

06-17-2003

Form PTC-1595  
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FINANCE SECTION

PATENTS ONLY

CASE 11468 US1

6-12-03

To the Director of the United States Patent and Trademark Office: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

BASILEA PHARMACEUTICA AG

2. Name and address of receiving party(ies):

Name: HOFFMANN-LA ROCHE INC.

Internal Address: \_\_\_\_\_

Additional name(s) of conveying

party(ies) attached? \_\_\_ Yes X No

3. Nature of conveyance:

X Assignment \_\_\_ Merger

\_\_\_ Security Agreement \_\_\_ Change of Name

\_\_\_ Other \_\_\_\_\_

Street Address: 340 KINGSLAND STREET

City: NUTLEY State: NJ Zip: 07110

Execution Date: May 22, 2003

Additional name(s) & address(es) attached:  
Yes \_\_\_ No X

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

USPN 5,428,071

ISSUED: JUNE 27, 1995

Additional numbers attached? \_\_\_ Yes X No

06/16/2003 ECOOPER 00000255 082525 5428071

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PATENT  
REEL: 014162 FRAME: 0206

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: GEORGE W. JOHNSTON

Internal Address:

HOFFMANN-LA ROCHE INC.

PATENT LAW DEPARTMENT

Street address: 340 KINGSLAND STREET

City: NUTLEY State: NJ Zip: 07110

6. Total number of applications and patents involved: ONE

7. Total fee (37 CFR 3.41).....\$ 40.00

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8. Deposit account number:

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

EILEEN M. EBEL

Name of Person Signing

Eileen M. Ebel

Signature

JUNE 10, 2003

Date

CONTACT: Lou Ann Donatoni

TELEPHONE: (973) 235-4389

FAX: (973) 235-2363

Total number of pages including cover sheet, attachments and document: 3

## ASSIGNMENT

**BASILEA PHARMACEUTICA AG** ("ASSIGNOR"), a Swiss Company, having its principal place of business in Basel, Switzerland, for good and valuable consideration, receipt of which is hereby acknowledged, has assigned and hereby assigns to **HOFFMANN-LA ROCHE INC.** ("ASSIGNEE"), a New Jersey corporation, having its principal place of business in Nutley, New Jersey, all of ASSIGNOR's right, title and interest for the United States of America and its territories and possessions, in and to the invention described and claimed in U. S. Patent 5,428,071, Issued: June 27, 1995, entitled, PREVENTION AND TREATMENT OF PREMALIGNANT EPITHELIAL LESIONS AND MALIGNANT TUMORS OF EPITHELIAL ORIGIN, by Werner Bollag, et al., to the extent that ASSIGNOR possesses right, title and interest therein.

Basel, Switzerland

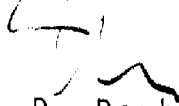
Date: May 22, 2003

**BASILEA PHARMACEUTICA AG**

By: 

Name: Dr. H. Kjellsaaf  
Berger

Title:

 B. Rauber

Authorised Signatories

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