

06-04-2003

Form PTO-1595

REC

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102463921

U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Baolu Zhao
Peter Rohdewald
Jingnong (Charles) Li

b-2-03

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: 5-1-2003

2. Name and address of receiving party(ies)

Name: Horphag Research (UK) Limited

Internal Address: _____

Street Address: 50 Queen Anne Street

City: London State: UK Zip: W1G 9HQ

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s) 09/963,041

B. Patent No.(s) _____

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Robert Hess

Internal Address: Gibbons, Del Deo, Dolan,

Griffinger & Vecchione

Street Address: One Riverfront Plaza

City: Newark State: NJ Zip: 07102

6. Total number of applications and patents involved: ☐

7. Total fee (37 CFR 3.41).....\$ 40

- ☒ Enclosed
☐ Authorized to be charged to deposit account

8. Deposit account number:

DO NOT USE THIS SPACE

9. Signature.

Robert J. Hess

Name of Person Signing

Signature

May 29, 2003

Date

Total number of pages including cover sheet, attachments, and documents: ☐OFFICE OF P/PTIC RECORDS
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FINANCE SECTION

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Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231PATENT
REEL: 014120 FRAME: 0652

ASSIGNMENT OF APPLICATION

Docket Number (Optional)
103265-45611

Whereas, I, Baoiu Zhao of Beijing, People's Republic of China, hereafter referred to as applicant, have invented certain new and useful improvements in A CIGARETTE FILTER WITH SCAVENGING EFFECT ON FREE RADICALS

☒ for which an application for a United States Patent was filed on September 25, 2001,
Application Number 09/963,041

☐ for which an application for a United States Patent was executed on _____, and

Whereas, Horphag Research (UK) Limited of London, Great Britain herein referred to "assignee" whose mailing address is 50 Queen Anne Street, London, W1H OHQ Great Britain is desirous of acquiring the entire right, title and interest in the same;

Now, therefore, in consideration of the sum of ten dollars (\$ 10), the receipt whereof is acknowledged, and other good and valuable consideration, I, the applicant, by these presents do sell, assign and transfer unto said assignee the full and exclusive right to the said invention in the United States and the entire right, title and interest in and to any and all Patents which may be granted therefor in the United States, I hereby authorize and request the Commissioner of Patents and Trademarks to issue said United States Patent to said assignee, of the entire right, title, and interest in and to the same, for his sole use and behoof; and for the use and behoof of his legal representatives, to the full end of the term for which said Patent may be granted, as fully and entirely as the same would have been held by me had this assignment and sale not been made.

Executed this 17 day of September, 2002,
at Beijing, People's Republic of China

State of _____ SS:

County of China

Before me personally appeared said _____
and acknowledged the foregoing instrument to be his free act and deed this _____
day of 7 September, 2002.

Baoiu Zhao
(Signature)

Seal

Sheng Hua
(Notary Public)

Burden Hour Statement: This form is estimated to take 0.1 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner of Patents and Trademarks, Washington, DC 20231.

ASSIGNMENT OF APPLICATION

Docket Number (Optional)
103265-45611

Whereas, I, Peter Rohdewald of Muenster, Germany, hereafter referred to as applicant, have invented certain new and useful improvements in A CIGARETTE FILTER WITH SCAVENGING EFFECT ON FREE RADICALS

☒ for which an application for a United States Patent was filed on September 25, 2001,
Application Number 09/963,041

☐ for which an application for a United States Patent was executed on _____, and

Whereas, Horphag Research (UK) Limited of London, Great Britain herein referred to "assignee" whose mailing address is 50 Queen Anne Street, London, W1H OHQ Great Britain is desirous of acquiring the entire right, title and interest in the same;

Now, therefore, in consideration of the sum of ten dollars (\$ 10), the receipt whereof is acknowledged, and other good and valuable consideration, I, the applicant, by these presents do sell, assign and transfer unto said assignee the full and exclusive right to the said invention in the United States and the entire right, title and interest in and to any and all Patents which may be granted therefor in the United States. I hereby authorize and request the Commissioner of Patents and Trademarks to issue said United States Patent to said assignee, of the entire right, title, and interest in and to the same, for his sole use and behoof; and for the use and behoof of his legal representatives, to the full end of the term for which said Patent may be granted, as fully and entirely as the same would have been held by me had this assignment and sale not been made.

Executed this 18 day of September, 20 02,
at Muenster, Germany

P. Rohdewald
(Signature)

State of _____) SS:
County of _____)

Before me personally appeared said _____
and acknowledged the foregoing instrument to be his free act and deed this _____
day of _____, 20____.

Seal

(Notary Public)

Burden Hour Statement: This form is estimated to take 0.1 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner of Patents and Trademarks, Washington, DC 20231.

ASSIGNMENT OF APPLICATION

Docket Number (Optional)

103265-45611

Whereas, I/We, Jingnong (Charles) Li of Beijing, People's Republic of China, hereafter referred to as applicant, have invented certain new and useful improvements in A CIGARETTE FILTER WITH SCAVENGING EFFECT ON FREE RADICALS

☒ for which an application for a United States Patent was filed on September 25, 2001

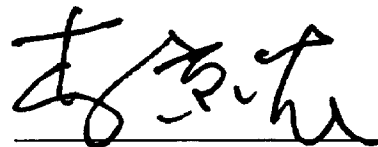
Application Number 09/963,041

☐ for which an application for a United States Patent was executed on _____, and

Whereas, Horphag Research (UK) Limited of London, Great Britain herein referred to "assignee" whose mailing address is 50 Queen Anne Street, London, W1G 9HQ is desirous of acquiring the entire right, title and interest in the same;

Now, therefore, in consideration of the sum of ten dollars (\$ 10), the receipt whereof is acknowledged, and other good and valuable consideration, I/We, the applicant(s), by these presents do sell, assign and transfer unto said assignee the full and exclusive right to the said invention in the United States and the entire right, title and interest in and to any and all Patents which may be granted therefor in the United States, I/We hereby authorize and request the Commissioner of Patent and Trademarks to issue said United States Patent to said assignee, of the entire right, title, and interest in and to the same, for his sole use and behoof; and for the use and behoof of his legal representatives, to the full end of the term for which said Patent may be granted, as fully and entirely as the same would have been held by me had this assignment and sale not been made.

Executed this 1st day of May, 2003
at Beijing, People's Republic of China



(Signature)

State of _____)

County of _____)

SS:

Before me personally appeared said _____

and acknowledged the foregoing instrument to be his free act and deed this _____

day of _____, 20 ____.

Seal

(Notary Public)

Note: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required. See below*.

* ☒ Total of 1 forms are submitted.

This form offers a sample or suggested format for an assignment document. This sample form is not an OMB officially approved form.