

06-18-2003

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To the Honorable Commissioner of P

102476058

attached original
thereof.

1. Name of conveying party(ies):

Atul J. Shukla
James R. Johnson
Yichun SunAdditional name(s) of conveying party(ies):
attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of
Name
☐ Other

Execution Date: 5/8/2003

2. Name and address of receiving party(ies):

Name: The University of Tennessee Research
Foundation

Internal Address:

Street Address: 1534 White Avenue, Suite 403

City Knoxville State TN ZIP 37996-1527

Country USA

Additional name(s) & address(es) attached?
☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the
application is: 5/9/2002

A. Patent Application No.(s) 10/143,144

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No5. Name and address of party to whom
correspondence concerning document
should be mailed:Name: Howard M. Eisenberg, Esq.
Address: 1600 ODS Tower
601 S.W. Second Avenue
Portland, Oregon 97204-31576. Total number of applications and
patents involved: [1]

7. Total fee (37 CFR 3.41 and 1.21(h))---\$ 40.00

☒ Enclosed
☐ Authorized to be charged to deposit account
☒ Any deficiencies in enclosed fees authorized
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8. Deposit Account No. 50-1773

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any
attached copy is a true copy of the original document.Howard M. Eisenberg
Name of Person Signing

Signature

June 12, 2003
Date

Total number of pages comprising cover sheet, attachments, and document [4]

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PATENT
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ASSIGNMENT

IN CONSIDERATION of One Dollar (\$1.00), and other good and valuable consideration, the receipt of which is hereby acknowledged, we, Atul J. Shukla, residing at 837 Walnut Bend Rd., Cordova, TN 38018; James R. Johnson, residing at 2129 Glenalden Dr. E., Germantown, TN 38139; and Yichun Sun, residing at 3039 Circle Gate Dr., Germantown, TN 38138, intending to be legally bound;

HEREBY SELL, assign and transfer to University of Tennessee Research Foundation ("Assignee"), 1534 White Avenue, Suite 403, Knoxville, TN 37996-1527, its successors, assigns and legal representatives, our entire right, title and interest for the United States and all foreign countries, in and to any and all improvements which are disclosed in the application for United States Letters Patent which has been executed by the undersigned and which is entitled

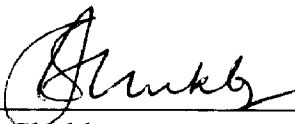
VEHICLES FOR DELIVERY OF BIOLOGICALLY ACTIVE SUBSTANCES

and to said application and all divisional, continuation, substitute, renewal, reissue, and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of said improvements, including the right to claim in other countries the right of priority of any of said applications under the (Paris) International Convention; and in and to all original and reissued patents which have been or shall be issued in the United States and all foreign countries on said improvements;

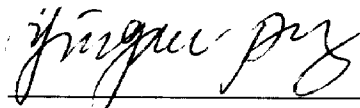
AGREE THAT said Assignee may apply for and receive Letters Patent for said improvements in its own name, and that, Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this Assignment, the undersigned will execute all divisional, continuation, substitute, renewal, reissue, and all other patent applications on any and all said improvements, execute all rightful oaths, Assignments, Powers of Attorney and other papers, communicate to said Assignee, its successors, assigns, and legal representatives, all facts known to the undersigned relating to said improvements and the history thereof; and generally do everything possible which said Assignee, its successors, assigns and legal representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said improvements and for vesting title to said improvements and all applications for patents and all patents on said improvements, in said Assignee, its successors, assigns and legal representatives;

COVENANT WITH said Assignee, its successors, assigns and legal representatives that no Assignment, grant, mortgage, license or other Agreement affecting the rights and property herein conveyed have been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

5/8/03
Date

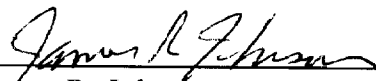

Atul J. Shukla

Before me this 8 day of MAY, 2003 personally appeared the person above-identified, to me personally known to be the person of that name who is described in and who executed the above instrument, and acknowledged to me that he/she executed the same of his/her free will for the purpose therein set forth.


Witness

YANGXU PENG
(Print or Type Name)

5/7/03
Date


James R. Johnson

Before me this 7th day of May, 2003 personally appeared the person above-identified, to me personally known to be the person of that name who is described in and who executed the above instrument, and acknowledged to me that he/she executed the same of his/her free will for the purpose therein set forth.


Witness

Robert L. Palmer
(Print or Type Name)

5/7/03
Date


Yichun Sun

Before me this 7 day of May 2003, 2003 personally appeared the person above-identified, to me personally known to be the person of that name who is described in and who executed the above instrument, and acknowledged to me that he/she executed the same of his/her free will for the purpose therein set forth.


Witness

S. CASEY LAIZURE
(Print or Type Name)