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Form PTO-1595

RECORD

U.S. DEPARTMENT OF COMMERCE

(Rev. 03/01)

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OMB No. 0651-0027 (exp. 5/31/2002)



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102474709

To the Honorable Commissioner of Patents and Trademarks: Please Record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Klaus OBENDIEK

2. Name and address of receiving party(ies)

Name: Edscha Cabrio-Dachsysteme GmbH

Internal Address:

Additional name of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other _____

Street Address: Scharwaechterstrasse 5

City: Hengersberg State: _____

Country: Germany Zip: 94491

Execution Date: March 20, 2003

Additional Name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution data of the application is:

A. Patent Application No.(s)

10/391,082

filed 03/17/2003

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning this document should be mailed:

Name: William C. Gehris

Internal Address: DAVIDSON, DAVIDSON & KAPPEL, LLC

Street Address: 485 Seventh Avenue, 14th Floor

City: New York State: NY Zip: 10018

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$ 40.00

☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number:

50-0552

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

William C. Gehris, Reg. No. 38,156

Name of Person Signing

Signature

June 9, 2003

Date

Total number of pages including cover sheet, attachments, and documents: 4

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments

Washington, D.C. 20231

06/16/2003 6TOM11 00000098 10391082

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PATENT
REEL: 014164 FRAME: 0298

ASSIGNMENT

WHEREAS, I(WE), OBENDIEK, Klaus, residing at Oberer Sand 15, D-94032 Passau, Germany, respectively ASSIGNOR(S), have(has) invented certain new and useful improvements in a Top for a convertible vehicle.

☐ for which I am (we are) about to execute an application for a Patent of the United States which is executed on _____
(date(s))

☒ which is identified by Davidson, Davidson & Kappel, LLC, Docket No. _____
which is filed on _____ Serial No. _____

☐ I (we) hereby authorize and request our attorney, Davidson, Davidson & Kappel, LLC of 485 Seventh Avenue, 14th Floor, New York, New York 10018 to insert here in parentheses (Application number _____, filed _____) the filing date and application number of said application when known; and

WHEREAS, Edscha Cabrio-Dachsysteme GmbH, Scharwächterstrasse 5, D-94491 Hengersberg, Germany, ASSIGNEES, is desirous of obtaining the entire right, title and interest in, to and under the said invention and the said application:

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to me(us) in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, I(we), the said ASSIGNOR(S), have(has) sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, the entire right, title and interest in, to and under the said invention, and the said United States application and all divisions, renewals and continuations thereof, and all Patents of the United States which may be granted thereon and all reissues and extensions thereof; and all applications for industrial property protection, including, without limitation, all applications for patents, utility models, and designs which may hereafter be filed for said invention in any country or countries foreign to the United States, together with the right to file such applications and the right to claim for the same the priority rights derived from said United States application under the Patent Laws of the United States, the International Convention for the Protection of Industrial Property, or any other international agreement or the domestic laws of the country in which any such application is filed, as may be applicable; and all forms of industrial property protection, including, without limitation, patents, utility models, inventors' certificates and designs which may be granted for said invention in any country or countries foreign to the United States and all extensions, renewals and reissues thereof; and

I(WE) HEREBY authorize and request the Commissioner of Patents and Trademarks of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument; and

I(WE) HEREBY covenant and agree that I have full right to convey the entire interest herein assigned, and that I(we) have not executed, and will not execute, any agreement in conflict herewith; and

I(WE) HEREBY further covenant and agree that I(we) will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known to me(us) respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, reissue and foreign applications, make all rightful oaths, and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries.

IN TESTIMONY WHEREOF, I(we) hereunto set my(our) hand(s) and seal(s) the day and year set opposite my(our) signature(s).

Dated: 2003 5, 2003


OBENDIEK, Klaus

Certificate of Mailing under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

Mail Stop: Assignment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

on June 9, 2003.

Date



Signature

Jan Decker

Typed or printed name of person signing Certificate

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

Re.: Docket No.: 487.1070
Applicant(s): OBENDIEK
Serial No.: 10/391,082
Invention: TOP FOR A CONVERTIBLE VEHICLE
Filing Date: 03/17/2003

- Recordation Form Cover Sheet and Executed Assignment
- Check in the amount of \$40.00

This collection of information is required by 37 CFR 1.8. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.8 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

RECORDED: 06/13/2003

**PATENT
REEL: 014164 FRAME: 0301**