary Public,  
personally appeared Amr Salahieh known to me (or proved to me on the basis of satisfactory  
evidence) to be the person whose name is subscribed to the within instrument and acknowledged  
to me that he executed the same in his authorized capacity and that by his signature on the  
instrument the person, or the entity upon behalf of which the person acted, executed the  
instrument.

WITNESS my hand and official seal.

Seal



  
Notary Public in and for  
said County and State

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PATENT

WITNESS my hand at Portola Valley, CA.,  
(city) (state)

this 14<sup>TH</sup> day of May, 1996.

Robert C. Glines  
ROBERT C. GLINES

STATE OF California  
COUNTY OF San Mateo

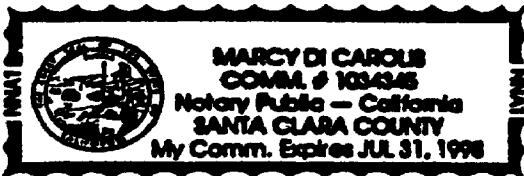
SS.

On this 14<sup>th</sup> day of May, 1996, before me, a Notary Public, personally appeared Robert C. Glines known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Marcy D. Carous  
Notary Public in and for  
said County and State

Seal



WITNESS my hand at Portola Valley, California,  
(city) (state)

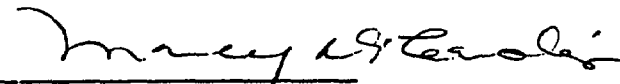
this 14 day of May, 1996.

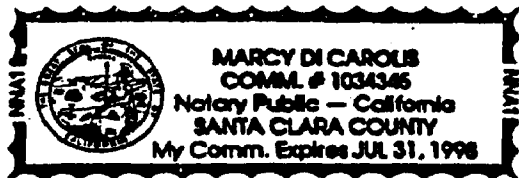
  
WILLIAM N. ALDRICH

STATE OF California  
COUNTY OF San Mateo SS.

On this 14<sup>th</sup> day of May, 1996, before me, a Notary Public,  
personally appeared William N. Aldrich known to me (or proved to me on the basis of  
satisfactory evidence) to be the person whose name is subscribed to the within instrument and  
acknowledged to me that he executed the same in his authorized capacity and that by his  
signature on the instrument the person, or the entity upon behalf of which the person acted,  
executed the instrument.


WITNESS my hand and official seal.

  
Notary Public in and for  
said County and State



WITNESS my hand at Porterville, CA,  
City (state)

this 15 day of MAY, 19 96.

  
Brent Regan

STATE OF \_\_\_\_\_

ss.

COUNTY OF \_\_\_\_\_

On this \_\_\_\_\_ day of \_\_\_\_\_, 1996, before me, a Notary Public, personally appeared Brent Regan known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

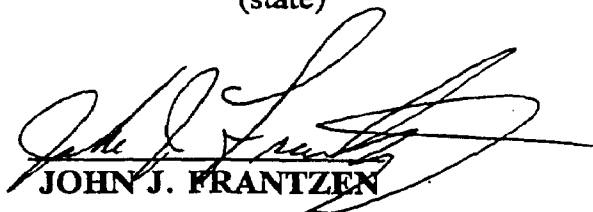
WITNESS my hand and official seal.

Seal

\_\_\_\_\_  
Notary Public in and for  
said County and State

WITNESS my hand at Copperopolis,, California  
(city) (state)

this 24th day of May, 1996.

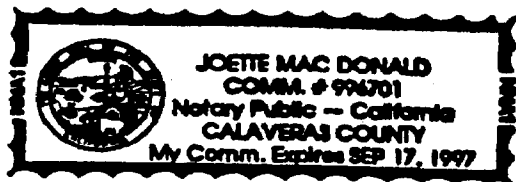
  
JOHN J. FRANTZEN

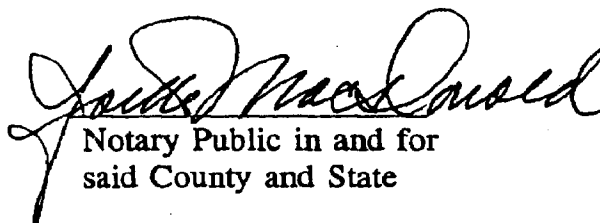
STATE OF CALIFORNIA  
)  
COUNTY OF CALAVERAS SS.

On this 24th day of May, 1996, before me, a Notary Public,  
personally appeared **John J. Frantzen** known to me (or proved to me on the basis of satisfactory  
evidence) to be the person whose name is subscribed to the within instrument and acknowledged  
to me that he executed the same in his authorized capacity and that by his signature on the  
instrument the person, or the entity upon behalf of which the person acted, executed the  
instrument.

WITNESS my hand and official seal.

Seal



  
Notary Public in and for  
said County and State