

06-06-2003

Form PTO-1595
(Rev. 10/02)

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DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Thomas Seebeck
Kewei Gong
Roya Zoraghi
Stefan KunzAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: 2/19/03; 2/20/03; 2/25/03; 2/19/03

2. Name and address of receiving party(ies)

Name: University of Bern

Internal Address: _____

Street Address: Verwaltungsdirektion

Hochschulstrasse 4

City: Bern, Switzerland State: Zip: CH-3012

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s) PCT/US01/28503

B. Patent No.(s) _____

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Sarah B. Adriano

Internal Address: Mandel & Adriano

Street Address: 55 S. Lake Avenue, Suite 710

City: Pasadena State: CA Zip: 91101

6. Total number of applications and patents involved: ☐

7. Total fee (37 CFR 3.41).....\$ 40.00

- ☒ Enclosed
☐ Authorized to be charged to deposit account

8. Deposit account number:

50-0306

DO NOT USE THIS SPACE

9. Signature.

Sarah B. Adriano

Name of Person Signing

Signature

May 30, 2003

Date

Total number of pages including cover sheet, attachments, and documents: 17

06/05/2003 ECOOPER 00000140 PCT/US01/28503

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40.00 DP

documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231PATENT
REEL: 014130 FRAME: 0841

ASSIGNMENT

WHEREAS, I/WE, Thomas Seebeck residing at Panoramaweg 17, CH-3042 Ortschaften, Switzerland, Kewei Gong residing at 3165 Sepulveda Boulevard #301, Los Angeles, California 90034, Roya Zoraghi residing at 2152 Acklen Avenue #2, Nashville, Tennessee 37212, Stefan Kunz residing at Caecilienstrasse 42, 3007 Bern, Switzerland made certain new and useful inventions and improvements for which I/WE filed an application for Letters Patent of the United States as application Serial No. PCT/US01/28503 filed on September 12, 2001 which is entitled NOVEL PDEs AND USES THEREOF;

AND WHEREAS, University of Bern, a Switzerland corporation, and having a place of business at Verwaltungsdirektion, Hochschulstrasse 4, CH-3012 Bern, Switzerland (hereinafter "Assignee") is desirous of acquiring the entire right, title, and interest in and to said inventions, improvements and application, and in and to the Letters Patent to be obtained therefor;

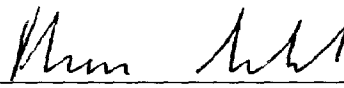
NOW THEREFORE, to all whom it may concern, be it known that pursuant to contractual obligations heretofore assumed by ME/US and/or for other valuable and sufficient consideration, the receipt of which is hereby acknowledged, I/WE have sold, assigned, and transferred, and by these presents do sell, assign and transfer unto said Assignee, its successors or assigns, the entire right, title, and interest for all countries in and to all inventions and improvements disclosed in the aforesaid application, and in and to the application, all divisions, continuations, or renewals thereof, all Letters Patent which may be granted therefrom, and all reissues or extensions of such patents, and in and to any and all applications which have been or shall be filed in any foreign countries for Letters Patent on the inventions and improvements, including an assignment of all rights under the provisions of the International Convention, and all Letters Patent of foreign countries which may be granted therefrom; and I/WE do hereby authorize and request the Commissioner of Patents and Trademarks to issue any and all United States Letters Patent for the aforesaid inventions and improvements to the Assignee as the

assignee of the entire right, title and interest in and to the same, for the use of the Assignee, its successors and assigns.

AND, for the consideration aforesaid, I/WE do hereby agree that I/WE and MY/OUR executors and legal representatives will make, execute and deliver any and all other instruments in writing including any and all further application papers, affidavits, assignments and other documents, and will communicate to said Assignee, its successors and representatives all facts known to ME/US relating to said improvements and the history thereof and will testify in all legal proceedings and generally do all things which may be necessary or desirable more effectually to secure to and vest in said Assignee, its successors or assigns the entire right, title and interest in and to the improvements, inventions, applications, Letters Patent, rights, titles, benefits, privileges and advantages hereby sold, assigned and conveyed, or intended so to be.

AND, furthermore I/WE covenant and agree with said Assignee, its successors and assigns, that no assignment, grant, mortgage, license or other agreement affecting the rights and priority herein conveyed has been made to others by ME/US and that full right to convey the same as herein expressed is possessed by ME/US.

IN TESTIMONY WHEREOF, I have hereunto set my hand this 19 day
of FEBRUARY, 2003.



Thomas Seebeck

STATE OF _____)
)
COUNTY OF _____)

On _____, before me, _____ personally
appeared _____, ☐ personally
known to me **OR** ☐ proved to me on the basis of
satisfactory evidence to be the person whose name
is subscribed to the within instrument and
acknowledged to me that he executed the same in
his authorized capacity, and that by his signature on
the instrument the person, or the entity upon behalf
of which the person acted, executed the instrument.

WITNESS my hand and official seal

[SEAL]

Notary Public

IN TESTIMONY WHEREOF, I have hereunto set my hand this 25 day
of Feb, 2003.

Roya Zoraghi
Roya Zoraghi

STATE OF *Tennessee*)
COUNTY OF *Davidson*)

On 2/25/03, before me, *Frances D. Drupp* personally
appeared *Roya Zoraghi*, ☒ personally
known to me OR ☐ proved to me on the basis of
satisfactory evidence to be the person whose name
is subscribed to the within instrument and
acknowledged to me that he executed the same in
his authorized capacity, and that by his signature on
the instrument the person, or the entity upon behalf
of which the person acted, executed the instrument.

WITNESS my hand and official seal

[SEAL]

Frances D. Drupp
Notary Public
My Commission expires 10/25/03.

ASSIGNMENT

WHEREAS, I/WE, Thomas Seebek residing at Panoramaweg 17, CH-3042 Ortschaften, Switzerland, Kewei Gong residing at 3165 Sepulveda Boulevard #301, Los Angeles, California 90034, Roya Zoraghi residing at 2152 Acklen Avenue #2, Nashville, Tennessee 37212, Stefan Kunz residing at Caccilienstrasse 42, 3007 Bern, Switzerland made certain new and useful inventions and improvements for which I/WE filed an application for Letters Patent of the United States as application Serial No. PCT/US01/28503 filed on September 12, 2001 which is entitled NOVEL PDEs AND USES THEREOF;

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NOW THEREFORE, to all whom it may concern, be it known that pursuant to contractual obligations heretofore assumed by ME/US and/or for other valuable and sufficient consideration, the receipt of which is hereby acknowledged, I/WE have sold, assigned, and transferred, and by these presents do sell, assign and transfer unto said Assignee, its successors or assigns, the entire right, title, and interest for all countries in and to all inventions and improvements disclosed in the aforesaid application, and in and to the application, all divisions, continuations, or renewals thereof, all Letters Patent which may be granted therefrom, and all reissues or extensions of such patents, and in and to any and all applications which have been or shall be filed in any foreign countries for Letters Patent on the inventions and improvements, including an assignment of all rights under the provisions of the International Convention, and all Letters Patent of foreign countries which may be granted therefrom; and I/WE do hereby authorize and request the Commissioner of Patents and Trademarks to issue any and all United States Letters Patent for the aforesaid inventions and improvements to the Assignee as the

assignee of the entire right, title and interest in and to the same, for the use of the Assignee, its successors and assigns.

AND, for the consideration aforesaid, I/WE do hereby agree that I/WE and MY/OUR executors and legal representatives will make, execute and deliver any and all other instruments in writing including any and all further application papers, affidavits, assignments and other documents, and will communicate to said Assignee, its successors and representatives all facts known to ME/US relating to said improvements and the history thereof and will testify in all legal proceedings and generally do all things which may be necessary or desirable more effectually to secure to and vest in said Assignee, its successors or assigns the entire right, title and interest in and to the improvements, inventions, applications, Letters Patent, rights, titles, benefits, privileges and advantages hereby sold, assigned and conveyed, or intended so to be.

AND, furthermore I/WE covenant and agree with said Assignee, its successors and assigns, that no assignment, grant, mortgage, license or other agreement affecting the rights and priority herein conveyed has been made to others by ME/US and that full right to convey the same as herein expressed is possessed by ME/US.

IN TESTIMONY WHEREOF, I have hereunto set my hand this 30 day
of MAY, 2003.

St. K - z

Stefan Kunz

Prof. T. Eschenk
Institut für Zoobiologie
Baltzerstrasse 4
3012 Bern

STATE OF _____)

COUNTY OF _____)

On _____, before me, _____ personally
appeared _____, ☐ personally
known to me OR ☐ proved to me on the basis of
satisfactory evidence to be the person whose name
is subscribed to the within instrument and
acknowledged to me that he executed the same in
his authorized capacity, and that by his signature on
the instrument the person, or the entity upon behalf
of which the person acted, executed the instrument.

WITNESS my hand and official seal

[SEAL]

Notary Public

ASSIGNMENT

WHEREAS, I/WE, Thomas Seebeck residing at Panoramaweg 17, CH-3042 Ortschaften, Switzerland, Kewei Gong residing at 3165 Sepulveda Boulevard #301, Los Angeles, California 90034, Roya Zoraghi residing at 2152 Acklen Avenue #2, Nashville, Tennessee 37212, Stefan Kunz residing at Caecilienstrasse 42, 3007 Bern, Switzerland made certain new and useful inventions and improvements for which I/WE filed an application for Letters Patent of the United States as application Serial No. PCT/US01/28503 filed on September 12, 2001 which is entitled NOVEL PDEs AND USES THEREOF;

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assignee of the entire right, title and interest in and to the same, for the use of the Assignee, its successors and assigns.

AND, for the consideration aforesaid, I/WE do hereby agree that I/WE and MY/OUR executors and legal representatives will make, execute and deliver any and all other instruments in writing including any and all further application papers, affidavits, assignments and other documents, and will communicate to said Assignee, its successors and representatives all facts known to ME/US relating to said improvements and the history thereof and will testify in all legal proceedings and generally do all things which may be necessary or desirable more effectually to secure to and vest in said Assignee, its successors or assigns the entire right, title and interest in and to the improvements, inventions, applications, Letters Patent, rights, titles, benefits, privileges and advantages hereby sold, assigned and conveyed, or intended so to be.

AND, furthermore I/WE covenant and agree with said Assignee, its successors and assigns, that no assignment, grant, mortgage, license or other agreement affecting the rights and priority herein conveyed has been made to others by ME/US and that full right to convey the same as herein expressed is possessed by ME/US.

IN TESTIMONY WHEREOF, I have hereunto set my hand this _____ day
of _____, 20_____.

Thomas Seebeck

STATE OF _____)
)
COUNTY OF _____)

On _____, before me, _____ personally
appeared _____, ☐ personally
known to me **OR** ☐ proved to me on the basis of
satisfactory evidence to be the person whose name
is subscribed to the within instrument and
acknowledged to me that he executed the same in
his authorized capacity, and that by his signature on
the instrument the person, or the entity upon behalf
of which the person acted, executed the instrument.

WITNESS my hand and official seal

[SEAL]

Notary Public

IN TESTIMONY WHEREOF, I have hereunto set my hand this 26 day
of February, 20 03.

Kewei Gong
Kewei Gong

STATE OF California)
COUNTY OF ~~USA~~)

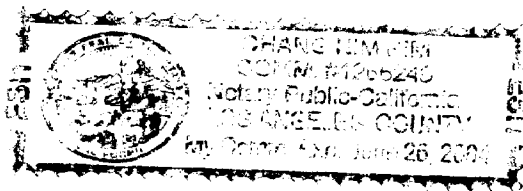
Los Angeles

On 2/20/03, before me, Chang Nim Kim, ^{Notary public} personally
appeared Kewei Gong, ☐ personally
known to me **OR** ☒ proved to me on the basis of
satisfactory evidence to be the person whose name
is subscribed to the within instrument and
acknowledged to me that he executed the same in
his authorized capacity, and that by his signature on
the instrument the person, or the entity upon behalf
of which the person acted, executed the instrument.

WITNESS my hand and official seal

[SEAL]

Chang Nim Kim
Notary Public



IN TESTIMONY WHEREOF, I have hereunto set my hand this _____ day
of _____, 20_____.

Roya Zoraghi

STATE OF _____)

)

COUNTY OF _____)

On _____, before me, _____ personally
appeared _____, ☐ personally
known to me **OR** ☐ proved to me on the basis of
satisfactory evidence to be the person whose name
is subscribed to the within instrument and
acknowledged to me that he executed the same in
his authorized capacity, and that by his signature on
the instrument the person, or the entity upon behalf
of which the person acted, executed the instrument.

WITNESS my hand and official seal

[SEAL]

Notary Public

IN TESTIMONY WHEREOF, I have hereunto set my hand this _____ day
of _____, 20_____.

Stefan Kunz

STATE OF _____)
)
COUNTY OF _____)

On _____, before me, _____ personally
appeared _____, ☐ personally
known to me **OR** ☐ proved to me on the basis of
satisfactory evidence to be the person whose name
is subscribed to the within instrument and
acknowledged to me that he executed the same in
his authorized capacity, and that by his signature on
the instrument the person, or the entity upon behalf
of which the person acted, executed the instrument.

WITNESS my hand and official seal

[SEAL]

Notary Public