

06-04-2003



Form PTO-1595 (Rev. 03/01) OMB No. 0651-0027 (exp. 5/31/2002)

U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof

<p>1. Name of conveying party(ies): Stephen J. Elledge (05/14/2003) and Mamie Z. Li (05/14/2003)</p> <p>Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	<p>2. Name and address of receiving party(ies) Name: <u>Baylor College of Medicine</u> Internal Address: _____ Street Address: <u>One Baylor Plaza</u> City: <u>Houston</u> State: <u>Texas</u> Zip: <u>77030</u> Additional name(s) &amp; address(es) attached: <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p style="text-align: center; font-size: 2em;">5-30-03</p>
<p>3. Nature of Conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other _____ Execution Date: <u>see Box 1, conveying parties</u></p>	

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the new application is: \_\_\_\_\_

<p>A. Patent Application No.(s): 10/345,523 60/350,182</p>	<p>B. Patent No.(s): _____</p>
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Additional numbers attached?  Yes  No

<p>5. Name and address of party to whom correspondence concerning document should be mailed: Name: <u>Melissa L. Sistrunk</u> <u>FULBRIGHT &amp; JAWORSKI L.L.P.</u> Internal Address: <u>Atty. Dkt.: HO-P02365US1</u> Street Address: <u>1301 McKinney, Suite 5100</u> City: <u>Houston</u> State: <u>TX</u> Zip: <u>77010-3095</u></p>	<p>6. Total number of applications and patents involved: <u>2</u></p> <p>7. Total fee (37 CFR 3.41) \$ <u>80.00</u> <input checked="" type="checkbox"/> Enclosed <input type="checkbox"/> Authorized to be charged to deposit account <input type="checkbox"/> Authorized to be charged to credit card (Form 2038 enclosed)</p> <p>8. Deposit account number: <u>06-2375</u> (Attach duplicate copy of this page if paying by deposit account)</p>
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9. Statement and signature.  
*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

<p><u>Melissa L. Sistrunk</u> Name of Person Signing</p>	<p><u>Melissa L. Sistrunk</u> Signature</p>	<p><u>May 30, 2003</u> Date</p>
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Total number of pages including cover sheet, attachments, and documents: 5

**Recordation Form Cover Sheet**

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. ER 147061331US in an envelope addressed to: MS Assignment Recordation Services, Director of the US Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: May 30, 2003 Signature: Monica L. Thomas (Monica L. Thomas)

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**ASSIGNMENT**

WHEREAS, we **STEPHEN J. ELLEDGE**, a citizen of United States of America, residing at 2103 Addison, Houston, Texas 77030 USA; and **MAMIE Z. LI**, a citizen of the United States of America, residing at 14119 Woodville Gardens Drive, Houston, Texas 77077; (herein called "Assignors"), are the co-inventors and owners of a certain new and useful invention and improvement(s) entitled "**IN VIVO GENE TRANSFER**" (herein called the "Invention"), for which a provisional application for a United States Patent was filed on January 16, 2002 and a nonprovisional application for United States Patent was filed January 16, 2003, Application Nos. 60/350,182 and 10/345,523, respectively; and

WHEREAS, Assignors are Professor Elledge and Research Assistant Li, respectively at the time the invention was made (herein called "College Personnel") of **BAYLOR COLLEGE OF MEDICINE**, a non-profit corporation duly organized and existing under and by virtue of the laws of the State of Texas, and having a principal place of business at Texas Medical Center, One Baylor Plaza, Houston, Texas 77030 (herein called "Assignee");

WHEREAS, Assignors represent that they are the inventors of the Invention and that the Invention was made, conceived and/or reduced to practice while Assignors were serving in their positions of College Personnel of Assignee;

WHEREAS, Assignee is desirous of acquiring and Assignors are desirous of assigning to Assignee all of their rights to said Invention under the terms and provisions of the policy on Inventions and Patents adopted by the Board of Trustees of Baylor College of Medicine ("Baylor's Patent Policy");

WHEREAS, this assignment is preparatory to a contemplated further licensing by Assignee of the Invention to a corporation or other entity for commercialization of the Invention; and

WHEREAS, Assignors acknowledge that they are assigning their right as part of their obligations as College Personnel, their use of the facilities of Assignee to make the Invention and their desire to receive consideration under the Baylor Patent Policy;

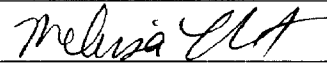
NOW, THEREFORE, Assignors, for and in consideration of the benefits to be accorded including payments to be made to, or equity to be received by, Assignors under Baylor's Patent Policy and for other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, do hereby SELL, ASSIGN and CONVEY unto Assignee all of Assignors' rights throughout the world in and to:

<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)		Application Number	10/345,523
		Filing Date	January 16, 2003
		First Named Inventor	Stephen J. Elledge
		Art Unit	N/A
		Examiner Name	Not Yet Assigned
Total Number of Pages in This Submission	5	Attorney Docket Number	HO-P02365US1

**ENCLOSURES (check all that apply)**

<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Assignment Recordation of Assignment Cover Sheet Check in the amount of \$80.00 Postcard		
<table border="1" style="width: 100%;"> <tr> <td style="width: 20%;">Remarks</td> <td></td> </tr> </table>			Remarks	
Remarks				

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual name	FULBRIGHT & JAWORSKI L.L.P. Melissa L. Sistrunk
Signature	
Date	May 30, 2003

**Transmittal**

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Dated: May 30, 2003

Signature:  (Monica L. Thomas)