

06-13-2003

Docket No. 8840

U.S. DEPARTMENT OF COMMERCE
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Tab settings 333

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1. Name of conveying party(ies): 6-10-03Shinju YAMASHITA
Kenichi TORIIAdditional name(s) of conveying party(ies) attached?
☐ yes ☒ no

2. Name and address of receiving party(ies):

Name: Japan Science and Technology Corporation

Internal Address:

Street Address: 4-1-8

3. Nature of Conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

City: Saitama

State/Prov.:

Country: JAPAN

ZIP: 332-0012

Execution Date: January 10, 2003Additional name(s) & address(es) attached?
☐ yes ☒ no

4. Application number(s) or patent number(s):

If this documents is being filed together with a new application, the execution date of the application is:

A Patent Application No.(s) Filing Date

B. Patent No.(s)

10/332,0281-2-03Additional numbers attached: ☐ yes ☒ no

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Thomas W. Tolpin
Internal Address: Welsh & Katz, Ltd.
22nd Floor
Street Address: 120 S. Riverside Plaza
City: Chicago State: IL ZIP 606066. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41)..... \$ 40.00

☒ Enclosed☒ Any deficiencies in fees to be charged to deposit account8. Deposit account number: 23-0920 (Attach duplicate copy of this page if pay by deposit account)

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9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Thomas W. Tolpin, Reg. No. 27,600
Name of Person Signing

Signature

June 10, 2003
DateTotal number of pages comprising cover sheet: 1

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Assignment

Applicant(s) or Patentee(s): Shinji YAMASHITA and Kenichi TORII

Serial or Patent No.: January 2, 2003

Filed or Issued: 10/332,028

For: Wavelength Conversion Apparatus

Attorney Docket No. 8840-89007 (P0255US)

In Consideration of Ten Dollars and other good and valuable considerations, the receipt of which is hereby acknowledged, the entire right, title and interest in the invention and improvements of the undersigned in a

Wavelength Converter

and in the U.S. Patent and U.S. Patent Application therefor, executed by the undersigned herewith and in any reissue, continuation, or divisional U.S. Patent that may be granted upon said U.S. Patent Application are hereby assigned by the undersigned to

Japan Science And Technology Corporation

and the heirs, successors, legal representative and assigns thereof (hereinafter collectively referred to as the Assignee).


For said considerations it is hereby agreed that we jointly and severally have sold and assigned, and hereby do sell and assign, to said Assignee, its successors and assigns, the entire right, title and interest (a) in the above-identified invention or inventions and all improvements and modifications thereof, (b) in the above-identified application and all other applications for Letters Patent of the United States and countries foreign thereto for the above-identified invention or inventions and all improvements and modifications thereof, in all Letters Patent which may issue from said applications in the United States and countries foreign thereto, and (d) to all divisions, reissues, continuations and extensions of said applications and Letters Patents; and covenant we have the full right so to do; and we hereby authorize and request the Commissioner of Patents and Trademarks to issue all United States Letters Patent on the invention or inventions included herein to said Assignee of the entire interest.

We further agree that we shall communicate to said Assignee, its successor and assigns, or their representative or agents all facts and information known or available to us respecting said invention or inventions, improvements and modifications including evidence for interference, reexamination, reissue, opposition, revocation, extension or infringement purposes or other legal, judicial or administrative proceedings, whenever requested; testify in person or by affidavit as required by said Assignee, its successors and assigns, in any such proceeding in the United States or a country foreign thereto; execute and deliver, on request, all lawful papers including, but not limited to, original, divisional, continuation and reissue applications, renewals, assignments, powers of attorney, oaths, affidavits, declarations, depositions; and provide all reasonable assistance to Assignee, its successors and assigns, in obtaining and enforcing proper protection for said invention or inventions, improvements and modifications under the intellectual property laws of the United States and countries foreign thereto.


We further authorize our Patent attorneys of record in the above-identified U.S. Patent Application to insert the serial number and filing date of the above-identified U.S. Patent Application in the above headings of this Assignment

In testimony whereof, we have hereunto set our hand and seals, respectively, on the set after our respective signatures.

Name of assignor and inventor Shinji YAMASHITA

Signature of assignor and inventor  (Seal)

Name of assignor and inventor Kenichi TORII

Signature of assignor and inventor  (Seal)