

06-04-2003

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Shuit-Tong LEE                      Zhi-Qiang GAO  
 Chun-Sing LEE  
 Liang-Sun HUNG  
 Baoxiu MI

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

## 3. Nature of conveyance:

- ☒ Assignment                      ☐ Merger  
☐ Security Agreement              ☐ Change of Name  
☐ Other

## 2. Name and address of receiving party(ies):

Name: City University of Hong Kong

Address: Tat Chee Avenue

Kowloon Tong

City: Hong Kong State/Prov.: \_\_\_\_\_

Country: China ZIP: \_\_\_\_\_

Execution Date: STL5/19/03; CSL5/18/03; LSH5/16/03; BM 5/10/03;  
ZQG 5/11/03

Additional name(s) &amp; address(es)

☐ Yes ☒ No

## 4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

Patent Application No.

Filing date

B. Patent No.(s)

10/391,265

March 18, 2003

Additional numbers

☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Martha L. Boden, Esq.

Registration No. 39,115

Address: Heslin Rothenberg Farley &amp; Mesiti P.C.

5 Columbia Circle

City: Albany State/Prov.: New York

Country: USA ZIP: 12203

## 6. Total number of applications and patents involved:

1

## 7. Total fee (37 CFR 3.41):.....\$ 40.00

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☐ Authorized to be charged to deposit account

## 8. Deposit account number:

08-1935

(Attach duplicate copy of this page if paying by deposit account)

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## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Martha L. Boden, Esq.

Name of Person Signing

Signature

May 28, 2003

Date

4

Total number of pages including cover sheet, attachments, and

PATENT

REEL: 014116 FRAME: 0399

FINANCE SECTION

MAY 28 9:42

06/03/2003 LNUELLER 20000129 10391265

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## ASSIGNMENT

WHEREAS, We, **SHUIT-TONG LEE**, a citizen of the United States of America, residing at House A4, No.17 Ka Pok Path, Palm Springs-Phase 1C, Yuen Long, New Territories, Hong Kong, **CHUN-SING LEE**, a citizen of the United Kingdom, residing at Flat 4B, Block1, To Yuen Building, 31 To Yuen Street, Kowloon Tong, Hong Kong, **LIANG-SUN HUNG**, a citizen of the United States of America, residing at 20 Thomas Maria Cir., Webster, New York 14580, USA, **BAOXIU MI**, a citizen of China, residing at Flat F, 16/F, Block 2, Phase II, Metro City, Tseung Kwan O, Kowloon, Hong Kong, **ZHI-QIANG GAO**, a citizen of China, residing at Flat F, 16/F, Block 2, Phase II, Metro City, Tseung Kwan O, Kowloon, Hong Kong, have invented certain new and useful improvements in **RED-EMITTING ORGANIC ELECTROLUMINESCENT ELEMENTS** which are the subject of United States Patent Application Serial No. 10/391,265, filed March 18, 2003; and,

WHEREAS, **CITY UNIVERSITY OF HONG KONG**, a non profit organization, located at Tat Chee Avenue, Kowloon Tong, Hong Kong, China is desirous of obtaining the entire right, title and interest in, to and under the improvements and the application;

NOW, THEREFORE, in exchange for good and valuable consideration to us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, We, **SHUIT-TONG LEE, CHUN-SING LEE, LIANG-SUN HUNG, BAOXIU MI AND ZHI-QIANG GAO** have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto **CITY UNIVERSITY OF HONG KONG**, its successors, legal representatives and assigns, the entire right, title and interest in, to and under the improvements, and the application and all divisions, renewals and continuations thereof, and all Letters Patent which may be granted thereon and all reissues and extensions thereof, and all applications for Letters Patent which may hereafter be filed for the improvements in any country or countries foreign to the United States, and all Letters Patent which may be granted for the improvements in any country or countries foreign to the United States and all extensions, renewals and reissues thereof; and We hereby authorize and request the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for the improvements to **CITY UNIVERSITY OF HONG KONG**, its successors, legal representatives and assigns, in accordance with the terms of this instrument;


AND WE HEREBY covenant that We have full right to convey the entire interest herein assigned, and that We have not executed, and will not execute, any agreement in conflict herewith;

AND WE HEREBY further covenant and agree that We will communicate to **CITY UNIVERSITY OF HONG KONG**, its successors, legal representatives and assigns, any facts known to us respecting the improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid **CITY UNIVERSITY OF HONG KONG**, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for the improvements in all countries.

IN WITNESS WHEREOF, I hereunto set my hand this 19 day of May, 2003.



Witness


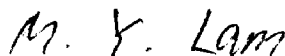
  
Shuit-Tong Lee

Witness

IN WITNESS WHEREOF, I hereunto set my hand this 18 day of May, 2003.



Witness

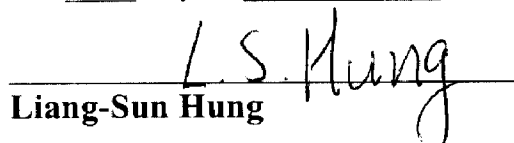
  
Chun-Sing Lee

Witness

IN WITNESS WHEREOF, I hereunto set my hand this 16 day of May, 2003.




Witness

  
Liang-Sun Hung

Witness

IN WITNESS WHEREOF, I hereunto set my hand this 10 day of May, 2003.



Baoxiu Mi  
**Baoxiu Mi**

Witness

M. Y. Lam

Witness

IN WITNESS WHEREOF, I hereunto set my hand this 11 day of May, 2003.



Zhi-Qiang Gao  
**Zhi-Qiang Gao**

Witness

M. Y. Lam

Witness