

06-18-2003



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Form PTO-1595 (Rev. 03/01) OMB No. 0651-0027 (exp. 5/31/2002)	102476224 <b>PATENTS ONLY</b>	U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office
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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof

<p>1. Name of conveying party(ies): Ralph A. DALLA BETTA (05/19/2003), Sarento G. NICKOLAS (05/22/2003), Timothy J. CARON (05/22/2003), Jon G. MCCARTY (05/19/2003), Mark J. SPENCER (05/21/2003), and Robert A. CORR II (05/20/2003)</p> <p>Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	<p>2. Name and address of receiving party(ies) Name: <u>Catalytica Energy Systems, Inc.</u> Internal Address: _____ Street Address: <u>430 Ferguson Drive, Building 3</u> City: <u>Mountain View</u> Country: <u>CA</u> Zip: <u>94043-5272</u> Additional name(s) &amp; address(es) attached: <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>
<p>3. Nature of Conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other _____</p> <p>Execution Date: <u>see Box 1, conveying parties</u></p>	

4. Application number(s) or patent number(s):  
If this document is being filed together with a new application, the execution date of the new application is: \_\_\_\_\_

A. Patent Application No.(s): <u>09/944,094</u>	B. Patent No.(s): _____
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Additional numbers attached?  Yes  No

<p>5. Name and address of party to whom correspondence concerning document should be mailed: Name: Christopher B. Eide MORRISON &amp; FOERSTER LLP Internal Address: Atty. Dkt.: <b>220772009900</b> Street Address: <u>755 Page Mill Road</u> City: <u>Palo Alto</u> State: <u>CA</u> Zip: <u>94304</u></p>	<p>6. Total number of applications and patents involved: _____</p> <p>7. Total fee (37 CFR 3.41) \$ <u>40.00</u> <input type="checkbox"/> Enclosed <input checked="" type="checkbox"/> Authorized to be charged to deposit account <input type="checkbox"/> Authorized to be charged to credit card (Form 2038 enclosed)</p> <p>8. Deposit account number: <u>03-1952</u> (Attach duplicate copy of this page if paying by deposit account)</p>
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**DO NOT USE THIS SPACE**

9. Statement and signature.  
*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Christopher B. Eide - 48,375 Name of Person Signing	 Signature	June 12, 2003 Date
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Total number of pages including cover sheet, attachments, and documents: 8

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PA-796962

**PATENT**  
**REEL: 014167 FRAME: 0577**

**ASSIGNMENT  
JOINT**

THIS ASSIGNMENT, by Ralph A. DALLA BETTA; Sarento G. NICKOLAS; Timothy J. CARON; Jon G. MCCARTY; Mark J. SPENCER and Robert A. CORR II (hereinafter referred to as the assignors), residing at 865 Doverton Square, Mountain View, California 94043; 1569 Park Ridge Drive, San Jose, California 95118; 1421 Peregrine Drive, Gilroy, California 95020; 138 Yale Road, Menlo Park, California 94025; 6965 Rodling Drive #A, San Jose, California 95138 and 2071 Monaco Court, El Cajon, California 92019, respectively, witnesseth:

WHEREAS, said assignors have invented certain new and useful improvements in PROCESS AND APPARATUS FOR CONTROL OF NO<sub>x</sub> IN CATALYTIC COMBUSTION SYSTEMS, set forth in an application for Letters Patent of the United States, bearing Serial No. 09/944,094 and filed on August 31, 2001; and

WHEREAS, Catalytica Energy Systems, Inc., a corporation duly organized under and pursuant to the laws of Delaware and having its principal place of business at 430 Ferguson Drive, Bldg. 3, Mountain View, California 94043-5272 (hereinafter referred to as the assignee) is desirous of acquiring the entire right, title and interest in and to said inventions and said application for Letters Patent of the United States, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon:

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and sufficient consideration, the receipt of which is hereby acknowledged, said assignors have sold, assigned, transferred and set over, and by these presents do sell, assign, transfer and set over, unto said assignee, its successors, legal representatives and assigns, the entire right, title and interest in and to the above-mentioned inventions, application for Letters Patent, and any and all Letters Patent or Patents in the United States of America and all foreign countries which may be granted therefor and thereon, and in and to any and all divisions, continuations and continuations-in-part of said application, or reissues or extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by said assignee, for its own use and the use of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted, as fully and entirely as the same would have been held and enjoyed by the assignors, had this sale and assignment not been made.

AND for the same consideration, said assignors hereby covenant and agree to and with said assignee, its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, said assignors are the sole and lawful owners of the entire right, title and interest in and to said inventions and the application for Letters Patent above-mentioned, and that the same are unencumbered and that said assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth.

AND for the same consideration, said assignors hereby covenant and agree to and with said assignee, its successors, legal representatives and assigns, that said assignors will, whenever counsel of said assignee, or the counsel of its successors, legal representatives and assigns, shall advise that any proceeding in connection with said inventions, or said application for Letters Patent, or any proceeding in connection with Letters Patent for said inventions in any country, including interference proceedings, is lawful and desirable, or that any division, continuation or continuation-in-part of any application for Letters Patent or any reissue or extension of any Letters Patent, to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of Letters Patent for said inventions, without charge to said assignee, its successors, legal representatives and assigns, but at the cost and expense of said assignee, its successors, legal representatives and assigns.

AND said assignors hereby request the Commissioner of Patents to issue said Letters Patent of the United States to said assignee as the assignee of said inventions and the Letters Patent to be issued thereon for the sole use of said assignee, its successors, legal representatives and assigns.

19 May 2003  
Date   
Ralph A. DALLA BETTA

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Date Sarento G. NICKOLAS

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Date Timothy J. CARON

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Date Jon G. MCCARTY

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Date Mark J. SPENCER

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Date Robert A. CORR II

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\_\_\_\_\_  
Date    Ralph A. DALLA BETTA

*5/22/03*  
Date    *Sarento G. Nickolas*  
Sarento G. NICKOLAS

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Date    Timothy J. CARON

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Date    Jon G. MCCARTY

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Date    Mark J. SPENCER

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Date Ralph A. DALLA BETTA

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5/22/03  
Date Timothy J. Caron  
Timothy J. CARON

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Date Jon G. MCCARTY

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Date Mark J. SPENCER

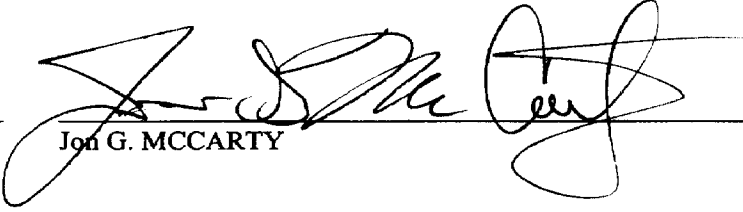
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Date Robert A. CORR II

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Date                      Ralph A. DALLA BETTA

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Date                      Sarento G. NICKOLAS

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Date                      Timothy J. CARON

5/19/03  
\_\_\_\_\_  
Date                        
Jon G. MCCARTY

\_\_\_\_\_  
Date                      Mark J. SPENCER

\_\_\_\_\_  
Date                      Robert A. CORR II

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Date Sarento G. NICKOLAS

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Date Timothy J. CARON

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Date Jon G. MCCARTY

5/21/03  
Date   
Mark J. SPENCER

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Date Robert A. CORR II

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Date Sarento G. NICKOLAS

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Date Timothy J. CARON

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Date Jon G. MCCARTY

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Date Mark J. SPENCER

5-20-2003  
Date   
Robert A. CORR II