

06-11-2003



102469860

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks,
or copy thereof.

ne attached original documents

1. Name of conveying party(ies):

Py Patent, Inc.
419 West Avenue
Stamford, Connecticut 06902

2. Name and address of receiving party(ies):

Name: Medical Instill Technologies, Inc.
Street Address: 419 West Avenue
Stamford, Connecticut 06902
U.S.

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other: _____

Execution Date: April 9, 2003

Additional name(s) & address(es) attached?

☐ Yes ☒ No

4. Application number(s) or registration number(s):

A. Patent Application No.(s)

B. Patent No.(s)

<u>4,981,479</u>	5,133,702	
5,613,957	5,685,869	5,746,728
5,944,702	6,033,384	6,213,982

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Mark D. Giarratana
Cummings & Lockwood, LLC
Granite Square, 700 State Street
P.O. Box 1960
New Haven, CT 06509-1960

6. Total number of applications and registrations involved:..... ☐ 8 ☐7. Total fee (37 CFR 3.41): \$320.00☐ Enclosed☒ Authorized to be charged to deposit account8. Deposit account number: 50-1631

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Name of Person Signing: Mark Steinberg (Reg. No. 40,829)

Signature:

Date: June 3, 2003Total number of pages including cover sheet, attachments, and document: ☐ 13 ☐

05/21/2003
700031119

FORM PTO-1395

**RECORDATION FORM COVER SHEET
PATENTS ONLY**

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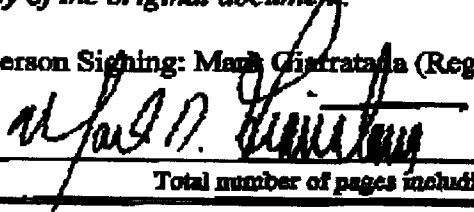
(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Name of Person Signing: Mark Giarratana (Reg. No. 32,615)

Signature: 

Date: 21 May 2003

Total number of pages including cover sheet, attachments, and document: [13]

ASSIGNMENT OF INTELLECTUAL PROPERTY

WHEREAS, Py Patent, Inc. ("Assignor"), is the current owner of the inventions which are the subject of the patents and patent applications listed on Schedule A and incorporated herein together with the associated know-how.

WHEREAS, Medical Instill Technologies, Inc., a corporation organized and existing under the laws of the State of Delaware, having a place of business at 419 West Avenue, Stamford, Connecticut 06902 ("Assignee"), desires to acquire the inventions, patents and applications listed on Schedule A together with the associated know-how.

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Assignor hereby assigns and transfers to Assignee, its successors, assigns and legal representatives, Assignor's entire right, title and interest in the United States and the World in and to said inventions, patents and applications listed on Schedule A together with the associated know-how, including the full and exclusive rights to those inventions, applications and patents, and to any and all inventions described in those applications and patents for the United States, its territorial possessions and all foreign countries as fully and entirely as the same would have been held and enjoyed by Assignor if this Assignment had not been made, and the entire right, title and interest in and to any and all Letters Patents which may be granted therefor in the United States, its territorial possessions and all foreign countries; and in and to any and all continuations, divisions, substitutes, reissues, and extensions thereof, and all other applications for Letters Patents relating thereto which have been or shall be filed in the United States, its territorial possessions and any foreign countries, and all rights, including all priority rights, under any of the international conventions, unions, agreements, acts, and treaties, as fully and entirely as the same would have been held and enjoyed by Assignor if this Assignment had not been made; together with all claims for damages by reason of past infringement or misappropriation of the inventions, patents, applications and associated know-how, with the right to sue for, and collect the same, for Assignee's own use and benefit, and for the use and benefit of Assignee's successors, assigns and other legal representatives;

AND FURTHER, Assignor hereby authorizes Assignee to apply for and receive Letters Patents for such protection in its own name, in the United States, its territorial possessions, and all foreign countries; and that, when requested to carry out in good faith the intent and purpose of this Assignment, at the expense of said Assignee, Assignor will execute all rightful oaths, assignments, powers of attorney and other papers necessary to secure, maintain, and enforce the patent protection for the inventions, and for vesting title to the inventions and all applications for patents on the inventions in said Assignee, its successors, assigns and legal representatives.

IN WITNESS WHEREOF, Assignor has caused this Assignment of Intellectual Property to be executed and delivered this 9th day of April, 2003.

Assignor:
PY PATENT, INC.



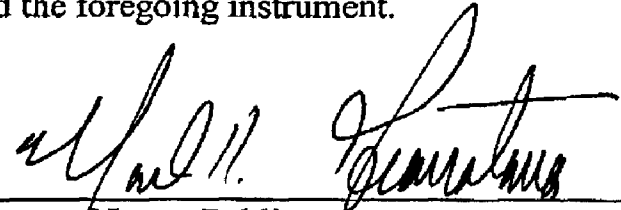
Printed Name: Daniel Py

Title: President

State of Connecticut)
) ss.:
County of Fairfield)

On the 9th day of April, 2003, before me personally came Daniel Py, to me known, who being by me duly sworn, executed the foregoing instrument.

[Notarial Seal]



Notary Public
MARK D. STALLKATANA
COMMISSIONER OF THE SUPERIOR
COURT OF THE STATE OF
CONNECTICUT

.HrtLib1:386515.1 4/9/2003

SCHEDULE A

**ASSIGNOR: PY PATENT, INC.
ASSIGNEE: MEDICAL INSTILL TECHNOLOGIES, INC.**

Matter No. Client Ref.	Title	Country Code	Country	Appln. No./ Filed Date	Patent No./ Granted Date
141	Method for Inserting a Predetermined Volume of Medicament into an Eye	U.S.	United States	927,873 09/11/97	5,944,702 08/31/99
81	Process for Filing a Sealed Receptacle Under Aseptic Conditions (Convention Appln.)	U.S.	United States	424,932 04/19/95	5,641,004 06/24/97
108	Process for Filing a Sealed Receptacle Under Aseptic Conditions (Convention Appln.)	BR	Brazil	P19501779.8 04/25/95	PI 9501779-8 10/19/99
109	Process for Filing a Sealed Receptacle Under Aseptic Conditions (Convention Appln.)	CA	Canada	2147414 04/20/95	
112	Process for Filing a Sealed Receptacle Under Aseptic Conditions (Convention Appln.)	EP	European	95400817.3 04/11/95	EP 0679574
106	Process for Filing a Sealed Receptacle Under Aseptic Conditions (Convention Appln.)	FR	France	9405011 04/26/94	FR 2719018 07/12/96
110	Process for Filing a Sealed Receptacle Under Aseptic Conditions (Convention Appln.)	JP	Japan	102422/95 04/26/95	
111	Process for Filing a Sealed Receptacle Under Aseptic Conditions (Convention Appln.)	KS	So. Korea	9922/1995 04/26/95	
97	Fluid Pump Without Dead Volume (Convention Appln.)	U.S.	United States	534,609 09/27/95	5,746,728 05/05/98
114	Fluid Pump Without Dead Volume (Convention Appln.)	AR	Argentina	333686 09/28/95	AR253260VI 04/30/99
115	Fluid Pump Without Dead Volume (Convention Appln.)	AU	Australia	32985/95 09/29/95	701047 05/06/99
116	Fluid Pump Without Dead Volume (Convention Appln.)	BR	Brazil	P19504259.8 10/02/95	PI9504259-8 04/14/00
117	Fluid Pump Without Dead Volume (Convention Appln.)	CA	Canada	2159682 10/02/95	

SCHEDULE A

ASSIGNOR: PY PATENT, INC.
ASSIGNEE: MEDICAL INSTILL TECHNOLOGIES, INC.

123	Fluid Pump Without Dead Volume (Convention Appln.)	EP European	95402194.5 09/29/95	EP0705645
113	Fluid Pump Without Dead Volume (Original Use Appln.)	FR France	9411785 10/03/94	FR 2725247 12/20/96
119	Fluid Pump Without Dead Volume (Convention Appln.)	IN India	1238/MAS/95 09/25/95	
120	Fluid Pump Without Dead Volume (Convention Appln.)	JP Japan	256354/95 10/03/95	
121	Fluid Pump Without Dead Volume (Convention Appln.)	MX Mexico	954173 10/02/95	
66	Apparatus for Applying Medicament to an Eye (PCT - National Phase/Foreign)	EP European	93900787.8 12/01/92	
67	Apparatus for Applying Medicament to an Eye (PCT - National Phase/Foreign)	CA Canada	2123583 05/13/94	
68	Apparatus for Applying Medicament to an Eye (PCT - National Phase/Foreign)	KS South Korea	701871/1994 06/02/94	
69	Apparatus for Applying Medicament to an Eye (PCT - National Phase/Foreign)	AU Australia	32325/93 05/09/94	
70	Apparatus for Applying Medicament to an Eye (PCT-National Phase/Foreign)	JP Japan	5-510305 06/01/94	
19	Ocular Vial (Divisional Appln. of 322,761, filed 3/13/89)	U.S. United States	601,944 10/22/90	5,163,929 11/17/92
12	Ocular Vial (Original U.S. Appln.)	U.S. United States	322,761 03/13/89	5,085,651 02/04/92
1842	Ocular Vial (Convention Appln.)	DE Germany	EP 90302582.3 03/12/90	P69025482.2 02/28/96
1839	Ocular Vial (Convention Appln.)	FR France	EP 90302582.3 03/12/90	EP 0388106 02/28/96
1855	Ocular Vial (Convention Appln.)	IT Italy	EP 90302582.3 03/12/90	EP 388106 02/28/96
2	Ocular Treatment Apparatus (Original Use Appln.)	U.S. United States	118,388 11/6/87	4,792,334 12/20/88

SCHEDULE A

**ASSIGNOR: PY PATENT, INC.
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11	Ocular Treatment Apparatus (Convention Appln.)	CA Canada	582427 11/07/88	1320887 08/03/93
1442	Ocular Treatment Apparatus (PCT-National Phase/Foreign)	DE Germany	EP 88910308.1 11/04/88	EP 0364504 05/08/96
1439	Ocular Treatment Apparatus (PCT-National Phase/Foreign)	FR France	EP 88910308.1 11/04/88	EP 0364504 05/08/96
1455	Ocular Treatment Apparatus (PCT-National Phase/Foreign)	IT Italy	EP 88910308.1 11/04/88	EP 0364504 05/08/96
13	Ocular Treatment Apparatus (PCT-National Phase/Foreign)	JP Japan	63-509414 07/06/89	2930312 05/21/99
15	Ocular Treatment Apparatus (PCT-National Phase/Foreign)	KS South Korea	89-701282 07/06/89	119052 07/28/97
1487	Ocular Treatment Apparatus (PCT-National Phase/Foreign)	SE Sweden	EP 88910308.1 11/04/88	EP 0364504 05/08/96
1493	Ocular Treatment Apparatus (PCT-National Phase/Foreign)	UK Britain	EP 88910308.1 11/04/88	EP 0364504 05/08/96
9	Ocular Treatment Apparatus (Continuation-in-part Appln. of 118,388 filed 11/6/87)	U.S. United States	267,526 11/04/88	4,946,452 08/07/90
17	Ocular Treatment Apparatus (Continuation-in-part Appln. of 267,526, filed 11/4/88)	U.S. United States	452,782 12/19/89	4,981,479 01/01/91
25	Ocular Treatment Apparatus (Convention Appln.)	AU Australia	67984/90 12/12/90	627418 12/14/92
2116	Ocular Treatment Apparatus (Convention Appln.)	BE Belgium	EP 90313789.1 12/17/90	EP 437953 09/07/94
22	Ocular Treatment Apparatus (Convention Appln.)	CA Canada	2031960 12/11/90	
2188	Ocular Treatment Apparatus (Convention Appln.)	CH Switzerland	EP 90313789.1 12/17/90	EP 437953 09/07/94

SCHEDULE A

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2142	Ocular Treatment Apparatus (Convention Appln.)	DE Germany	EP 90313789.1 12/17/90	P690123043 09/07/94
2132	Ocular Treatment Apparatus (Convention Appln.)	DK Denmark	EP 90313789.1 12/17/90	EP 437953 09/07/94
2186	Ocular Treatment Apparatus (Convention Appln.)	ES Spain	EP 90313789.1 12/17/90	EP 437953 09/07/94
2139	Ocular Treatment Apparatus (Convention Appln.)	FR France	EP 90313789.1 12/17/90	EP 437953 09/07/94
2144	Ocular Treatment Apparatus (Convention Appln.)	GR Greece	EP 90313789.1 12/17/90	EP 437953 09/07/94
2155	Ocular Treatment Apparatus (Convention Appln.)	IT Italy	EP 90313789.1 12/17/90	EP 437953 09/07/94
23	Ocular Treatment Apparatus (Convention Appln.)	JP Japan	2-417895 12/19/90	
24	Ocular Treatment Apparatus (Convention Appln.)	KR Rep Korea	21255/1190 12/19/90	168838 10/08/98
2165	Ocular Treatment Apparatus (Convention Appln.)	NE Netherlands	EP 90313789.1 12/17/90	EP 437953 09/07/94
2187	Ocular Treatment Apparatus (Convention Appln.)	SE Sweden	EP 90313789.1 12/17/90	EP 437953 09/07/94
27	Ocular Treatment Apparatus (Convention Appln.)	Singapore	EP 90313789.1 12/17/90	EP 437953
2193	Ocular Treatment Apparatus (Convention Appln.)	U.K. Britain	EP 90313789.1 12/17/90	EP 437953 09/07/94
28	Ocular Treatment Apparatus (Continuation Appln. of 452,782, filed 12/19/89)	U.S. United States	635,300 12/28/90	5,133,702 07/28/92
38	Apparatus for Delivering Multiple Medicaments to an Eye Without Premixing in the Apparatus (Original Use Appln.)	U.S. United States	001,012 01/06/93	5,320,845 01/06/93

SCHEDULE A

ASSIGNOR: PY PATENT, INC.
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93	Apparatus for Delivering Multiple Medicaments to an Eye Without Premixing in the Apparatus (PCT National Phase-Foreign)	EP European	94906043.8		
75	Cartridge for Applying Medicament to An Eye From A Dispenser (PCT-National Phase Appln.)	JP Japan	5-517463 10/06/94		2823693 09/04/98
74	Cartridge for Applying Medicament to an Eye from Dispenser (PCT-National Phase/Foreign)	EP European	93907469.6 03/12/93		
92	Cartridge for Applying Medicament to an Eye from a Dispenser (Reissue Appln. of U.S. Patent 5,267,986 issued on 12/07/93)	U.S. United States	RE 567,510 12/05/95		Re37047 02/06/01
60	Cartridge for Applying Medicament to an Eye (Continuation-in-Part of 07/863,943, filed 4/4/92)	U.S. United States	132,621 10/06/93		5,401,259 03/28/95
82	Cartridge for Applying Medicament to an Eye (PCT National Phase/Foreign)	AU Australia	78441/94 06/05/95		680304 11/13/97
83	Cartridge for Applying Medicament to an Eye (PCT National Phase/Foreign)	BR Brazil	PI94056269 06/01/95		
84	Cartridge for Applying Medicament to an Eye (PCT National Phase/Foreign)	CA Canada	2,150,910 06/02/95		2,150,910 06/15/99
85	Cartridge for Applying Medicament to an Eye (PCT National Phase/Foreign)	CN China	94190762.7 06/15/95		
86	Cartridge for Applying Medicament to an Eye (PCT National Phase/Foreign)	EP European	94929353.4		
87	Cartridge for Applying Medicament to an Eye (PCT National Phase/Foreign)	JP Japan	7-510866 05/26/95		
88	Cartridge for Applying Medicament to an Eye (PCT National Phase/Foreign)	NZ New Zealand	274412 05/19/95		274412 10/13/97

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89	Cartridge for Applying Medicament to an Eye (PCT National Phase/Foreign)	RU Russia	95113092.00 06/05/95	2148390
3	Ocular Self-Treatment Apparatus and Method (Convention Appln.)	U.S. United States	188,331 05/04/88	4,908,024 03/13/90
4	Ocular Self-Treatment Apparatus and Method (Convention Appln.)	JP Japan	109290/88 05/06/88	2,673,537 07/18/97
7	Ocular Self-Treatment Apparatus and Method (Convention Appln.)	KS South Korea	88-5256 05/06/88	121847 08/30/97
8	Ocular Self-Treatment Apparatus and Method (Convention Appln.)	U.K. Britain	8810732.1 05/06/88	2,205,042 04/17/91
65	Apparatus for Applying Medicament to an Eye (File Wrapper Continuation of 07/801,243, filed 12/2/91)	U.S. United States	209,957 03/11/94	5,613,957 03/25/97
79	Apparatus for Applying Medicament to an Eye (Divisional Appln. of 08/209,957, filed 3/11/94)	U.S. United States	433,856 05/02/95	5,685,869 11/11/97
100	Double Dispenser for Medicinal Liquids (Convention Appln.)	U.S. United States	661,246 06/10/96	5,875,931 03/02/99
128	Double Dispenser for Medicinal Liquids (Convention Appln.)	AU Australia	55983/96 06/14/96	709544 12/16/99
129	Double Dispenser for Medicinal Liquids (Convention Appln.)	BR Brazil	PI9602727.4 06/11/96	
130	Double Dispenser for Medicinal Liquids (Convention Appln.)	CA Canada	2177020 05/21/96	
131	Double Dispenser for Medicinal Liquids (Convention Appln.)	CN China	96107848.0 06/11/96	
138	Double Dispenser for Medicinal Liquids (Convention Appln.)	EP European	96401214.0 06/06/96	
126	Double Dispenser for Medicinal Liquids (Convention Appln.)	FR France	9507087 06/14/95	9507087 12/05/97

SCHEDULE A

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132	Double Dispenser for Medicinal Liquids (Convention Appln.)	JP Japan	154356/96 06/14/96	
133	Double Dispenser for Medicinal Liquids (Convention Appln.)	KS So. Korea	21219/1996 06/13/96	
134	Double Dispenser for Medicinal Liquids (Convention Appln.)	MX Mexico	962315 06/13/96	
136	Double Dispenser for Medicinal Liquids (Convention Appln.)	NO Norway	P962510 06/13/96	
135	Double Dispenser for Medicinal Liquids (Convention Appln.)	NZ New Zealand	286803 06/12/96	286803 01/12/99
101	Method of Transferring Articles, Transfer Pocket and Enclosure (Convention Appln.)	U.S. United States	705,354 08/29/96	5,816,772 10/06/98
125	Method of Transferring Articles, Transfer Pocket and Enclosure (Convention Appln.)	CN China	96111955.1 09/03/96	
124	Method of Transferring Articles, Transfer Pocket and Enclosure (Convention Appln.)	FR France	9510334 09/04/95	2738232 11/14/97
140	One-Way Actuation Release Mechanism for A System for Applying Medicament (Original Use Appln.)	U.S. United States	993,969 12/18/97	6,033,384
165	One-Way Actuation Release Mechanism for A System for Applying Medicament (Convention Appln.)	CA Canada	256593 12/17/98	
166	One-Way Actuation Release Mechanism for A System for Applying Medicament (Convention Appln.)	BR Brazil	PI9805412-0 12/17/98	
167	One-Way Actuation Release Mechanism for A System for Applying Medicament (Convention Appln.)	EP European	98310277.3 12/15/98	

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**ASSIGNOR: PY PATENT, INC.
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168	One-Way Actuation Release Mechanism for A System for Applying Medicament (Convention Appln.)	JP Japan	10-358835 12/17/98	
169	One-Way Actuation Release Mechanism for A System for Applying Medicament (Convention Appln.)	KS So. Korea	1998-55717 12/17/98	
170	One-Way Actuation Release Mechanism for A System for Applying Medicament (Convention Appln.)	MX Mexico	9810858 12/16/98	
72	Eye Medication Applicator (Design Patent)	U.S. United States	D-029,948 10/19/94	D368,774 04/09/96
80	Eye Medication Applicator (Design Patent)	U.S. United States	D-040,633 06/22/95	D374,719 10/15/96
139	System and Method for One-Way Spray/Aerosol Tip (Original Use Appln.)	U.S. United States	927,221 09/10/97	5,855,322 01/05/99
161	System and Method for One-Way Spray/Aerosol Tip (Continuation of 08/927,221 filed on 9/10/97)	U.S. United States	192,843 11/16/98	6,053,433 04/25/00
151	System and Method for One-Way Spray/Aerosol Tip (Convention Appln.)	AR Argentina	P980104470 09/08/98	
152	System and Method for One-Way Spray/Aerosol Tip (Convention Appln.)	AU Australia	83216/98 09/09/98	
154	System and Method for One-Way Spray/Aerosol Tip (Convention Appln.)	BR Brazil	P19803401.4 09/09/98	
153	System and Method for One-Way Spray/Aerosol Tip (Convention Appln.)	CA Canada	2246294 09/01/98	
158	System and Method for One-Way Spray/Aerosol Tip (Convention Appln.)	EP European	98307246.3 09/09/98	
155	System and Method for One-Way Spray/Aerosol Tip (Convention Appln.)	JP Japan	10-255720	

SCHEDULE A

**ASSIGNOR: PY PATENT, INC.
ASSIGNEE: MEDICAL INSTILL TECHNOLOGIES, INC.**

156	System and Method for One-Way Spray/Aerosol Tip (Convention Appln.)	KS So. Korea	1998-37118	
157	System and Method for One-Way Spray/Aerosol Tip (Convention Appln.)	MX Mexico	9807337 09/09/98	
90	Intraocular Prosthesis (PCT - National Phase/Foreign)	EP European	94900409.7 05/26/95	EP 0670701
57	Molded Article and Method and Apparatus for Molding the Article (PCT - National Phase/Foreign)	EP European: France Germany	93902963.3 01/07/93	0574576 03/26/97
488180.0002	Fluid Dispenser Having a Rigid Vial and Flexible Inner Bladder	U.S.	60/242,595 10/23/00	
488180.0007	Fluid Dispenser Having a Rigid Vial and Flexible Inner Bladder	U.S.	60/242,974 10/24/00	
488180.0023 PCT	Fluid Dispenser Having a Rigid Vial and Flexible Inner Bladder	PCT	PCT/US01/46089 10/23/01	
488180.0023	Fluid Dispenser Having a Rigid Vial and Flexible Inner Bladder	U.S.	10/001,745 10/23/01	
488180.0010	Heat-Sealable Cap for Medicament Vial	U.S.	09/781,846 2/12/01	
488180.0010 PCT	Heat-Sealable Cap for Medicament Vial	International	PCT/US01/40134 2/16/01	
488180.0013	Contact Lens Applicator	U.S.	60/288,030 5/2/01	
66	Apparatus for Applying Medicament to an Eye (PCT - National Phase/Foreign)	EP European	93900787.8 12/01/92	0615460 06/02/99
67	Apparatus for Applying Medicament to an Eye (PCT - National Phase/Foreign)	CA Canada	2123583 05/13/94	
68	Apparatus for Applying Medicament to an Eye (PCT - National Phase/Foreign)	KS South Korea	701871/1994 06/02/94	247656

SCHEDULE A

ASSIGNOR: PY PATENT, INC.
ASSIGNEE: MEDICAL INSTILL TECHNOLOGIES, INC.

69	Apparatus for Applying Medicament to an Eye (PCT - National Phase/Foreign)	AU Australia	32325/93 05/09/94	668121 08/13/96
	Cartridge for Applying Medicament to an Eye from a Dispenser	U.S.	07/863,943 04/06/92	5267986 12/07/93
	System and Method for Application of Medicament into the Nasal Passage	U.S.	09/460050 12/14/99	6302101 10/16/01
	One-Way Actuation Release Mechanism for a System for Applying Medicament	U.S.	09/478,432	6,213,982B1 04/10/01
	Apparatus for Applying Medicament to an Eye	U.S.	08/209957 03/11/94	5,613,957 03/25/97
	Apparatus for Applying Medicament to an Eye	U.S.	08/433,856 05/02/95	5685869 11/11/97
	System and Method for Application of Medicament into the Nasal Passage	U.S.	09/927272 08/09/01	
	Intraocular Prosthesis	U.S.	07/978,645 11/19/92	5,300,115 4/5/94
	Intraocular Prosthesis	U.S.	08/178,946 1/5/94	5,366,499 11/22/94

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