

FORM PTO 1585

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Patent

06-09-2003

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6-5103 PATEN



102467548

To the Director of Patents and Trademarks: Please record the attached original documents or copy thereof

## 1. Name of conveying party(ies):

David S. SOANE  
Daniel J. SUICHAdditional name(s) of conveying parties attached? ☐ Yes x No

## 2. Name and address of receiving party(ies)

Name: **A) Soane Family Trust** (50% rights)Street Address: 109 King Street  
City: Piedmont, CA 94610Name: **B) Alexander Soane Annual Exclusion Trust** (25% rights)Street Address: 109 King Street  
City: Piedmont, CA 94610Name: **C) Nicholas Soane Annual Exclusion Trust** (25% rights)Street Address: 109 King Street  
City: Piedmont, CA 94610

## 3. Nature of conveyance:

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other: \_\_\_\_\_

Execution date: March 17, 2003

## 4. Application numbers or patent numbers:

If this document is being filed together with a new application, the execution date of the application is: February 10, 2003

## A. Patent Application Serial No:

10/328,898

## B. Patent No.(s)

Additional Numbers attached? ☐ Yes X No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Estelle J. Tsevdos, Esq.

KENYON & KENYON  
One Broadway  
New York, NY 10004

## 6. Total number of applications and patents involved: 1

7. Total fee (37 C.F.R. 3.41) ..... \$ 40.00

☐ Enclosed☒ Authorized to be charged to deposit account

## 8. Deposit account number:

11-0600

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## 9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Estelle J. Tsevdos (Reg. No. 31,145)

Name of Person Signing

Signature

Date

Total Number of pages including cover sheet, attachments, and document: 3

OMB No. 0651-0011 (exp. 4/94)

Mail documents to be recorded with required cover sheet information to:

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01 FC:8021 40.00 CH

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## ASSIGNMENT OF PATENT APPLICATIONS

WHEREAS, we, David S. Soane and Daniel J. Suich, hereinafter referred to as "Assignors", are the inventors of the invention described and set forth in the applications for patent listed on Schedule A attached hereto (the "Patent Rights"); and

WHEREAS, the Soane Family Trust dated 11-17-92, the Alexander Soane Annual Exclusion Trust dated 11-17-92, and the Nicholas Soane Annual Exclusion Trust dated 11-17-92 (together, the "Assignees") are desirous of acquiring an interest in the Patent Rights and in any Letters Patent and Registrations which may be granted on the same;

NOW THEREFORE, for good and valuable consideration, the receipt, sufficiency and adequacy of which are hereby acknowledged by Assignors, Assignors do hereby:

SELL, ASSIGN AND TRANSFER to Assignees their entire right, title and interest for the United States and all foreign countries in and to the Patent Rights and to any and all future improvements thereon and all divisional, continuing, substitute, renewal, reissue and all other applications for patent which have been or shall be filed in the United States and all foreign countries on the same; all original and reissued patents which have been or shall be issued in the United States and all foreign countries on the invention and any improvements; and specifically including the right to file foreign applications under the provisions of any convention or treaty and claim priority based on such application in the United States; Assignors' right, title and interest to be divided among the Assignees as follows: 50% to the Soane Family Trust, 25% to the Alexander Soane Annual Exclusion Trust, and 25% to the Nicholas Soane Annual Exclusion Trust;

AUTHORIZE AND REQUEST the issuing authority to issue any and all United States and foreign patents granted on the Patent Rights to the Assignees;

WARRANT AND COVENANT that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been or will be made to others by the undersigned, and that the full right to convey the same as herein expressed is possessed by Assignors;

COVENANT, when requested and at the expense of the Assignees, to carry out in good faith the intent and purpose of this assignment, Assignors will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on the Patent Rights and any improvements; execute all rightful oaths, declarations, assignments, powers of attorney and other papers; communicate to the Assignees all facts known to Assignors relating to the Patent Rights and the history thereof; and generally do everything possible which the Assignees shall consider desirable for vesting title to the Patent Rights and any improvements in the Assignees, and for securing, maintaining and enforcing proper patent protection for such Patent Rights and improvements;

TO BE BINDING on the heirs, assigns, representatives and successors of the undersigned and extend to the successors, assigns and nominees of the Assignees.

IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

(Signature) David Soane Date: 3-17-03  
Name: David S. Soane

Witnessed by: W. Chasman Fegelman Date: 3-17-03  
Name:

(Signature) Daniel J. Suich Date: 3/17/03  
Name: Daniel J. Suich

Witnessed by: W. Chasman Fegelman Date: 3-17-03  
Name:

**SCHEDULE A**  
**Patent Rights**

<u>Country</u>	<u>Serial No. &amp; Filing Date</u>	<u>Title</u>	<u>Inventors</u>
US	10/328,898 12/23/2002	Use of Oligomers and Polymers for Drug Solubilization, Stabilization, and Delivery	David S. Soane Daniel J. Suich
PCT	PCT/US02/41416 12/23/2002	Use of Oligomers and Polymers for Drug Solubilization, Stabilization, and Delivery	David S. Soane Daniel J. Suich