

6-2-23

ASSIGNMENT RECORDATION COVER SHEET

06-09-2003

To: Honorable Commissioner of Patents and Trademarks:

Please record the attached original document(s) or copy thereo-

102467602

- 1. Name of conveying parties:
 - a) Toru Yamazaki
 - b) Tetsuya Baba
 - c) Osamu Murata
- 2. Name and address of receiving parties:

a) Name:

Nihon Microcoating Co., Ltd.

Address:

3-4-1 Musashino, Akishima,

Tokyo, Japan

b)

NTT Advanced Technology Co., Ltd.

Name: Address:

2-1-1 Nishishinjuku, Shinjuku-ku,

Tokyo, Japan

3. Nature of conveyance: Assignment

Execution Date: May 15, 2003

4. Application Number(s) or Patent Number(s): 10/372,598

The title of the application is: POLISHING FILM AND METHOD OF PRODUCING SAME

5. Please send all correspondence concerning this (these) documents to:

Beyer Weaver & Thomas, LLP P.O. Box 778

Berkeley, CA 94704-0778

Ph: (510) 843-6200 Fax: (510) 843-6203

(Customer Number: 022434)

- 6. Total number of applications and patents involved: 1
- 7. Total fee (37 CFR 3.41): \$40.00

Enclosed

Any additional fees are authorized to be charged to Deposit Account No. 500388 (Order No. NMCIP037A)

8. To the best of my knowledge and belief, the foregoing information is true and correct and any

attached copy is a true copy of the original document

Date: May 28, 2003

(8021

72003 ENGUYEN 0000073 10372598

ፈስ ስስ በ፤

Keliichi Nishimura

Registration No. 29,093

Attorney Docket No. NMCIP.037A

(Revised 12/01)

PATENT REEL: 014135 FRAME: 0307

ASSIGNMENT

WHEREAS, Toru Yamazaki, Tetsuya Baba, and Osamu Murata, all of Tokyo, Japan, hereinafter referred to as "Assignors," have invented certain new and useful improvements as described and set forth in an application for Letters Patent of the United States entitled POLISHING FILM AND METHOD OF PRODUCING SAME; and have executed an application for a United States patent disclosing and identifying the invention on the 21st day of February, 2003, and having Serial Number 10/372,598 and filing date of February 21, 2003; and

WHEREAS, NIHON MICROCOATING Co., Ltd., a corporation of Japan, having a place of business at 3-4-1 Musashino, Akishima, Tokyo, Japan, and NTT ADVANCED TECHNOLOGY Co., Ltd., a corporation of Japan, having a place of business at 2-1-1-Nishishinjuku, Shinjuku-ku, Tokyo, Japan, hereinafter referred to as "Assignees," desire to acquire the entire right, title and interest in and to said application, said invention, said improvements and all Letters Patent which may be granted thereon in the United States or any foreign country;

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged by Assignors,

- 1. Assignors hereby sell, assign, transfer and convey to Assignees the entire worldwide right, title and interest in and to said application, said invention and said improvements, and in and to any and all Letters Patent on said invention and improvements that may be granted by the United States or any foreign country, including any divisions, substitutions, continuations in whole or in part, conversions, reissues, additions or extensions thereof, said interest to be held and enjoyed by Assignees as fully and exclusively as it would have been held and enjoyed by said Assignors had this Assignment and transfer not been made.
- 2. Assignors hereby warrant, covenant and represent that they have not heretofore granted any license, right or privilege with respect to said application, invention or improvements, or in any other way encumbered the same, and that they have the full right to make this Assignment.

1

NMIC P037A

PATENT REEL: 014135 FRAME: 0308

- 3. Assignors further agree that at the request and expense of Assignees, but without charge to said Assignees, they will promptly execute all papers necessary or desirable to perfect ownership of said invention, improvements, applications or said Letters Patent, in said Assignees, and will execute all oaths and other papers, within the truth, that are necessary or desirable for prosecuting said application, for use in interference proceedings involving said invention or improvements, for refiling said applications, for filing of said divisional, substitution, continuation or continuation-in-part applications covering said invention or improvements which are deemed necessary or desirable by Assignees, for reissuance or reexamination of said Letters Patent, or for the filing in foreign countries of applications for Letters Patent covering said invention or improvements.
- 4. The terms, covenants and provisions of this Assignment shall inure to the benefit of Assignees, its successors, assigns and other legal representatives, and shall be binding upon Assignors, their heirs, legal representatives and assigns.

IN TESTIMONY WHEREOF, we have executed and delivered to Assignees this instrument this

- (1) 15 day of May, 2003
- (2)<u>15</u> day of <u>May</u>, 2003,
- (3) 15 day of May, 2003, respectively.

RECORDED: 06/02/2003

(1) Toru Yamazaki (Inventor)

(2) Tetruya Balce
Tetsuya Baba (Inventor)

Osamu Murata (Inventor)