

ATTORNEY DOCKET NO. PG3736

Form PTO-1595

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

RECORDATION FORM COVER SHEET  
PATENTS ONLY

**CERTIFICATION OF FACSIMILE TRANSMISSION**

I hereby certify that this paper is being facsimile transmitted to the Patent and Trademark Office Assignment

Branch at (703) 306-5995 on October 28, 2003 by

Admin's name here: Elaine Martens

Sign: Elaine Martens

Commissioner of Patents. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies)  
**Richard Ian WALKER**

Additional names of conveying party(ies) attached?  
[ ] yes [X] no

2. Name and address of receiving party(ies)  
**SMITHKLINE BEECHAM CORPORATION**  
**One Franklin Plaza**  
**PO BOX 7929**  
**Philadelphia, Pennsylvania 19101-7929**  
**USA**

Additional name(s) and addresses attached?  
[ ] yes [X] no

3. Description of the interest conveyed:

[X] Assignment, please record and return  
[ ] Security Agreement  
[ ] Other

[ ] Merger  
[ ] Change of Name

Execution date of Assignment : **January 16, 2003**

4. Application number(s) or patent number(s). Additional Sheets attached?    Yes   X   No

A. Patent Application No.(s)  
**10/031,940 filed January 22, 2002**

B. Patent No.(s)

If this document is being filed together with a new application, the execution date of the application is

5. Name and address of party to whom correspondence concerning documents should be mailed:

6. **David J. Levy**  
**GlaxoSmithKline**  
**Corporate Intellectual Property-MAI-B475**  
**Five Moore Drive**  
**P.O. Box 13398**  
**Research Triangle Park, NC 27709-3398**

7. Total number of applications and patents involved   1  .

8. Total Fee (37 C.F.R. 3.41) **\$40.00**

8. Please charge this fee to deposit account No. **07-1392**.

The Commissioner is hereby authorized to charge any additional fees under 37 CFR 1.16 or 1.17 which may be required by this paper, or credit any overpayment, to our Deposit Account No. 07-1392.

DO NOT USE THIS SPACE

9. Statement and Signature.  
*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Charles E. DADSWELL (Reg. 35,851)  
Name of Person Signing

Charles E. Dadswell (em)  
Signature

October 28, 2003  
Date

Total number of pages including cover sheet, attachments and document:   4  

CH \$40.00 071392 10031940

PG3736

**ASSIGNMENT**

WHEREAS I/WE, **Richard Ian WALKER** residing at **Ware, Herts, United Kingdom** (hereinafter called "the inventor(s)") has invented or discovered **VALVE WITH A VALVE STEM WIPER** (hereinafter called "the invention") for which a patent application No. GB9918627.2 was filed on **7 August 1999** in the United Kingdom; and for which international patent application PCT/EP00/06226 was filed on 4 July 2000 designating the United States of America; and for which a PCT National Phase application is now being filed in the United States Patent and Trademark Office. I/we hereby authorize and request that the filing date and US national application serial number, when known, be inserted here in parentheses;

(Serial Number 10/031,940 filed January 22, 2002)

**WHEREAS** the invention, being made in the circumstances set out in Section 39(1)(a) of the United Kingdom Patents Act 1977, belongs to my/our employer, namely **GLAXOSMITHKLINE SERVICES PLC** (formerly **GLAXO WELLCOME plc**), a company incorporated in England whose registered address is Berkeley Avenue, Greenford, Middlesex, UB6 0NN, England and,

**WHEREAS GLAXO RESEARCH AND DEVELOPMENT LIMITED**, a company incorporated in England whose registered address is Glaxo Wellcome House, Berkeley Avenue, Greenford, Middlesex UB6 0NN, England, is desirous of acquiring from **GLAXOSMITHKLINE SERVICES PLC** (formerly **GLAXO WELLCOME plc**) the whole right, title and interest in and to the invention and the application, and

**WHEREAS GLAXOSMITHKLINE SERVICES PLC** (formerly **GLAXO WELLCOME plc**) and **GLAXO RESEARCH AND DEVELOPMENT LIMITED** have authorised and requested my/our making the application, and

**WHEREAS SMITHKLINE BEECHAM CORPORATION** a corporation organised and existing under and by virtue of the laws of the State of Pennsylvania and having its principal place of business at One Franklin Plaza, Philadelphia, Pennsylvania 19102, USA is desirous of acquiring from **GLAXO RESEARCH AND DEVELOPMENT LIMITED** the whole right, title and interest in and to the invention and the application in respect of the United States of America;

PG3736

**NOW, THEREFORE**, to all whom it may concern be it known that I/we, the inventor(s), hereby confirm the ownership by **GLAXOSMITHKLINE SERVICES PLC** (formerly **GLAXO WELLCOME plc**) of the invention and the application by operation of law under the United Kingdom Patents Act 1977 and, if under the law of the United States of America I/we the inventor(s) have any ownership right, title and interest in the invention and the application (which I/we do not believe to be the case and claim no ownership right, title or interest in the invention and the application based on the law of the United Kingdom), I/we the inventor(s) nevertheless hereby assign and transfer such ownership right, title and interest in and to the invention and the application to **GLAXOSMITHKLINE SERVICES PLC** (formerly **GLAXO WELLCOME plc**). **GLAXOSMITHKLINE SERVICES PLC** (formerly **GLAXO WELLCOME plc**) in turn hereby assigns and transfers to **GLAXO RESEARCH AND DEVELOPMENT LIMITED** its whole right, title and interest in and to the invention and the application. **GLAXO RESEARCH AND DEVELOPMENT LIMITED** in turn hereby assigns and transfers to **SMITHKLINE BEECHAM CORPORATION** its whole right, title and interest in and throughout the United States of America in and to the invention and the application and in and to any priority rights in respect of the invention and the application and in and to any divisional application, continuation or continuation in part application thereof, and in and to any extension or re-issue thereof, and I/we the inventor(s) and **GLAXOSMITHKLINE SERVICES PLC** (formerly **GLAXO WELLCOME plc**) and **GLAXO RESEARCH AND DEVELOPMENT LIMITED** hereby authorise and request any patent arising therefrom in the United States of America be issued to **SMITHKLINE BEECHAM CORPORATION**.

AND **GLAXOSMITHKLINE SERVICES PLC** (formerly **GLAXO WELLCOME plc**) and **GLAXO RESEARCH AND DEVELOPMENT LIMITED** hereby, and I/we the inventor(s) for myself/ourselves and my/our respective executors and legal representatives hereby, agree to provide information and make execute and deliver any and all other instruments in writing, and any and all further acts, applications, papers, affidavits, assignments and other documents which may be possible and are necessary or desirable to more effectually secure to and vest in **SMITHKLINE BEECHAM CORPORATION**, its successors and assigns, the whole right, title and interest in and to the invention and the application hereby assigned and transferred in respect of the United States of America.

IT is hereby declared that each of the transactions hereby effected does not form part of a larger transaction or of a series of transactions in respect of which the amount or value, or the aggregate amount or value, of the consideration exceeds £60,000.

PG3736

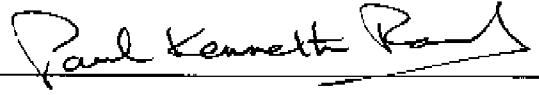
**IN WITNESS** whereof and with effect from **7 August 1999** the inventor(s) and **DAVID J. LEVY** as Attorney of both **GLAXOSMITHKLINE SERVICES PLC** (formerly **GLAXO WELLCOME plc**) and **GLAXO RESEARCH AND DEVELOPMENT LIMITED** by virtue of Powers of Attorney granted by **GLAXOSMITHKLINE SERVICES PLC** (formerly **GLAXO WELLCOME plc**) and **GLAXO RESEARCH AND DEVELOPMENT LIMITED** respectively have hereunto set their respective hands.

**SIGNED** by Richard Ian WALKER Date: 16 Jan 2002

X 

in the presence of: (Witness to print and sign name below):

Witness print name: PAUL KENNETH RAND

Witness Signature: 

**SIGNED** by **David J. LEVY** as the Attorney of each of **GLAXOSMITHKLINE SERVICES PLC** (formerly **GLAXO WELLCOME plc**) and **Glaxo Research and Development Limited**:



**David J. LEVY**

Date: Oct 27, 2003