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J.S. DEPARTMENT OF COMMERCE

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## PATENTS ONLY

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To the honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Charles S. Taylor

## 2. Name and address of receiving party(ies)

Name: \*CARDIOTHORACIC SYSTEMS, INC.Internal Address: 3200 LakeSide Dr.Bldg. B, 4<sup>th</sup> Flr MS:444Santa Clara CA, 95054**NOTE:** Cardiothoracic Systems, Inc., has moved from

10600 North Tantau Avenue, Cupertino, CA 95014-0739

**To:** LakeSide Dr., Bldg. B, 4th Flr. M/S 444 Santa Clara, CA

95054

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:



Assignment



Merger



Security Agreement



Change of Name



Other \_\_\_\_\_

Execution Date: 06/03/97Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s) 09/440,106 Filed 11/15/99

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Alan W. CannonLaw Office of Alan W. Cannon

Internal Address: \_\_\_\_\_

Street Address: 834 South Wolfe RoadCity: Sunnyvale State: California Zip: 94086

## 6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41). . . . . \$ 40.00

Enclosed



Authorized to be charged to deposit account

## 8. Deposit account number:

50-2653

(Attach duplicate copy of this page if paying by deposit account)

## DO NOT USE THIS SPACE

## 9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*Alan W. Cannon 34,977

Name of Person Signing

Signature

May 21, 2003

Date

Total number of pages including cover sheet, attachments, and documents: 5Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments VIRGINIA 22313-1450

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PATENT  
REEL: 014107 FRAME: 0650

## ASSIGNMENT

WHEREAS, I, Charles S. Taylor, a citizen of the United States residing at 4380 26th Street, San Francisco, California 94131; (hereinafter "ASSIGNOR"), have invented and own a certain invention entitled "SURGICAL DEVICES FOR IMPOSING A NEGATIVE PRESSURE TO STABILIZE CARDIAC TISSUE DURING SURGERY," for which application for Letters Patent of the United States of America is being filed herewith; and

WHEREAS, CARDIOTHORACIC SYSTEMS, INC., a corporation organized and existing under and by virtue of the laws of the State of California and having its principal place of business at 10600 North Tantau Avenue, Cupertino, California 95014-0739 (hereinafter referred to as "ASSIGNEE"), is desirous of acquiring the exclusive right, title and interest in, to and under said invention and in, to and under Letters Patent or similar legal protection to be obtained therefor in the United States of America, its territorial possessions and in any and all countries foreign thereto.

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR hereby sells, assigns, transfers and sets over unto the said ASSIGNEE, its successors and assigns, the full and exclusive right, title and interest to said invention and to all Letters Patent or similar legal protection, not only in the United States and its territorial possessions, but in all countries foreign thereto, to be obtained for said invention by said application or any continuation, division, renewal, substitute or reissue thereof or any legal equivalent thereof in a foreign country for the full

term or terms for which the same may be granted, including all priority rights under the International Convention; and ASSIGNOR hereby authorizes and requests the Assistant Commissioner for Patents to issue said Letters Patent and any legal equivalent thereof to said ASSIGNEE, its successors and assigns, in accordance with this Assignment.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this Assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon request of ASSIGNEE, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents in foreign countries as may be known and accessible to ASSIGNOR and will testify as to the same in any interference or legal proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its designated legal representative any and all papers, instruments, declarations or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof in any foreign country which may be necessary or desirable to carry out the purposes thereof.

WITNESS my hand at Cupertino, CA, this 3  
day of June, 1997.

Charles S. Taylor  
Charles S. Taylor

STATE OF CALIFORNIA )  
COUNTY OF Santa Clara ) ss.

On this 3rd day of June, 1997, before me, a Notary Public, personally  
appeared Charles S. Taylor, known to me (or proved to me on the basis of satisfactory  
evidence) to be the person whose name is subscribed to the within instrument and  
acknowledged to me that he executed the same in his authorized capacity and that by his  
signature on the instrument the person, or the entity upon behalf of which the person acted,  
executed the instrument.

WITNESS my hand and official seal.

Karen Lee Titus

Notary Public in and for  
said County and State

Seal

