

FORM PTO-1595
(Rev. 6-93)

RECORDATION FORM COVER SHEET

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

OMB No. 0651-0011 (exp. 4/94)

PATENTS ONLY

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):
DuPont Pharmaceuticals CompanyAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☐ Assignment ☐ Merger
☐ Security Agreement ☒ Change of Name
☐ Other _____

Execution Date: 10/02/01

2. Name and address of receiving party(ies)

Name: Bristol-Myers Squibb Pharma Company

Internal Address: Patent Department

P.O. Box 4000

Street Address: Rt. 206 & Province Line Road

City: Princeton State: NJ ZIP: 08543-4000

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

B. Patent No.(s)

6,399,099

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Stephen B. Davis

Internal Address: Bristol-Myers Squibb Pharma Company

Patent Department

Street Address: P.O. Box 4000

City: Princeton State: NJ ZIP: 08543-4000

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$ 40.00

- ☐ Enclosed
☒ Authorized to be charged to deposit account and any other additional fees required.

8. Deposit account number:

19-3880 (in the name of Bristol-Myers Squibb Company)

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Stephen B. Davis

Name of Person Signing
Reg. No. 26,693

Signature

Date

☐ Certificate of mailing on reverse side

Total number of pages including cover sheet, attachments, and document:

Mail documents to be recorded with required cover sheet information to:
 Commissioner of Patents & Trademarks, Mailstop: Assignments, Alexandria, VA 22313-1450

700053715

PATENT
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01-13-1999

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks



100941839

all documents or copy thereof

iving party(ies):

1. Name of conveying party(ies):

¹LAM, Patrick Y²CLARK, Charles G³DOMINGUEZ, Celia⁴FEVIG, John M⁵HAN, QI⁶LI, RenhuaDUPONT PHARMACEUTICALS COMPANY
LEGAL PATENTS
1007 MARKET STREET
WILMINGTON, DELAWARE 19898Additional name(s) of conveying party(ies) attached? ☒ Yes ☐ NoAdditional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Security Agreement☐ Other:☒ Change of NameExecution Date: ¹August 31, 1998 ²August 31, 1998
³August 27, 1998 ⁴August 31, 1998
⁵August 31, 1998 ⁶September 1, 1998

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s):

09/099,358

B. Patent No.(s)

Docket No.: DM-6904

Additional numbers attached: ☐ Yes ☒ No

5. Name and address of receiving party(ies):

D. H. VANCE
DUPONT PHARMACEUTICALS COMPANY
LEGAL PATENTS
1007 MARKET STREET
WILMINGTON, DELAWARE 198986. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$40.00

☐ Enclosed (Check No.: _____)☒ Authorized to be charged to deposit account8. Deposit account number: 04-1928

(Attach duplicate copy of this page if paying by deposit account)

01/12/1999 JSHADAZZ 00000097 041928 09099358

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01 FC:581 40.00 CH

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

JANE CLARK

SENIOR LEGAL CLERK

Signature

Date

Total number of pages comprising cover sheet: two (2)

RECORDATION FORM COVER SHEET

PATENTS ONLY

1. Name of conveying party(ies) (continued):

Name:

Execution Date:

⁷PINTO, Donald J. P.⁷August 31, 1998⁸PRUITT, James R.⁸August 31, 1998⁹QUAN, Mimi L.⁹August 24, 1998

2. Name and address of receiving party(ies) (continued):

ASSIGNMENT

We/I, the undersigned

**PATRICK Y. LAM, CHARLES G. CLARK, CELIA DOMINGUEZ,
JOHN M. FEVIG, QI HAN, RENHUA LI, DONALD J. P. PINTO,
JAMES R. FRUITT AND MIMI L. QUAN**

Hereby declare that

We/I are the true and first inventor(s) of an invention relating to

NOVEL GUANIDINE MIMICS AS FACTOR Xa INHIBITORS

which is disclosed in United States Patent Application No. 09/099,358 filed on JUNE 18, 1998 (Docker No. DM-6904); and, for valuable consideration, the receipt and adequacy of which is hereby acknowledged and in fulfillment of our pre-existing obligation of assignment, we hereby sell, assign, and transfer unto DuPont Pharmaceuticals Company, a partnership organized and existing under the laws of the State of Delaware in the United States of America and having its principal place of business at Wilmington, Delaware, hereinafter referred to as the assignee, the entire right, title, and interest in and to the aforesaid application for Letters Patent, including any priority rights derived from the aforesaid application for Letters Patent by virtue of the International Convention for the Protection of Industrial Property for any and all member countries of the aforesaid International Convention, and the entire right, title and interest in and to any and all our inventions, whether joint or sole, disclosed in the aforesaid application for Letters Patent, and in and to any and all applications for Letters Patent for any such inventions in any country whatsoever, and in and to any and all patents for any such inventions in any country whatsoever, with the sole right to file such applications in its name or ours, including the sole right to file such applications under the aforesaid International Convention, together with the sole right to have said patents granted in its name or ours and to enforce said patents and to sue for and recover profits and damages for any and all infringements thereof, and hereby agree, whenever requested, to communicate to said assignee, its successors, assigns, and legal representatives, any facts known to us respecting said inventions, to testify in any legal proceeding, to execute all applications, papers or instruments necessary or required by said assignee, its successors, assigns and legal representatives to carry into effect any of the provisions of this instrument, and generally to do everything possible to aid said assignee, its successors, assigns, and legal representatives to obtain and enforce proper patent protection for said inventions in any and all countries.

Signed and sealed this 31 day of Aug. 1998.

Patrick Y. Lam (L.S.)
PATRICK Y. LAM

Signed and sealed this 31 day of August 1998.

Charles G. Clark (L.S.)
CHARLES G. CLARK

Signed and sealed this 27th day of August 1998.

Celia Dominguez (L.S.)
CELIA DOMINGUEZ

Signed and sealed this 31st day of August 1998.

John M. Fevig (L.S.)
JOHN M. FEVIG

Signed and sealed this 31st day of August 1998.

Qi Han (L.S.)
QI HAN

Signed and sealed this 1st day of Sept. 1998.

Renhua Li (L.S.)
RENHUA LI

Signed and sealed this 31st day of August 1998.

Donald J. P. Pinto (L.S.)
DONALD J. P. PINTO

Signed and sealed this 31st day of August 1998.

James R. Pruitt (L.S.)
JAMES R. PRUITT

Signed and sealed this 24th day of August 1998.

Mimi L. Quan (L.S.)
MIMI L. QUAN

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

DM6904

IN RE APPLICATION OF

LAM, ET AL.

PATENT NO. 6,339,099

ISSUED: JANUARY 15, 2002

FOR: NOVEL GUANIDINE MIMICS AS FACTOR XA INHIBITORS

CHANGE OF NAME IN RECORDED ASSIGNMENTS

A change of name in the recorded assignment for the above-mentioned patent is hereby requested.

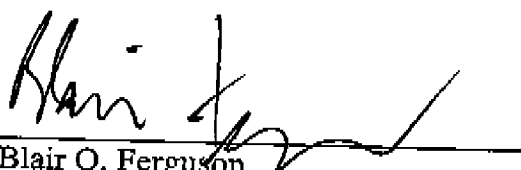
The name of the assignee on the above referenced assignment is Dupont Pharmaceuticals Company. The new name of the assignee is Bristol-Myers Squibb Pharma Company located at P.O. Box 4000, Princeton, New Jersey 08543-4000.

Proof of the name change is established by the attached Supplemental Certificate from New Castle County, Delaware - Registration for Trade Names Partnerships & Associations.

Please refer to the Recordation Form Cover Sheet to which this paper is attached for the payment of fees.

Bristol-Myers Squibb Company
Patent Department
P.O. Box 4000
(609) 252-4035
Princeton, NJ 08543-4000

Date: *Nov 18, 2003*


Blair Q. Ferguson
Reg. No. 34,329

**SUPPLEMENTAL CERTIFICATE
NEW CASTLE COUNTY
REGISTRATION OF TRADE NAMES
PARTNERSHIPS & ASSOCIATIONS**

FILED
PROTHONOTARY

TRADE NAME: Bristol-Myers Squibb Pharma Company

Original File Number: No. 25757.0 2003 MAY -7 PM 12:37

Business Address: Route 206 & Provinceline Road, Princeton New Jersey 08540

Telephone Number: 609-252-4000

Title of Person, Firm or Association: Bristol-Myers Squibb Pharma Company

Names and addresses of all owners, members, or partners comprising the firm:

LAST NAME	FIRST NAME	ADDRESS
E. R. Squibb & Sons, L.L.C.		Route 206 & Provinceline Road Princeton, New Jersey 08540
Bristol-Myers Squibb Pharma Holding Company, L.L.C.		(SAME)

Date of change: October 2, 2001

Nature of change: Title of partnership has changed from "DuPont Pharmaceuticals Company" to "Bristol-Myers Squibb Pharma Company" and the partners have changed from E. I. duPont de Nemours and Company and DuPont Pharma, Inc. to E. R. Squibb & Sons, L.L.C. and Bristol-Myers Squibb Pharma Company, L.L.C.

STATE OF NEW YORK]
COUNTY OF NEW YORK]

BEFORE ME, the Subscriber, a Notary Public of the State of New York, personally appeared Sandra Leung, Secretary of Bristol-Myers Squibb Pharma Company a principal in the business described in the foregoing Certificate, who, having first been sworn by me according to law did depose and say as follows:

1. ~~He~~/She is a principal in the business described in the foregoing certificate.
2. That the foregoing information provided in the foregoing certificate is true, correct, and complete.

[Signature]
Affiant

CERTIFIED AS A TRUE COPY
TEST: SHARON AGNEW
PROTHONOTARY
SWORN AND SUBSCRIBED this 1st day of May, 2003.

[Signature]
NOTARY PUBLIC

JANELLE CLARK
Notary Public, State of New York
No. 01CL4004952

RECORDED: 11/21/2003

ORIGINAL IN 44-46-65-9152