

05-27-2003



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U.S. DEPARTMENT OF COMMERCE  
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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Yencho, Stephen A.

5.16.03

## 2. Name and address of receiving party(ies)

Name: Cardica, Inc.

Internal Address: \_\_\_\_\_

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:



Assignment



Merger



Security Agreement



Change of Name



Other \_\_\_\_\_

Street Address: 171 Jefferson Drive

City: Menlo Park State: CA Zip: 94025

Execution Date: 05/16/2003

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent number(s):

10439382

If this document is being filed together with a new application, the execution date of the application is: 05/16/2003

A. Patent Application No.(s) \_\_\_\_\_

B. Patent No.(s) \_\_\_\_\_

Additional numbers attached? ☐ Yes ☐ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Brian A. Schar

Internal Address: Cardica, Inc.

## 6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00



Enclosed



Authorized to be charged to deposit account

## 8. Deposit account number: \_\_\_\_\_

Street Address: 171 Jefferson Drive

City: Menlo Park State: CA Zip: 94025

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## 9. Signature.

Brian A. Schar

Name of Person Signing

Signature

May 16, 2003

Date

Total number of pages including cover sheet, attachments, and documents: 2

Mail documents to be recorded with required cover sheet information to:  
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PATENT

REEL: 014092 FRAME: 0476

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## ASSIGNMENT

For good and valuable consideration, receipt of which is hereby acknowledged, I

Stephen A. Yencho


of

Menlo Park, California

hereby sell, assign and transfer to Cardica, Inc., a Delaware corporation, having a place of business at 171 Jefferson Drive, Menlo Park, CA 94025, its successors and assigns, the entire right, title and interest throughout the world in my invention in

### **Minimally-Invasive Surgical System Utilizing a Stabilizer**

for which a United States Patent Application is filed herewith, and all patent applications and patents of every country for said invention, including divisions, reissues, continuations and extensions thereof, and all rights of priority resulting from the filing of said applications; I authorize the above-named assignee to apply for patents of foreign countries for said invention, and to claim all rights of priority without further authorization from me; I agree to execute all papers useful in connection with said United States and foreign applications, and generally to do everything possible to aid said assignee, their successors, assigns and nominees, at their request and expense, in obtaining and enforcing patents for said invention in all countries; and I request that the United States Patent and Trademark Office issue all patents granted for said invention to the above-named assignee, its successors and assigns.



Stephen A. Yencho

5/16/03  
Date