6-9-03

PATENT 345-P0027A WWW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants	Holger Jurgensen, et al.
Serial No. Serial No. 10/378,494	Filing Date: March 3, 2003
Title of Application:	CVD Coating Device
Confirmation No. 4929	Group Art Unit 2812
Examiner	

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Patent Assignment Recordation Form Cover Sheet

Dear Sir:

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Please record the attached original document.

- FINANCE SECTION
- Name of conveying parties. Holger Jürgensen, Johannes Käppeler, and Gerhard Karl Strauch.
- 2. <u>Name and Address of Receiving Party</u>. AIXTRON AG; Kackertstrasse 15-17; D-52072 Aachen, Germany.
- 3. <u>Nature of Conveyance</u>: Assignment. <u>Execution Date</u>: May 22 and May 26, 2003.
- 4. Name and Address of Party to Whom Correspondence Concerning Document should be mailed.

Wesley W. Whitmyer, Jr., Registration No. 33,558
Attorney for Applicants
ST.ONGE STEWARD JOHNSTON & REENS LLC
986 Bedford Street
Stamford, CT. 06905-5619

Stamford, CT 06905-5619 203 324-6155

Mailing Certificate: I hereby certify that this correspondence is today being deposited with the U.S. Postal Service as *First Class Mail* in an envelope addressed to: Mail Stop Assignment Recordation Services; U.S. Patents and Trademark Office; Post Office Box 1450; Alexandria, VA 22313-1450.

June 6 . 2003

40.00

Gregory D. Venuto

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- 5. Application Number: Application Serial No. 10/378,494 filed March 3, 2003.
- 6. Total Number of Applications Involved: 1.
- 7. Total Fee (37 CFR 3.41). \$40.00 due. A check for this amount is enclosed.
- 8. <u>Authorization to Charge Deposit Account</u>. The Commissioner is hereby authorized to charge any additional fees due by this paper and during the entire pendency of this Application to Account No. 19-4516.
- 9. <u>Statement and Signature</u>. Total number of pages including cover sheet, attachments and document are 5. To the best of my knowledge and belief, the foregoing information is true and correct and any copy submitted herewith is a true copy of the original document.

Respectfully submitted,

Wesley W. Whitmyer, Jr., Registration No. 33,558

and me alone

Todd M. Oberdick, Registration No. 44, 268

Attorneys for Applicants

ST.ONGE STEWARD JOHNSTON & REENS LLC

986 Bedford Street

Stamford, CT 06905-5619

203 324-6155

Assignment

WHEREAS, We, the undersigned inventors, have made certain inventions or discoveries (or both) as set forth in an application for Letters Patent of the United States of America, entitled **CVD Coating Device**, bearing Patent Application Serial No. 10/378,494 and filed on March 3, 2003;

WHEREAS, AIXTRON AG, a corporation organized and existing under the laws of the Federal Republic of Germany, and having an office and place of business at Kackertstrasse 15-17; D-52072 Aachen, Germany, and, together with its successors and assigns is hereinafter called "Assignee", and is desirous of acquiring the title, rights, benefits and privileges hereinafter recited;

NOW THEREFORE, for valuable consideration furnished by Assignee to us, receipt and sufficiency of which we hereby acknowledge, we hereby, without reservation:

- 1. Assign, transfer and convey to Assignee the entire right, title and interest in and to said inventions and discoveries, said application for Letters Patent of the United States of America, any and all other applications for Letters Patent on said inventions and discoveries in whatsoever countries, including all divisional, renewal, substitute, continuation and convention applications based in whole or in part upon said inventions or discoveries, or upon said applications, and any and all Letters Patent, reissues and extensions of Letters Patent granted for said inventions and discoveries or upon said applications, and every priority right that is or may be predicated upon or arise from said inventions, said discoveries, said applications and said Letters Patent;
- 2. Authorize Assignee to file patent applications in any or all countries on any or all of said inventions and discoveries in our names or in the name of Assignee or otherwise as Assignee may deem advisable, under the International Convention or otherwise;
- 3. Authorize and request the Commissioner of Patents of the United States of America and the empowered officials of all other governments to issue or transfer all said Letters Patents to Assignee, as assignee of the entire right, title and interest therein or otherwise as Assignee may direct;
- 4. Warrant that we have not knowingly conveyed to others any right in said inventions, discoveries, applications or patents or any license to use the same or to make, use or sell anything embodying or utilizing any of said inventions or discoveries; and that we have good right to assign the same to Assignee without encumbrance;
- 5. Bind our heirs, legal representatives and assigns, as well as ourselves, to do, upon Assignee's request and at Assignee's expense, but without additional consideration to us or them, all acts reasonably serving to assure that said inventions and discoveries, said patent applications and said Letters Patent shall be held and enjoyed by Assignee as fully and entirely as the same could have been held and enjoyed by us, our heirs, legal representatives and assigns if this assignment had not been made; and particularly to execute and deliver to Assignee all lawful application documents, including petitions, specifications, and oaths, and all assignments, disclaimers, and lawful affida-

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vits in form and substance as may be requested by Assignee; to communicate to Assignee all facts known to us relating to said inventions and discoveries or the history thereof; and to furnish Assignee with any and all documents, photographs, models, samples and other physical exhibits in our control or in the control of our heirs, legal representatives or assigns, which may be useful for establishing the facts of our conceptions, disclosures, and reduction to practice of said inventions and discoveries.

IN WITNESS WHEREOF, we, Holger Jürgensen, Johannes Käppeler, and Gerhard Karl Strauch, have hereunto set our hands and affixed our seals.

22.8.03

Holger Jürgensen

Rathausstrasse 43d

D-52072 Aachen, Germany

Declaration of Witness

I declare that I was personally present and did see Holger Jürgensen, who is personally known to me to be the person named in this assignment, duly sign and execute the same.

Declared at Aachen, on Hay 22,03.

Thank Declared at Aachen

MARFIKE DRESCHER

Name of Witness

Page 3		
Assignment	$\Omega\Omega$	
Serial No. 10/378,494	(/ L G 1	
11503	A MAN	
76.5.03		
Date	Johannes Kärpeler Zeisigweg 47	
	D-52146 Würselen, Germany	
Declaration of Witness		
I dealars that I was personally r	present and did ass. Johannes Könneler who is	
· · · · · · · · · · · · · · · · · · ·	resent and did see Johannes Käppeler, who is rson named in this assignment, duly sign and	
Declared atAachen	on tray 26, 2008.	
	0 < 0 > 0	
	Signature of Witness	
	Signature of withess	
	MAREIKE DRESCHER	
	Name of Witness	
26/5/03	- Just	
Date / '	Gerhard Karl Strauch	
	Schönauer Friede 80	
	D-52072 Aachen, Germany	
<u>Declaration of Witness</u>		
	resent and did see Gerhard Karl Strauch, who is rson named in this assignment, duly sign and	
Declared atAachen	on May 26, 2003.	
	Signature of Witness	
	hopite descler	
	Signature of Witness	
	Magruer Saragura	
	MAREIKE DRESCHER Name of Witness	
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RECORDED: 06/09/2003