

AD/581.40

10/332893

Form PTO-1595
(Rev. 6-93)
OMB No. 0651-0011 (exp. 4/94)

RECORDATION FORM COVER SHEET

DT17 Rec'd PCT/PTO 14 JAN 2003

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

PATENTS ONLY

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Herbert ACHLEITNER, Anton SCHAFER, Josef HÖRTLER

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name:

Internal Address

05-23-2003



Street Address:

102455743

City

Country

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date: December 20, 2002

Additional name(s) & address(es) attached? ☒ Yes ☐ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No(s).

10,332,893

B. Patent No(s).

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Robert J. Schneider

Internal Address: Chapman and Cutler

Street Address: 111 West Monroe Street

City: Chicago

State IL

ZIP: 60603

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41)..... \$ \$40.00

☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit account)

Attorney Docket No. 1714229

DO NOT USE THIS SPACE

9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Robert J. Schneider, Reg. No. 27,383

Name of Person Signing

Signature

January 14, 2003

Date

Total number of pages including cover sheet, attachments, and document:

4

MAIL DOCUMENTS TO BE RECORDED WITH REQUIRED COVER SHEET INFORMATION TO:
U.S. Patent & Trademark Office, Box Assignments
Washington, DC 20231

2. Name and address of receiving parties:

VAE Eisenbahnsysteme GmbH
Alpinestrasse 1
A-8740 Zeltweg
AUSTRIA

VAE Aktiengesellschaft
Rotenturmstrasse 5-9
A-1010 Vienna
AUSTRIA

1453376.01.01

1714229

Attorney Docket No. 1714229

U.S. Rights —Sole or Joint

ASSIGNMENT

For good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, each undersigned inventor has sold and assigned, and by these presents hereby sells and assigns, unto:

Name: VAE Eisenbahnsysteme GmbH
Address: Alpinestrasse 1, A-8740 Zeltweg, Austria
VAE Aktiengesellschaft
Rotenturmstrasse 5-9, A-1010 Vienna, Austria

(hereinafter ASSIGNEE) all right, title and interest for the United States, its territories and possessions in and to his invention relating to:

as set forth in his United States Patent Application (check one):

☐ executed concurrently herewith,
☐ executed on _____,
☐ Serial No. _____, filed _____,

in and to said United States Patent Application including any and all divisions or continuations thereof and in and to any and all Letters Patent of the United States, which may issue on any such application or for said invention, including any and all reissues or extensions thereof, to be held and enjoyed by said ASSIGNEE, its successors, legal representatives and assigns to the full end of the term or terms for which any and all such Letters Patent may be granted as fully and entirely as would have been held and enjoyed by the undersigned had this Assignment not been made.

Each of the undersigned hereby authorizes and requests the Commissioner of Patents and Trademarks to issue any and all such Letters patent to said ASSIGNEE, its Successors or assigns in accordance herewith.

Each of the undersigned warrants and covenants that he has the full and unencumbered right to sell and assign the interests herein sold and assigned and that he has not executed and will not execute any document or instrument in conflict herewith.

Each of the undersigned further covenants and agrees he will communicate to said ASSIGNEE, its successors, legal representatives or assigns all information known to him relating to said invention or patent application and that he will execute and deliver any papers, make all rightful oaths, testify in any legal proceedings and perform all other lawful acts deemed necessary or desirable by said ASSIGNEE, its successors, legal representatives or assigns to perfect title to said invention, to said application including divisions and continuations thereof and to any and all Letters Patent which may be granted therefor or thereon, including reissues or extensions, in said ASSIGNEE, its successors, or assigns or to assist said ASSIGNEE, its successors, legal representatives or assigns in obtaining, reissuing or enforcing Letters Patent of the United States for said invention.

828064.01.01

Each of the undersigned hereby grants the firm of Chapman and Cutler, or any partner thereof, the power to insert into this Assignment any further identification, which may be necessary or desirable to comply with the rules of the U.S. Patent and Trademark Office for recordation of this Assignment.

Inventor: Herbert ACHLEITNER

Herbert Achleitner
Signature

Reidunare Grundl
Witness

Barbara Pascuttini
Witness

December 20, 2002

Date

December 20, 2002

Date

December 20, 2002

Date

Inventor: Anton SCHAFFER

Anton Schaffer
Signature

Reidunare Grundl
Witness

Barbara Pascuttini
Witness

December 20, 2002

Date

December 20, 2002

Date

December 20, 2002

Date

Inventor: Josef HÖRTLER

Josef Hörtler
Signature

Reidunare Grundl
Witness

Barbara Pascuttini
Witness

December 20, 2002

Date

December 20, 2002

Date

December 20, 2002

Date

Note: Prima facie evidence of execution may optionally be obtained by execution of this document before a U.S. Consul or before a local officer authorized to administer oaths whose authority is proved by a certificate from a U.S. Consul.