

05-23-2003

Substitute Form PTO-1595
Attorney Docket No.: 06618-908001
Client's Ref. No.: CIT-3686/OE030



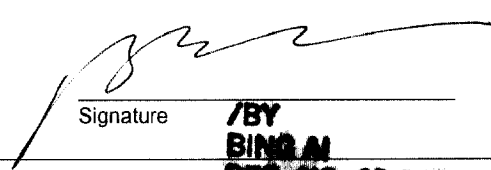
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J1011 U.S. PTO
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Commissioner for Patents: Please record the attached original document(s) or copy(ies).

<p>1. Name of conveying party(ies): Anatoliy Savchenkov, Lutfolloh Maleki, Vladimir Ilchenko and Andrey B. Matsko Additional name(s) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	<p>2. Name and address of receiving party(ies): California Institute of Technology 1200 East California Boulevard Pasadena, CA 91125 Additional names/addresses attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>
<p>3. Nature of conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other: Execution Date: 5/6/2003; 5/11/2003; 5/6/2003; 5/6/2003</p>	<p>Additional names/addresses attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>
<p>4. Application number(s) or patent number(s): If this document is being filed with a new application, the execution date of the application is: 5/6/2003; 5/11/2003; 5/6/2003; 5/6/2003 A. Patent Application No(s): 10/438668 B: Patent No(s): Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	
<p>5. Name/address of party to whom correspondence concerning document should be mailed: SCOTT C. HARRIS Fish & Richardson P.C. 4350 La Jolla Village Drive, Suite 500 San Diego, California 92122</p>	<p>6. Total number of applications/patents involved: 1 7. Total fee (37 CFR §3.41): \$40 <input checked="" type="checkbox"/> Enclosed <input type="checkbox"/> Authorized to charge Deposit Account. 8. Deposit Account No.: 06-1050 Please apply any additional charges, or any credits, to our Deposit Account No. 06-1050.</p>
<p>DO NOT USE THIS SPACE</p>	
<p>9. Statement and Signature: <i>To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.</i></p> <p>Scott C. Harris Reg. No. 32,030 Name of Person Signing</p> <p> Signature</p> <p>May 14, 2003 Date</p> <p style="text-align: center;">/BY BINQ AI REG. NO. 42,918</p> <p style="text-align: right;">including coversheet, attachments and document: 3</p>	

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**PATENT
REEL: 014086 FRAME: 0056**

Attorney Docket No: 06618-908001/CIT-3686/OE030

ASSIGNMENT

For valuable consideration, we, ANATOLIY SAVCHENKOV of La Crescenta, California, LUTFOLLAH MALEKI of Pasadena, California, VLADIMIR ILCHENKO of La Canada, California, and ANDREY B. MATSKO of Pasadena, California, hereby assign to California Institute of Technology, a non-profit corporation having a place of business at 1200 East California Boulevard, Pasadena, CA 91125; and its successors and assigns (collectively hereinafter called "the Assignee"), the entire right, title and interest throughout the world in the inventions and improvements which are subject of an application for United States Patent signed by us, entitled GRADED-INDEX WHISPERING GALLERY MODE RESONATORS, filed 5/14/2003, and assigned U.S. Serial Number _____, and we authorize and request the attorneys appointed in said application to hereafter complete this assignment by inserting above the filing date and serial number of said application when known; this assignment including said application, any and all United States and foreign patents, utility models, and design registrations granted for any of said inventions or improvements, and the right to claim priority based on the filing date of said application under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes; and we authorize the Assignee to apply in all countries in our name or in its own name for patents, utility models, design registrations and like rights of exclusion and for inventors' certificates for said inventions and improvements; and we agree for ourselves and our respective heirs, legal representatives and assigns, without further compensation to perform such lawful acts and to sign such further applications, assignments, Preliminary Statements and other lawful documents as the Assignee may reasonably request to effectuate fully this assignment.

05/06/03
Date


ANATOLIY SAVCHENKOV

5/11/03
Date


LUTFOLLAH MALEKI

Attorney Docket No: 06618-908001/CIT-3686/OE030

May 06, 2003
Date


VLADIMIR ILCHENKO

May 06, 2003
Date

A. B. Matsko
ANDREY B. MATSKO

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