

06-16-2003

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102473458

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Jeremy Barker

M. Yazid Saidi

Tracy E. Kelley

6-9-03

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:



Assignment



Merger



Security Agreement



Change of Name



Other _____

04/07/2003

Execution Date: _____

2. Name and address of receiving party(ies)

Name: VALENCE TECHNOLOGY, INC.

Internal Address: Patent Department

Street Address: 301 Conestoga Way

City: Henderson State: NV Zip: 89015

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

10406890

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s) _____

B. Patent No.(s) _____

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Cynthia S. Kovacevic

Internal Address: Patent Department

Street Address: 301 Conestoga Way

City: Henderson State: NV Zip: 89015

6. Total number of applications and patents involved: ☐

7. Total fee (37 CFR 3.41).....\$ 40.00



Enclosed



Authorized to be charged to deposit account

8. Deposit account number:

220100

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9. Signature.

Roger A. Williams

Name of Person Signing

Signature

June 4, 2003

Date

Total number of pages including cover sheet, attachments, and documents: 5

Mail documents to be recorded with required cover sheet information to:

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Washington, D.C. 20231

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10406890

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PATENT
REEL: 014158 FRAME: 0213

ASSIGNMENT

WHEREAS, the undersigned, hereinafter referred to collectively as Assignor, has invented:

ELECTRODES COMPRISING MIXED ACTIVE PARTICLES

for which Assignor is about to make or has made United States or International application for patent

- (a) ☐ executed on even date preparatory to filing (each inventor should sign this Assignment on the same day as he/she signs the Declaration and Power of Attorney);
- (b) ☐ executed on ; or
- (c) ☒ filed on 04/03/2003, and assigned Serial No. 10/406,890 or PCT International Application No. _____, and

WHEREAS, **Valence Technology, Inc.**, hereinafter referred to as Assignee, is desirous of acquiring an interest therein:

NOW, THEREFORE, for good and valuable consideration, the receipt and adequacy whereof is hereby acknowledged, Assignor by these presents does sell, assign and transfer unto Assignee and its successors in interest, the full and exclusive right, title and interest in the United States of America and all foreign countries, including the right to claim priority under the laws of the United States, the Paris Convention, and any foreign countries, to the said invention as described in the aforesaid application, said application for patent and all Letters Patent therefore to be held and enjoyed by Assignee to the full end of the term for which said Letters Patent are granted and any extensions thereof as fully and entirely as the same would have been held by Assignor had this assignment and sale not been made;

Assignor hereby covenants and agrees to execute all instruments or documents required or requested for the making and prosecution of any applications of any type for patent in the United States and in all foreign countries including, but not limited to, any provisional, continuation, continuation-in-part, divisional, renewal or substitute thereof, and as to letter patent any reissue, re-examination, or extension thereof, and for litigation regarding, or for the purpose of protecting title and to the said invention, the United States application for patent, or Letters Patent therefore, for the benefit of Assignee without further or other compensation than that above set forth;

Assignor hereby covenants that no assignment, sale or agreement or encumbrance has been or will be entered into which would conflict with this Assignment; and

Assignor hereby requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States of America to Assignee, and requests that any official of any country or countries foreign to the United States, whose duty it is to issue or grant patents and applications as aforesaid, to issue said Letters Patent, Utility Model Registration or Inventor's Certificate to Assignee.

Assignor hereby authorizes and requests my attorney to insert here in parentheses (Application No. 10/406,890, filed 04/03/2003 the filing date and application number of said application when known.

ASSIGNMENT

JBarker
Jeremy Barker
Inventor

April 7, 2003
Dated

JBaret
Witness

07 04 03
Dated

P. J. W.
Witness

April 7, 2003
Dated

M. Yazid Saidi
Inventor

Dated

State of Nevada)
County of Clark) ss.

On this _____ day of _____, 2003, before me personally appeared the foregoing individuals, who executed the foregoing instrument and who acknowledged to me that they executed the same of their own free will for the purpose therein set forth.

Notary Public

County, State of _____

My Commission Expires: _____

ASSIGNMENT

Jeremy Barker
Inventor

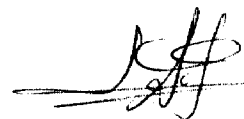
Witness

Dated

Dated

Witness

Dated



M. Yazid Saidi
Inventor


3-24-03
Dated

State of Nevada)

County of Clark)

) ss.

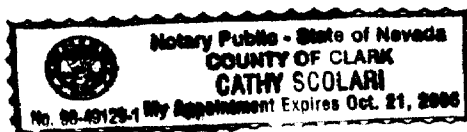
On this 24th day of March, 2003, before me personally appeared the foregoing individuals, who executed the foregoing instrument and who acknowledged to me that they executed the same of their own free will for the purpose therein set forth.



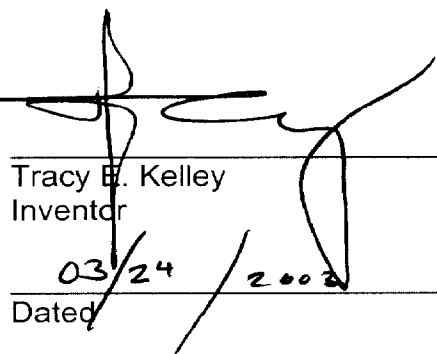
Notary Public

Clark County, State of Nevada

My Commission Expires: October 21, 2006



ASSIGNMENT



Tracy B. Kelley
Inventor

03/24 / 2003

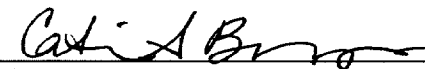
Dated



Witness

3-24-03

Dated



Witness

3/24/03

Dated