

0103ACIP

FORM PTO-1595
(Rev. 6-93)

RECORDATION FORM COVER SHEET

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

OMB No. 0651-0011 (exp. 4/94)

PATENTS ONLY

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Sesha Iyer Natarajan, Claudio Mapelli, Margarita
M. Bastos, Michael Bernatowicz, Ving Lee,
William R. EwingAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
- ☐ Security Agreement ☐ Change of Name
- ☐ _____

Execution Date: Other 7/23/03, 7/28/03, 7/23/03,
7/24/03, 8/18/03, 8/4/03

2. Name and address of receiving party(ies)

Name: Bristol-Myers Squibb Company

Internal Address: _____

Street Address: Lawrenceville-Princeton RoadCity: Princeton State: NJ ZIP: 08543-4000Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

10/419,399

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Stephen B. DavisInternal Address: Bristol-Myers Squibb CompanyPatent DepartmentStreet Address: P.O. Box 4000City: Princeton State: NJ ZIP: 08543-4000

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$ 40☐ Enclosed☒ Authorized to be charged to deposit account and any other additional fees required.

8. Deposit account number:

19-3880 (in the name of Bristol-Myers Squibb Company)

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*Keith R. LangeName of Person Signing
Reg. No. 44,201
SignatureDecember 1, 2003

Date

☐ Certificate of mailing on reverse side

Total number of pages including cover sheet, attachments, and document: 12

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, MAIL STOP: Assignment

Commissioner for Patents, P.O. Box 1450

Alexandria, VA 22313-1450

PATENT

REEL: 014171 FRAME: 0010

700054830

CIH \$40.00 193880 10419399

ASSIGNMENT

We,

Sesha Iyer Natarajan	residing at	15 Matthew Road Hillsborough, New Jersey 08844 United States of America
Claudio Mapelli	residing at	8 Silvers Lane Plainsboro, New Jersey 08536 United States of America
Margarita M. Bastos	residing at	8 Clydesdale Court Plainsboro, New Jersey 08536 United States of America
Michael Bernatowicz	residing at	304 Trinity Court #8 Princeton, New Jersey 08540 United States of America
Ving Lee	residing at	11 Colts Neck Terrace Hamilton, New Jersey 08620 United States of America
William R. Ewing	residing at	1193 Waterwheel Drive Yardley, Pennsylvania 19067 United States of America,

pursuant to contractual obligations heretofore assumed by us and/or for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, do hereby sell and assign to **Bristol-Myers Squibb Company**, a Delaware corporation, having a place of business at Lawrenceville-Princeton Road, Princeton, NJ 08543-4000, its successors, assigns and legal representatives, all our right, title and interest, which includes the right to and full benefit of such priorities as may now or hereafter be granted to us by local laws or by treaty, including any international convention for the protection of industrial property, in and for all countries of the world, including the United States and its territories and possessions, in and to the invention entitled:

Human Glucagon-Like-Peptide-1 Mimics and Their Use in the Treatment of Diabetes and Related Conditions

invented by us and described in the non-provisional United States patent application

Application No. 10/419,399, filed April 21, 2003,

including said non-provisional United States patent application and any application claiming priority from said non-provisional application, filed in any country, and any patents which may be issued

Worldwide
Case D0103 CIP

and/or granted thereon, and all divisions, continuations, reissues, reexamination certificates and extensions thereof in all countries, the said interest being the entire ownership of said invention and all of said applications, patents (including reissue patents), extensions and reexamination certificates to be held and enjoyed by the said Bristol-Myers Squibb Company and its successors and assigns to the full end of the terms to which said patents (including reissue patents), extensions and reexamination certificates may be granted and/or issued, as fully and entirely as the same would have been held and enjoyed by us if this sale, assignment and transfer had not been made;

And we hereby agree to communicate to said assignee or its representatives any facts known to us respecting said invention, to testify in any legal proceedings, to sign and/or execute any further documents and/or instruments which may be necessary, lawful and proper in and/or for the filing and/or prosecution of all applications, including divisional, continuation and reissue applications, extensions and reexamination certificates and/or the granting and/or issuance thereof and/or to otherwise secure title to said invention and all of said applications, patents (including reissue patents, extensions and reexamination certificates in said assignee, and in general to do everything possible to aid said assignee, its successors and assigns to obtain and enforce proper protection for said invention in all countries.

Signed this 23 day of July, 2003 by Sesha Iyer Natarajan
Sesha Iyer Natarajan

Signed this _____ day of _____, 2003 by _____
Claudio Mapelli

Signed this _____ day of _____, 2003 by _____
Margarita M. Bastos

Signed this _____ day of _____, 2003 by _____
Michael Bernatowicz

Signed this _____ day of _____, 2003 by _____
Ving Lee

Signed this _____ day of _____, 2003 by _____
William R. Ewing

Worldwide
Case D0103 CIP

and/or granted thereon, and all divisions, continuations, reissues, reexamination certificates and extensions thereof in all countries, the said interest being the entire ownership of said invention and all of said applications, patents (including reissue patents), extensions and reexamination certificates to be held and enjoyed by the said Bristol-Myers Squibb Company and its successors and assigns to the full end of the terms to which said patents (including reissue patents), extensions and reexamination certificates may be granted and/or issued, as fully and entirely as the same would have been held and enjoyed by us if this sale, assignment and transfer had not been made;

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Signed this ____ day of _____, 2003 by _____
Sesha Iyer Natarajan

Signed this 28 day of July, 2003 by Claudio Mapelli
Claudio Mapelli

Signed this ____ day of _____, 2003 by _____
Margarita M. Bastos

Signed this ____ day of _____, 2003 by _____
Michael Bernatowicz

Signed this ____ day of _____, 2003 by _____
Ving Lee

Signed this ____ day of _____, 2003 by _____
William R. Ewing

Worldwide
Case D0103 CIP

and/or granted thereon, and all divisions, continuations, reissues, reexamination certificates and extensions thereof in all countries, the said interest being the entire ownership of said invention and all of said applications, patents (including reissue patents), extensions and reexamination certificates to be held and enjoyed by the said Bristol-Myers Squibb Company and its successors and assigns to the full end of the terms to which said patents (including reissue patents), extensions and reexamination certificates may be granted and/or issued, as fully and entirely as the same would have been held and enjoyed by us if this sale, assignment and transfer had not been made;

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Signed this _____ day of _____, 2003 by _____
Sesha Iyer Natarajan

Signed this _____ day of _____, 2003 by _____
Claudio Mapelli

Signed this 23 day of July, 2003 by Margarita Bastos
Margarita M. Bastos

Signed this _____ day of _____, 2003 by _____
Michael Bernatowicz

Signed this _____ day of _____, 2003 by _____
Ving Lee

Signed this _____ day of _____, 2003 by _____
William R. Ewing

Worldwide
Case D0103 CIP

and/or granted thereon, and all divisions, continuations, reissues, reexamination certificates and extensions thereof in all countries, the said interest being the entire ownership of said invention and all of said applications, patents (including reissue patents), extensions and reexamination certificates to be held and enjoyed by the said Bristol-Myers Squibb Company and its successors and assigns to the full end of the terms to which said patents (including reissue patents), extensions and reexamination certificates may be granted and/or issued, as fully and entirely as the same would have been held and enjoyed by us if this sale, assignment and transfer had not been made;

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Signed this ____ day of _____, 2003 by _____
Sesha Iyer Natarajan

Signed this ____ day of _____, 2003 by _____
Claudio Mapelli

Signed this ____ day of _____, 2003 by _____
Margarita M. Bastos

Signed this 24 day of July, 2003 by Michael Bernatowicz
Michael Bernatowicz

Signed this ____ day of _____, 2003 by _____
Ving Lee

Signed this ____ day of _____, 2003 by _____
William R. Ewing

Worldwide
Case D0103 CIP

and/or granted thereon, and all divisions, continuations, reissues, reexamination certificates and extensions thereof in all countries, the said interest being the entire ownership of said invention and all of said applications, patents (including reissue patents), extensions and reexamination certificates to be held and enjoyed by the said Bristol-Myers Squibb Company and its successors and assigns to the full end of the terms to which said patents (including reissue patents), extensions and reexamination certificates may be granted and/or issued, as fully and entirely as the same would have been held and enjoyed by us if this sale, assignment and transfer had not been made;

And we hereby agree to communicate to said assignee or its representatives any facts known to us respecting said invention, to testify in any legal proceedings, to sign and/or execute any further documents and/or instruments which may be necessary, lawful and proper in and/or for the filing and/or prosecution of all applications, including divisional, continuation and reissue applications, extensions and reexamination certificates and/or the granting and/or issuance thereof and/or to otherwise secure title to said invention and all of said applications, patents (including reissue patents, extensions and reexamination certificates in said assignee, and in general to do everything possible to aid said assignee, its successors and assigns to obtain and enforce proper protection for said invention in all countries.

Signed this _____ day of _____, 2003 by _____
Sesha Iyer Natarajan

Signed this _____ day of _____, 2003 by _____
Claudio Mapelli

Signed this _____ day of _____, 2003 by _____
Margarita M. Bastos

Signed this _____ day of _____, 2003 by _____
Michael Bernatowicz

Signed this 18th day of August, 2003 by 
Ving Lee

Signed this 4th day of August, 2003 by 
William R. Ewing

Worldwide
Case D0103 CIP

STATE OF New Jersey)
) ss.
COUNTY OF Mercer)

On the 23 day of July, 2003, before me came **Sesha Iyer Natarajan**, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he executed it.



Notary Public

[SEAL]

PAMELA J. KREBS
NOTARY PUBLIC OF NEW JERSEY
My Commission Expires March 27, 2007

STATE OF New Jersey)
) ss.
COUNTY OF Mercer)

On the day of , 2003, before me came **Claudio Mapelli**, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he executed it.

Notary Public

[SEAL]

STATE OF)
) ss.
COUNTY OF)

On the day of , 2003, before me came **Margarita M. Bastos**, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he executed it.

Notary Public

[SEAL]

Worldwide
Case D0103 CIP

STATE OF New Jersey)
) ss.
COUNTY OF Mercer)

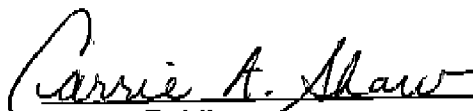
On the day of , 2003, before me came **Sesha Iyer Natarajan** , to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he executed it.

Notary Public

[SEAL]

STATE OF New Jersey)
) ss.
COUNTY OF Mercer)

On the ^{28th} day of *July* , 2003, before me came **Claudio Mapelli** , to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he executed it.



Notary Public

CARRIE A. SHAW
NOTARY PUBLIC OF NEW JERSEY
My Commission Expires Jan. 18, 2008

[SEAL]

STATE OF)
) ss.
COUNTY OF)

On the day of , 2003, before me came **Margarita M. Bastos** , to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he executed it.

Notary Public

[SEAL]

Worldwide
Case D0103 CIP

STATE OF New Jersey)
) ss.
COUNTY OF Mercer)

On the day of , 2003, before me came **Sesha Iyer Natarajan** , to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he executed it.

Notary Public

[SEAL]

STATE OF New Jersey)
) ss.
COUNTY OF Mercer)

On the day of , 2003, before me came **Claudio Mapelli** , to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he executed it.

Notary Public

[SEAL]

STATE OF *NJ*)
) ss.
COUNTY OF *Middlesex*)

On the *23* day of *July* , 2003, before me came **Margarita M. Bastos** , to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he executed it.

Janet E. Nagy

Notary Public

[SEAL]

JANET E. NAGY
Notary Public, Middlesex County, New Jersey
My Commission Expires Feb. 6, 2007

PATENT
REEL: 014171 FRAME: 0019

Worldwide
Case D0103 CIP

STATE OF New Jersey)
) ss.
COUNTY OF Mercer)

On the 24th day of July, 2003, before me came **Michael Bernatowicz**, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he executed it.

Magdalena S. Kicińska
Notary Public

[SEAL]

Magdalena S Kicińska
Notary Public
My Commission Expires 04/02/2007

STATE OF New Jersey)
) ss.
COUNTY OF Mercer)

On the day of , 2003, before me came **Ving Lee**, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he executed it.

Notary Public

[SEAL]

STATE OF New Jersey)
) ss.
COUNTY OF Mercer)

On the day of , 2003, before me came **William R. Ewing**, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he executed it.

Notary Public

[SEAL]

Worldwide
Case D0103 CIP

STATE OF New Jersey)
) ss.
COUNTY OF Mercer)

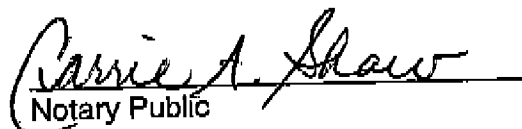
On the day of , 2003, before me came **Michael Bernatowicz** , to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he executed it.

Notary Public

[SEAL]

STATE OF New Jersey)
) ss.
COUNTY OF Mercer)

On the day of , 2003, before me came **Ving Lee** , to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he executed it.


Notary Public

CARRIE A. SHAW
NOTARY PUBLIC OF NEW JERSEY
My Commission Expires Jan. 18, 2008

[SEAL]

STATE OF New Jersey)
) ss.
COUNTY OF Mercer)

On the 4 day of August , 2003, before me came **William R. Ewing** , to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he executed it.


Notary Public

Notary Public
State of New Jersey
Julia Mary Kessler
My Commission Expires
Sept. 24, 2003



RECORDED: 12/02/2003

PATENT
REEL: 014171 FRAME: 0021