

05-29-2003

Form PTO-1595ARC 3312 R1

REC

(Rev. 10/02)

U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

OMB No. 0651-0027 (exp. 6/30/2005)

Tab settings $\Rightarrow \Rightarrow \Rightarrow$

102459237

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Guohua Chen, Paul R. Houston

Lothar W. Kleiner

John Spaltro

5.27-03

2. Name and address of receiving party(ies)

Name: Alza Corporation c/o Johnson & Johnson

Internal Address: _____

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other _____Street Address: 1900 Charleston RoadCity: Mountain View State: CA Zip: 94043Execution Date: 5/7/03, 5/7/03, 5/7/03
and 5/13/03Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

10/295,603

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Alza Corporation c/oInternal Address: Johnson & Johnson6. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41).....\$ 40.00☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit account number:

10-0750

(Attach duplicate copy of this page if paying by deposit account)

Street Address: 1 Johnson & Johnson, WH 3221City: New Brunswick State: NJ Zip: 08933

DO NOT USE THIS SPACE

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*Vandana Date, Reg. No. 38,675

Name of Person Signing



Signature

May 22, 2003

Date

Total number of pages including cover sheet, attachments, and documents: 3

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231PATENT
REEL: 014099 FRAME: 0091

**ASSIGNMENT
(JOINT)**

WHEREAS, we, Guohua Chen, Paul R. Houston, Lothar Walter Kleiner, and John J. Spaltro have made certain new and useful inventions in "CATHETER INJECTABLE DEPOT COMPOSITIONS AND USES THEREOF", set forth in an application for Letters Patent of the United States, ☒ which is a non-provisional application bearing Application No. 10,295,603, and filed on November 14, 2002; and

WHEREAS, ALZA Corporation (hereinafter referred to as "ALZA"), a corporation of Delaware, is desirous of acquiring the entire right, title and interest in and to the said inventions; the right to file applications on said inventions; and the entire right, title and interest in and to any applications, including provisional applications, for Letters Patent of the United States or other countries claiming priority to said applications; in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon; and any rights of priority of said inventions, applications and patents.

NOW, THEREFORE, for one Dollar (\$1.00) and other valuable consideration, receipt of which is hereby acknowledged, we have agreed to and do hereby:

SELL, ASSIGN, TRANSFER AND SET OVER unto ALZA, its successors, legal representatives and assigns our entire right, title and interest in and to the above-mentioned inventions, the right to file applications on said inventions, and the entire right, title and interest in and to any applications for Letters Patent of the United States or other countries claiming priority to said applications, and any and all Letters Patent or Patents of the United States of America and all foreign countries that may be granted therefor and thereon, and in and to any and all applications claiming priority to said applications, including provisional applications, divisions, continuations, and continuations-in-part of said applications, renewals, and reissues and extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, to the full end of the term or terms for which Letters Patent or Patents may be granted, including any rights of priority of said inventions, applications and patents; together with all claims for damages or other relief throughout the world by reason of misappropriation or other wrongful use or disposition of said invention, or infringement of said patents or patent applications, including the right to sue for, obtain, enforce and enjoy, in its own name, any and all remedies that may be available;


TO BE HELD AND ENJOYED by ALZA, its successors, assigns and legal representatives as fully and entirely as the same would have been held and enjoyed by us had this sale, assignment and transfer not been made; and

WE HEREBY COVENANT AND AGREE for ourselves and our heirs, executors and legal representatives, to assist ALZA, its successors, assigns and legal representatives in the prosecution of said applications, and in any reissue, reexamination, interference or other legal or administrative proceeding, which may arise involving said invention, said patent applications, or said patents, and to execute without further consideration, upon request by ALZA, its successors,

assigns, or legal representatives all divisional, continuing, reissue, term extension or other applications for patents in any country, as well as such other documents that may be used to fully secure to ALZA, its successors, assigns and legal representatives the rights, titles and interests granted herein; and

WE HEREBY AUTHORIZE AND REQUEST the Commissioner of Patents and Trademarks of the United States of America to issue any and all United States patents which may be granted upon said United States applications or any part of them, or upon said inventions or any part thereof, to ALZA Corporation.

IN WITNESS WHEREOF, We have each hereunto set our hands.

 this 07 day of May 2003; and
Guohua Chen

Paul R. Houston this 7 day of May 2003; and
Paul R. Houston

Lothar Walter Kleiner this 07 day of May 2003; and
Lothar Walter Kleiner

_____ this _____ day of _____ 2003.
John J. Spaltro

_____ this _____ day of _____ 2003; and
Paul R. Houston

_____ this _____ day of _____ 2003; and
Lothar Walter Kleiner

 _____ this 13 day of May 2003.
John J. Spaltro