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05-23-2003

Docket No. AUS920030037US1

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11002 U.S. PTO
10/439083
05/15/03

To the Commissioner for Patents: Please 102455433

copy thereof.

1. Name of conveying party(ies): (1) MARK GREGORY ALANA (2) BARRY S. BARNETT (3) BINH THANH CHU (4) CASIMER MAURICE DECUSATIS Additional name(s) of conveying party(ies) attached? Yes <u>x</u> No 2. Name and address of receiving party(ies): International Business Machines Corporation New Orchard Road Armonk, New York 10504	Execution Date: <u>5/15/03</u> <u>5/12/03</u> <u>5/12/03</u> <u>5/12/03</u> <u>5/9/03</u> 3. Nature of conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other
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4. Application number(s) or patent number(s):

10/439083

If this document is being filed together with a new application, the execution date of the application is:

(1) 5/12/03 (2) 5/12/03 (3) 5/12/03 (4) 5/9/03

A. Patent Application No.(s) _____ B. Patent No.(s) _____

5. Name and address of party to whom correspondence concerning document should be mailed:

Mark E. McBurney
IBM Corporation
Intellectual Property Law
11400 Burnet Road
Austin, TX 78758
(512) 823-1003

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$ 40.00

☐ Enclosed
☒ Authorized to be charged to deposit account

8. Deposit account number:

09-0447

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Mark E. McBurney
Name of Person Signing

Mark E. McBurney
Signature

5-13-03
Date

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Total number of pages including cover sheet: 5

PATENT
REEL: 014088 FRAME: 0001

A S S I G N M E N T

Whereas, we,

(1) Mark Gregory Alana
County of Travis

of Austin
and State of Texas

and

(2) Barry S. Barnett
County of Travis

of Austin
and State of Texas

and

(3) Binh Thanh Chu
County of Travis

of Austin
and State of Texas

and

(4) Casimer Maurice DeCusatis
County of Dutchess

of Poughkeepsie
and State of New York

have invented certain improvements in

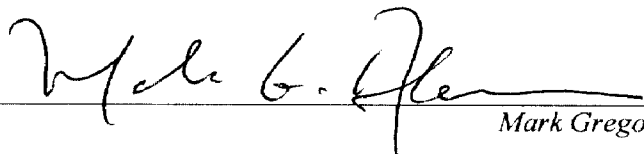
HIGHLY AVAILABLE REDUNDANT OPTICAL MODULES USING SINGLE NETWORK CONNECTION

and executed, respectively, a United States patent application therefore on
(Dates Inventors Signed Declaration) (1) 5/12/2003, 2003,
(2) 5/12/2003, 2003, (3) 5/12/2003, 2003, and
(4) _____, 2003.

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefore;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.


(1) Signed at Austin on 5/12, 2003.


Mark Gregory Alana

and (2) Signed at Austin on 5/12/2003, 2003.


Barry S. Barnett

and (3) Signed at Austin on 5/12/2003, 2003.


Binh Thanh Chu

and (4) Signed at Poughkeepsie on _____, 2003.

Casimer Maurice DeCusatis

IBM DOCKET NO. AUS920030037US1

A S S I G N M E N T

Whereas, we,

(1) Mark Gregory Alana of Austin
County of Travis and State of Texas

and

(2) Barry S. Barnett of Austin
County of Travis and State of Texas

and

1

(3) Binh Thanh Chu of Austin
County of Travis and State of Texas

and

(4) Casimer Maurice DeCusatis of Poughkeepsie
County of Dutchess and State of New York

have invented certain improvements in

HIGHLY AVAILABLE REDUNDANT OPTICAL MODULES USING SINGLE NETWORK CONNECTION

and executed, respectively, a United States patent application therefore on
(Dates Inventors Signed Declaration) (1) _____, 2003,
(2) _____, 2003, (3) _____, 2003, and
(4) May 9 _____, 2003.

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefore;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

IBM DOCKET NO. AUS920030037US1

(1) Signed at Austin on _____, 2003.

Mark Gregory Alana

and (2) Signed at Austin on _____, 2003.

Barry S. Barnett

and (3) Signed at Austin on _____, 2003.

Binh Thanh Chu

and (4) Signed at Poughkeepsie on May 9, 2003.

Casimer Maurice DeCusatis
Casimer Maurice DeCusatis