SD-7224/S-99,849 November 11, 2003 U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office

FORM PTO-1395

RECORDATION FORM COVER SHEET PATENTS ONLY

Rev. 6 -93) O MB No 0651-0011 (BXP, 4/94)

Taxon and That Mark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copies thereof.

1. Name of conveying party(ies):	2. Name and address of receiving party(ies)
Ronald P. Manginell, Douglas R. Adkins, Sara S. Sokolowski, and Patrick R. Lewis	SANDIA CORPORATION P. O. Box 5800 - MS-0161 Albuquerque, New Mexico 87185-0161
Additional name(s) of conveying party(ies) attached? Yes X No	•
3. Nature of conveyance:	
⊠Assignment □ Merger	
☐ Security Agreement ☐ Change of Name	
□ Other: Assignment & Confirmatory License	
Execution Date(s): 10/2, 10/13, 10/15, and 10/27/	O3 Addit io nal n ame(\$) & address(es)
If this document is being filed to gether with a new applicatio	n, the execution date of the application is:
A. Patent Application No.(s)	B. Patent No.(s)
10/696,649 Filed: 10/28/03	Ĭssued:
Additional numbers attached? □ Yes X No	
5. Name and address of party to whom correspondent concerning document should be mailed:	e 6. Total number of applications and patents involved: one (1)
Name: Sandia National Laboratories	
Internal Address: Intellectual Property, 11500	7. Total fee (37 CFR 3.41) \$40.00
	□ Enclosed
Street Address: P. O. Box 5800	X Authorized to be charged to deposit accou
_ _	8. Deposit Account number: 19-0131
City: Albuquerque State: NM ZIP: 87185-016	(Attach duplicate copy of this page if paying by account)
DO NOT USE T	HIS SPACE
9. Statement and signature.	
To the best of my knowledge and belief, the foregontattached copy is a true copy of the original document	oing information is true and correct and any

Russell D. Elliott Chief IP Counsel

Signature

11/14/03 Date

Total number of pages including cover sheet, attachments, and document: ____3____

SD7224/S99,849 September 29, 2003

→→→ RECORDATION

ASSIGNMENT

WHEREAS, Ronald P. Manginell, Douglas R. Adkins, Sara S. Sokolowski, and Patrick R. Lewis, of Albuquerque, New Mexico have made an invention relating to Non-Planar Chemical Preconcentrator, in the course of the work authorized by Contract DE-AC04-94AL85000 between SANDIA CORPORATION and the UNITED STATES DEPARTMENT OF ENERGY, said invention being disclosed and claimed in an application for United States patent, identified as DOE Docket No: S-99,849, duly executed by said inventors and

WHEREAS Inventor(s), in consideration of employment by Sandia Corporation, has(have) agreed, among other things, to assign to Sandia Corporation, its successors and assigns, all rights to inventions, discoveries, or improvements made or conceived in the course of their employment; and

WHEREAS, the Government of the United States, as represented by the U.S. Department of Energy has granted a waiver of Government rights in the above-identified invention to Sandia Corporation under Class Waiver W(C)93-012, effective October 1, 1993, and

THEREFORE, Inventor(s) hereby sells, assigns, and transfers unto Sandia Corporation their entire right, title, and interest in and to said invention and in and to all resulting patents, wherever they may be granted, as well as reissues or extensions of said patents.

Inventor(s) covenants with Sandia Corporation that, except for the rights, if any, of the Government, his/her interest in the rights and property herein conveyed is free and clear of any encumbrance and that they have full right to convey the same as herein expressed. They agree, at the request of Sandia corporation, to make, execute, and deliver to Sandia Corporation, the Government, or other party prosecuting patent applications on this invention, any and all papers, documents, affidavits, applications, statements or other instruments relating to said invention, take all rightful oaths and do all acts as may reasonably be required of them in or incident to the prosecution of any or all applications on said invention or in the adjustment or settlement of any interferences or other actions or proceedings that said applications or patents thereon may encounter or in which they may become involved.

PATENT REEL: 014136 FRAME: 0669

SD7224/S99,849 September 29, 2003

Page	2
------	---

Signed at Albuquerque, New Mexico.

STATE OF NEW MEXICO

) SS:

COUNTY OF BERNALILLO

On the the dates listed above, personally appeared before me, Martha Trujillo, Notary Public, Ronald P. Manginell, Douglas R. Adkins, Sara S. Sokolowski, and Patrick R. Lewis, to me known to be the individual(s) described in and who executed the foregoing instrument, and who acknowledge to me that they executed the same.

OFFICIAL SEAL Martha G. Trujillo NOTARY PUBLIC STATE OF NEW MEXICO Expires: 100 / 712007 Commission Expires

Approved and consented to this $\underline{\mathcal{M}^{\mathcal{N}}}$

SANDIA CORPORATION

Russell D. Elliott Chief IP Counsel

Intellectual Property Center, MS 0161

PATENT REEL: 014136 FRAME: 0670

RECORDED: 11/18/2003