

06-11-2003

ONLY

C 6-9-03

To the Honorable Commission

Name of conveying party(ies)
Ronan O'Dowd



102469265

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

are attached original documents or copy thereof.

2. Name and address of receiving party(ies):

Name: Tsunami Photonics Limited
Address: Blocks B & D Century Court
100 Upper George Street
Dun Laoghaire, Co. Dublin, Ireland

3. Nature of Conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Dates: April 10, 2002

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution dates of the application are:

A. Patent Application No.(s) 10/110,415

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed;

Douglas S. Rupert
Wildman, Harrold, Allen & Dixon
225 West Wacker Drive
Chicago, IL 60606

Docket No.: TSU.1

6. Total number of applications and patents involved:

7. Total fee (37 CFR 3.4):

Enclosed

X Authorized to be charged to deposit account

8. Deposit account number: 23-2126

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Douglas S. Rupert

Name of Person Signing

Reg. No. 44,434

[Signature]
Signature

5/30/03
Date

OMB No. 0651-0011 (exp. 4/94)

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Mail documents to be recorded with required cover sheet information to:

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450
Mail Stop: Assignments

R

07-22-2002



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Patent and Trademark Office

10/110415

NY

JG13 Rec'd PCT/PTO 11 APR 2002

To the Honorable Commissioner of

Name of conveying party(ies):

Ronan O'Dowd

4-11-02

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of Conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other _____

Execution Dates: April 10, 2002

Name:

Tsunami Photonics Limited

Address:

Blocks B & D Century Court
100 Upper George Street
Dun Laoghaire, Co. Dublin, IrelandAdditional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution dates of the application are:

A. Patent Application No.(s)

N/A

B. Patent No.(s)

10,110415

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Douglas S. Rupert

Wildman, Harrold, Allen & Dixon

225 West Wacker Drive

Chicago, IL 60606

Docket No.: T1072.009

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.4):..... \$40.00

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Douglas S. Rupert

Name of Person Signing

Reg. No. 44,434

Signature

Date

OMB No. 0651-0011 (exp. 4/94)

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Mail documents to be recorded with required cover sheet information to:

Assistant Commissioner for Patents
Box Assignments
Washington, D.C. 20231

ASSIGNMENT OF APPLICATION

Docket Number (Optional)

Whereas, I, RONAN O'DOWD of 151 CARYSFORT PARK, BLACKROCK, CO. DUBLIN, hereafter referred to as applicant, have invented certain new and useful improvements in OPTOELECTRONIC DEVICE INCORPORATING A TUNABLE LASER AND METHOD OF CHARACTERISATION THEREOF

☐ for which an application for a United States Patent was filed on ____,

Application Number ____/____.

☒ for which an application for a United States Patent was executed on ____, and

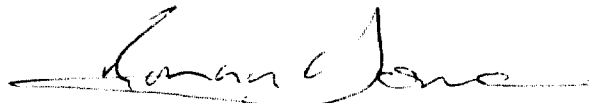
Whereas, TSUNAMI PHOTONICS LIMITED of BLOCKS B & D CENTURY COURT, 100 UPPER GEORGE STREET, DUN LAOGHAIRE, CO. DUBLIN, IRELAND

herein referred to "assignee" whose post office address is AS ABOVE is desirous of acquiring the entire right, title and interest in the same;

Now, therefore, in consideration of the sum of ONE dollar (\$1.00), the receipt whereof is acknowledged, and other good and valuable consideration, I, the applicant, by these presents do sell, assign and transfer unto said assignee the full and exclusive right to the said invention in the United States and the entire right, title and interest in and to any and all Patents which may be granted therefor in the United States, I hereby authorize and request the Commissioner of Patents and Trademarks to issue said United States Patent to said assignee, of the entire right, title, and interest in and to the same, for his sole use and behoof; and for the use and behoof of his legal representatives, to the full end of the term for which said Patent may be granted, as fully and entirely as the same would have been held by me had this assignment and sale not been made.

Executed this 10 day of 4, 2002

at ____.


(Signature)

State of _____) SS:

County of _____)

Before me personally appeared said _____
and acknowledged the foregoing instrument to be his free act and deed this _____
day of _____, 20____.

Seal

(Notary Public)

Burden Hour Statement: This form is estimated to take 0.1 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner of Patents and Trademarks, Washington, DC 20231.