

06-06-2003

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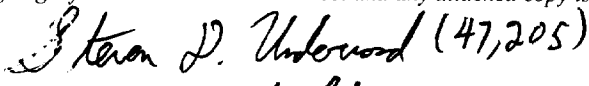
Attorney Docket Number 010503-004

TO THE HONORABLE COMMISSIONER OF PATENTS AND TRADEMARKS

Box Assignment
Washington, DC 20231

6-2-03

Please record the attached original documents or copy thereof.

| | | | |
|---|--|--|--|
| 1. Name of conveying party(ies): Eugene Noser, Bruce Stewart, and John Thomas Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | 2. Name and address of receiving party(ies): Name: <u>Abel/Noser Corp.</u> Address: <u>90 Broad Street</u> <u>New York, NY 10004</u> Country (if other than USA): | |
| 3. Nature of conveyance: <input checked="" type="checkbox"/> Assignment Security Agreement Other Execution Date: <u>May 8, 2003</u> | | 4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: A. Patent Application No.(s) <u>10/372,436</u> B. Patent No.(s) Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| 5. Name and address of party to whom correspondence concerning document should be mailed: PENNIE & EDMONDS LLP 1155 Avenue of the Americas New York, New York 10036-2711 | | 6. Number of applications and patents involved: <u>1</u> 7. Total fee (37 CFR 3.41):.....\$ 40 Please charge to the deposit account listed in Section 8. 8. Deposit account number: <u>16-1150</u> | |
| DO NOT USE THIS SPACE | | | |
| 9. Statement and signature. <i>To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.</i>  Ognjan V. Shentov 38,051 For Ognjan V. Shentov 6/2/03 Name of Person Signing Reg. No. Signature Date Total number of pages including cover sheet: 3 | | | |

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Mail documents to be recorded with required cover sheet information to:
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Washington, D.C. 20231

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PATENT
REEL: 014131 FRAME: 0497

ASSIGNMENT

WHEREAS, WE, EUGENE NOSER and BRUCE STEWART, ASSIGNORS, citizens of the United States, having a place of business at ABEL/NOSER CORP., 90 Broad Street, New York, NY 10004, and JOHN T. THOMAS, ASSIGNOR, residing at 1002 Spring Lane, East Stroudsburg, PA 18301, are the inventors of the invention in SYSTEMS AND METHODS FOR ANALYSIS OF PORTFOLIOS RETURNS AND TRADE COST MEASUREMENT BASED ON FIDUCIARY ROLES for which we have executed an application for a Patent of the United States

- ☐ which is executed on ☐ even date herewith or ☐
- ☒ which is identified by Pennie & Edmonds LLP docket no. 10503-004
- ☒ which was filed on February 24, 2003, Application No. 10/372,436
- ☐ We hereby authorize and request our attorney, , of Pennie & Edmonds, LLP, , to insert here in parentheses (Application number, _____ filed _____) the filing date and application number of said application when known.

and WHEREAS, ABEL/NOSER CORPORATION, 90 Broad Street, New York, NY 10004, ASSIGNEE, is desirous of obtaining our entire right, title and interest in, to and under the said invention and the said application:

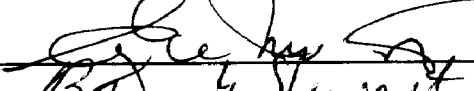
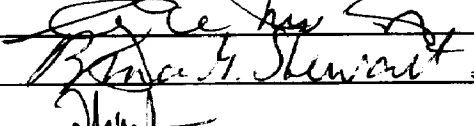

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the said ASSIGNORS, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, our entire right, title and interest in, to and under the said invention, and the said United States application and all divisions, renewals and continuations thereof, and all Patents of the United States which may be granted thereon and all reissues and extensions thereof; and all applications for industrial property protection, including, without limitation, all applications for patents, utility models, and designs which may hereafter be filed for said invention in any country or countries foreign to the United States, together with the right to file such applications and the right to claim for the same the priority rights derived from said United States application under the Patent Laws of the United States, the International Convention for the Protection of Industrial Property, or any other international agreement or the domestic laws of the country in which any such application is filed, as may be applicable; and all forms of industrial property protection, including, without limitation, patents, utility models, inventors' certificates and designs which may be granted for said invention in any country or countries foreign to the United States and all extensions, renewals and reissues thereof;

AND WE HEREBY authorize and request the Commissioner of Patents and Trademarks of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant and agree that we have full right to convey the entire interest herein assigned, and that we have not executed, and will not execute, any agreement in conflict herewith.

AND WE HEREBY further covenant and agree that we will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known to us respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, reissue and foreign applications, make all rightful oaths, and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries.

IN TESTIMONY WHEREOF, We hereunto set our hands and seals the day and year set opposite our respective signatures.

| | | | | |
|------|---------------|--------|--|------|
| Date | <u>5/8/03</u> | , 2003 |  | L.S. |
| Date | <u>5/8/03</u> | , 2003 |  | L.S. |
| Date | <u>5/8/03</u> | , 2003 |  | L.S. |

State of NY)
County of NY) SS.:

On 5/8/03, 2003, before me, RAVI JETHMAL, Notary Public, personally appeared EUGENE NOSER, personally known to me on the basis of satisfactory evidence to be the person(s) whose name(s) is subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal

RAVI JETHMAL
Notary Public, State of New York
No. 01JE6053096
Qualified in New York County
Commission Expires January 2, 2007

NY-1422007.1

State of

NY

SS:

County of

On

5/8/03

, 2003, before me,

RAVI JETHMAL

Notary Public, personally appeared

BRUCE STEWART

personally known to me on the basis of satisfactory evidence to be the person(s) whose name(s) is subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal

[Signature]

RAVI JETHMAL
Notary Public, State of New York
No. 01JE6053096
Qualified in New York County
Commission Expires January 2, 2007

State of

NY

SS:

County of

On

5/8/03

, 2003, before me,

RAVI JETHMAL

Notary Public, personally appeared

JOHN THOMAS

personally known to me on the basis of satisfactory evidence to be the person(s) whose name(s) is subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal

[Signature]

RAVI JETHMAL
Notary Public, State of New York
No. 01JE6053096
Qualified in New York County
Commission Expires January 2, 2007