

FORM PTO-1595 (Modified)
(Rev. 03-01)
OMB No. 0651-0027 (exp. 5/31/2002)
PS9/REV03

RECORDATION FORM COVER SHEET

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

PATENTS ONLY

Tab settings

To the Director of the United States Patent and Trademark Office: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Edwards Lifesciences Corporation

2. Name and address of receiving party(ies):

Name: David M. Fallen

Internal Address:

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Street Address: 32 Academy Street

City: Asheville

State: NC

ZIP: 28803

Execution Date: July 9, 2002

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

6,632,189

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: John Christopher James

Internal Address: EDWARDS LIFESCIENCES LLC

Law Department

Street Address: One Edwards Way

City: Irvine

State: CA

ZIP: 92614

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41): \$ 40.00

☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☒ Authorized to be charged to deposit account

8. Deposit account number:

50-1225

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

John Christopher James Reg. No. 40,660

November 4, 2003

Name of Person Signing

Signature

5

Date

Total number of pages including cover sheet, attachments, and document:

Mail documents to be recorded with required cover sheet information to:

Mail Stop Assignment Recordation Services

Director of the United States Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450

NOV. 4. 2003 3:57PM

EDWARDS IRV DEPT 949 250 6850

NO. 0590 P. 5

Docket No.: BE -5477

07-22-2002



REC

ET

102162648
PATENTS ONLY

To the Director U.S. Patent and Trademark Office, Box Assignment: Please record the attached original document(s) or copy thereof.

1. Name of Conveying Parties:

7-15-02

David M. Fallen, executed on July 9, 2002

2. Name and Address of Receiving Party(ies):

Edwards Lifesciences Corporation
One Edwards Way
Irvine, CA 92614

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of Conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

4. Application Number(s) or Patent Number(s):

If this document is being filed together with a new application, the execution date of the application is _____

A. Patent Application No.(s)

B. Patent No.(s)

09/390,381

Additional numbers attached? ☐ Yes ☒ No

OFFICE OF
2002 JUL 15 AM 9:15
FINANCE SECTION
RECORDS

5. Name and Address of Party To Whom Correspondence Concerning Document Should Be Mailed:

John Christopher James, Reg. No. 40,660
EDWARDS LIFESCIENCES LLC
Law Department
One Edwards Way
Irvine, California 92614

6. Total Number of Applications and Patents Involved: 1

7. Total Fee (37CFR 3.41).....\$40.00

☐ Enclosed

☒ Authorized To Be Charged To Deposit Account

8. Deposit Account Number: 501225 (BE-5477)

DO NOT USE THIS SPACE

9. Statement and Signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

John Christopher James, Reg. No. 40,660
Name of Person Signing and Reg. No.

Signature

Date

July 15, 2002

Total Number of Pages Including Coversheet, Attachments and Document: 2

07/19/2002 10:14:21 00000206 501225 09390381
01 FC:581 40.00 CH

PATENT
REEL: 014102 FRAME: 0189

Docket No.: BE 5477
Application No.: 09/390,381
Filed: September 3, 1999

US Assignment

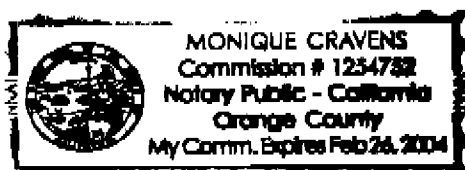
In consideration of good and valuable considerations, the receipt and sufficiency whereof are hereby acknowledged, Edwards Lifesciences Corporation, a corporation of Delaware, having a principal place of business at Irvine, California ("assignor") hereby assigns to David M. Fallen ("assignee"), its entire right, title and interest in the United States in the invention or improvements in **Support Devices for Surgical Systems** and in the application for Letters Patent of the United States therefor, Application No. 09/390,381, filed September 3, 1999 and any and all other applications and which may be filed in the United States, either solely or jointly with others, on said invention or improvements, and in any and all Letters Patent of the United States which may be obtained on any of the said applications, and in any reissue or extension thereof in the United States.

Assignor hereby authorizes and requests the Commissioner of Patents to issue said Letters Patent to David M. Fallen.

Assignor hereby authorizes and requests the attorneys of record in said application to insert in this assignment the date and serial number of said application when officially known.

I warrant myself to be the owner of the interest herein assigned and to have the right to make this assignment; and further warrant that there are no outstanding prior assignments, licenses, or other rights in the interest herein assigned.

For said considerations I hereby agree, upon the request and at the expense of said assignee, its successors, legal representatives and assigns, to execute any and all divisional, continuation, and renewal applications for said invention or improvements, and any necessary oath or supplemental oath or affidavit relating thereto, and any application for the reissue or extension of any Letters Patent that may be granted upon said application that said assignee, its successors, legal representatives and assigns may deem necessary or expedient, and for the said considerations I further agree, upon the request of said assignee, its successors, legal representatives and assigns, in the event of said application or any division thereof, or Letters Patent issued thereon, or any reissue or application for the reissue thereof becoming involved in interference, to cooperate to the best of our ability with said assignee, its successors, legal representatives and assigns in the matters of preparing and executing the preliminary statement and giving and producing evidence in support thereof. I further agree to perform, upon such request, any and all affirmative acts to obtain Letters patent, and vest all rights therein hereby conveyed in the said assignee, its successors, legal representatives and assigns whereby said Letters Patent will be held and enjoyed by the said assignee, its successors, legal representatives and assigns to the end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held and enjoyed by me if this assignment and sale had not been made, and I further agree upon the request of said assignee, its successors, legal representatives and assigns to execute any and all documents that shall be required to be executed in connection with any and all applications for U.S. Letters Patent therefor, including the prosecution thereof, and to execute any and all documents necessary to invest title in said U.S. applications and patents in said assignee.



WITNESS my hand and seal this 9 day of July, 2002

Signature

Typed Name

Bruce Garren
Corporate Vice President
General Counsel & Secretary
Edwards Lifesciences Corporation

State of

California

County of

Orange

On July 9, 2002 before me, Monique Cravens,

personally appeared Bruce P. Garren, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Signature

PATENT

RECORDED: 11/04/2003

REEL: 014102 FRAME: 0190