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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

SHIGETA, JUNJI
SHIRAI, MASATAKA
TSUJI, SHINJI
ARIMOTO, HIDEO

6.12.03

2. Name and address of receiving party(ies)

Name: OPNEXT JAPAN, INC.

Street Address: **216, Totsuka-cho, Totsuka-ku,
Yokohama-shi, Kanagawa, Japan**

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other _____Additional name(s) & address(es) attached? ☐ Yes ☒ NoExecution Date: April 16, 17, 18, 2003.

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: April 16, 17, 18, 2003.

A. Patent Application No.(s)

10459472

B. Patent Registration No.(s)

Additional number(s) attached ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Antonelli, Terry, Stout & Kraus, LLP

Internal Address:

**1300 North Seventeenth Street
Suite 1800
Arlington, VA 22209
USA**

City: State: Zip:

6. Total number of applications and patents involved 17. Total fee (37 CFR 3.41)..... **\$40.00**☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number:

01-2135

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Melvin Kraus, Reg# 22,466

06/12/2003

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: 2

Mail documents to be recorded with required cover sheet information to:
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PATENT
REEL: 014169 FRAME: 0934

ASSIGNMENT

(譲 渡 証)

As a below named inventor, I hereby declare that:

IN CONSIDERATION of the sum of One Dollar (\$1.00) or the equivalent thereof, and other good and valuable consideration paid to me citizen of Japan by Opnext Japan, Inc., a corporation organized under the laws of Japan, located at 216, Totsuka-cho, Totsuka-ku, Yokohama-shi, Kanagawa, Japan, receipt of which is hereby acknowledged I do hereby sell and assign to said Opnext Japan, Inc., its successors and assigns, all my right, title and interest, in and for the United States of America, in and to

TRAVELLING WAVE OPTICAL MODULATOR

invented by me (if only one is named below) or us (if plural inventors are named below) and described in the application for United States Letters Patent therefor, executed on even date herewith, and all United States Letters Patent which may be granted therefor, and all divisions, continuations and extensions thereof, the said interest being the entire ownership of the said Letters Patent when granted, to be held and enjoyed by said Opnext Japan, Inc., its successors, assigns or other legal representatives, to the full end of term for which said Letters Patent may be granted as fully and entirely as the same would have been held and enjoyed by me or us if this assignment and sale had not been made;

And I hereby agree to sign and execute any further documents or instruments which may be necessary, lawful, and proper in the prosecution of the above-named application or in the preparation and prosecution of any continuing, continuation-in-part, substitute, divisional, renewal, reviewed or reissue applications or in any amendment, extension, or interference proceedings, or otherwise to secure the title thereto in said assignee;

And I do hereby authorize and request the Commissioner of Patents to issue said Letters Patent to said Opnext Japan, Inc.,

Signed on the date(s) indicated aside our signatures:

INVENTOR(S)
(発明者フルネームサイン)

Date Signed
(署名日)

1)	<u>Junji Shigeta</u>	<u>4/16/2003</u>
2)	<u>Kasataka Kawai</u>	<u>4/17/2003</u>
3)	<u>Shin Tanaka</u>	<u>4/18/2003</u>
4)	<u>Hideo Arimoto</u>	<u>4/18/2003</u>
5)	<u> </u>	<u> </u>
6)	<u> </u>	<u> </u>
7)	<u> </u>	<u> </u>
8)	<u> </u>	<u> </u>
9)	<u> </u>	<u> </u>
10)	<u> </u>	<u> </u>