

RECORDATION FORM
PATENTS

06-12-2003

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ent and Trademark Office



To the Honorable Commissioner of Patents and Trademarks: Please

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copy thereof.

1. Name of conveying party(ies):

Ki-Young Lee
Sang-Hoon Park

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Execution Date: April 25, 2003

2. Name and address of receiving party(ies):

Name: Samsung Electronics, Co., Ltd.

Internal Address: _____

Street Address: 416, Maetan-dong, Paldal-gu,
Suwon-city, Kyungki-do, Republic of Korea

City: _____ State _____ ZIP _____

Additional name(s) & address attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: April 25, 2003

A. Patent Application No.(s)

U.S. Serial No. 10/393,093, filed March 20, 2003

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Steven M. Mills

Internal Address: MILLS & ONELLO LLP

Street Address: Eleven Beacon Street, Suite 605

City: Boston State MA ZIP 02108

6. Total number of applications and registrations involved

1

7. Total fee (37 CFR 3.41)..... \$40.00

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit Account Number:

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

06/11/2003 BYRME 00000264 10393093

01 FC:0021 Steven M. Mills Reg. No. 36,610

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document

3

ASSIGNMENT

We, Ki-Young Lee, of 107-1201, Doosan Apt., 282 Byungjum-ri, Taean-eup, Hwasung-kun, Kyunggi-do, Republic of Korea and Sang-Hoon Park, of 206-1001, Woncheon Jugong 2 danji Apt., Woncheon-dong, Paldal-gu, Suwon-shi, Kyunggi-do, Republic of Korea; having invented improvements in SEMICONDUCTOR DEVICE WITH ANALOG CAPACITOR AND METHOD OF FABRICATING THE SAME described in an application for Letters Patent of the United States, Serial No. 10/393,093, filed March 20, 2003, for good and valuable consideration, receipt of which is hereby acknowledged from Samsung Electronics Co., Ltd., a Korean corporation having a place of business at 416, Maetan-dong, Paldal-gu, Suwon-city, Kyungki-do, Republic of Korea (and hereinafter called the Assignee, which term shall include its successors and assigns), do hereby sell, assign and transfer unto the Assignee our entire right, title, and interest in and throughout the United States of America (including its territories and dependencies) and all countries foreign thereto, in and to and under said application (which term shall include hereinafter where the context so admits all divisional, continuing, reissue and other patent applications based thereon) and the inventions (which term shall include each and every such invention, or part thereof) therein described, and any and all patents and like rights of exclusion (including extensions thereof) of any country which may be granted on or for said inventions or on said application;


And for the same consideration We do also hereby sell, assign, and transfer unto the Assignee, all our rights under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, and all other treaties of like purpose in respect of said inventions and said application, and We do hereby authorize the Assignee to apply in our name or its own name or its designee for patents and like rights of exclusion on or for said inventions in all countries, claiming (if the Assignee so desires) the priority of the filing date of said application under the provisions of said Convention, Treaty or any such other Convention or Treaty;

And for the same consideration, We do hereby agree for ourselves and for our heirs, executors, and administrators, promptly upon request of the Assignee to execute and deliver without further compensation any power of attorney, assignment, original, divisional, continuing, reissue or other application or applications for patent or patents or like rights of exclusion of any country, or other lawful documents and any further assurances that may be deemed necessary or desirable by the Assignee, fully to secure to it said right, title, and interest as aforesaid in and to said inventions, applications, and said several patents and like rights of exclusion (including extensions thereof) or any of them, all, however, at the expense of the Assignee, its successors, or assigns;

And We do hereby authorize and request the Commissioner of Patents and Trademarks of the United States of America and the corresponding Official of each country foreign thereto to issue to the Assignee, any and all patents and like rights of exclusion which may be granted in any country upon said United States application on or for said inventions;

And We do hereby covenant for ourselves and our legal representatives and agree with the Assignee, that We have granted no right or license to make, use, or sell said inventions to anyone except the Assignee, that prior to the execution of this deed our right, title, and interest in and to said inventions has not been otherwise encumbered by us, and that We have not executed and will not execute any instruments in conflict herewith.

First or Sole Inventor:

Signature: 
Ki-Young Lee

Date: April 24, 2003

Second Joint Inventor:

Signature: 
Sang-Hoon Park

Date: Apr. 25, 2003

REFERENCE NO. : SAM-0431
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PATENT
Attorney Docket No.: SAM-0431

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Ki-Young Lee, *et al.*
Serial No.: 10/393,093
Filing Date: March 20, 2003
Title: SEMICONDUCTOR DEVICE WITH ANALOG CAPACITOR AND MEMORY
OF FABRICATING THE SAME

Examiner: Not yet assigned
Group Art Unit: 2811

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the United States Post Office as First Class Mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

6-4-03

Date

Vanessa Marakas

Vanessa Marakas

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL LETTER

Sir:

Please find enclosed herewith for filing in the above-identified patent application the following:

1. Assignment Recordation Form Cover Sheet - PTO-1595;
2. Executed Assignment;
3. Check in the amount of \$40.00 to cover recordation fee; and
4. Return Postcard.

In connection with the foregoing matter, please charge any additional fees which may be due, or credit any overpayment, to Deposit Account Number 50-1798. A duplicate copy of this letter is provided for this purpose.

Respectfully submitted,

MILLS & ONELLO LLP

Date: June 4, 2003
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