

06-09-2003



Docket No.: YOR920030099US1 (16484)

HEET

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

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To the Honorable Director of the United States Patent and Trademark Office: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Stephen W. Bedell  
Anthony G. Domenicucci  
Keith E. Fogel  
Devendra K. Sadana

5.30.03

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other

Execution Date: May 29 and May 30, 2003

2. Name and address of receiving party(ies):

Name: International Business Machines Corporation

Address: New Orchard Road

City: Armonk State/Prov.: NY

Country: USA ZIP: 10504

Additional name(s) & address(es)

☐ Yes ☒ No

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is: 5/29 and 5/30/2003

Patent Application No.

Filing date

B. Patent No.(s)

06/09/2003 6TON11 00000028 500510 10448948

01 FC:8021

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Additional numbers

☐ Yes ☐ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Steven Fischman

Registration No. 34,594

Address: SCULLY, SCOTT, MURPHY & PRESSER

400 Garden City Plaza

City: Garden City State/Prov.: NY

Country: USA ZIP: 11530

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

- ☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account  
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8. Deposit account number:

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*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Steven Fischman

Name of Person Signing

Signature

May 30, 2003

Date

4

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PATENT

REEL: 014133 FRAME: 0708

IBM Docket No.: YOR920030099US1  
SSMP: 16484

## ASSIGNMENT

Whereas, we

Inventor  
and City

(1) Stephen W. Badell  
County of Dutchess

City of Wappingers Falls  
and State of New York

(2) Anthony G. Domenicucci  
County of Ulster

City of New Paltz  
and state of New York

(3) Keith E. Fogel  
County of Westchester

City of Mohegan Lake  
and State of New York

and

(4) Devendra K. Sadana  
County of Westchester

City of Pleasantville  
and State of New York

have invented certain improvements in

Title

HIGH QUALITY SGOI BY OXIDATION NEAR THE ALLOY MELTING TEMPERATURE

Dates That  
Inventors  
Signed the  
Declaration

and have executed, respectively, a United States patent application therefor on

(1) May 30,  
(3) May 29,

2003, and (2)  
2003, and (4)

May 30th  
May 29,

2003, and  
2003.

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we the above named hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

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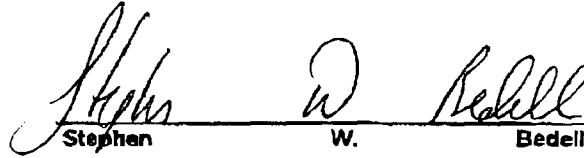
PATENT  
5167424366 PAGE 39  
REEL: 014133 FRAME: 0709

IBM Docket No.: YOR920030099US1  
SSMP: 16484

City

(1) Signed at *Yorktown Heights, NY*  
on *May 30, 2003*

Date

  
Stephen W. Bedell signature  
inventor

City

(2) Signed at *East Fishkill, N.Y.*  
on *May 30, 2003*

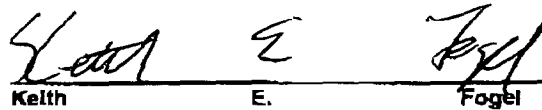
Date

  
Anthony G. Domenicucci signature  
inventor

City

(3) Signed at *Yorktown Heights, NY*  
on *May 29, 2003*

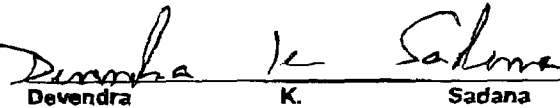
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Keith E. Fogel signature  
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City

(4) Signed at *Yorktown Heights, NY*  
on *May 29, 2003*

Date

  
Devendra K. Sadana signature  
inventor

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