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RECORDATION FORM COVER SHEET
PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):
RUTGERS UNIVERSITY FOUNDATION

Additional name(s) of conveying party(ies) attached? ☐ Yes ☑ No

3. Nature of conveyance:
☑ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other_____

Execution Date:_____

2. Name and address of receiving party(ies)
Name: RUTGERS, THE STATE UNIVERSITY
Internal Address:
Street Address: 3 Rutgers Plaza, ASB III
City: New Brunswick State: NJ Zip: 08901

Additional name(s) & address(es) attached? ☐ Yes ☑ No

4. Application number(s) or patent number(s):
If this document is being filed together with a new application, the execution date of the application is:_____
A. Patent Application No.(s) See attached
B. Patent No.(s) See attached

Additional numbers attached? ☑ Yes ☐ No

5. Name and address of party to whom correspondence concerning document should be mailed:
Name: ALEX R. PAGANO
Internal Address: LOWENSTEIN SANDLER
Street Address: 65 LIVINGSTON AVENUE
City: ROSELAND State: NJ Zip: 07068

6. Total number of applications and patents involved: 21

7. Total fee (37 CFR 3.41).....$ 840.00
☐ Enclosed
☑ Authorized to be charged to deposit account

8. Deposit account number:
501358

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9. Signature.

ALEX R. PAGANO 44,994
Name of Person Signing

Signature

12/04/2003
Date

Total number of pages including cover sheet, attachments, and documents: 7

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

CH $840.00 501358 09813414

Attorney Docket No.: 15884-1

CONTINUATION OF ITEM 4

A. Patent Application Numbers

09/813,414
09/884,283
09/771,809
09/764,640
09/970,437
09/833,529
09/969,175
09/532,702
09/577,643
10/134,953
10/261,863
60/429,492

B. Patent Numbers

US 6,122,092
US 6,181,545
US 6,187,061
US 6,198,623
US 6,252,762
US 6,383,682
US 6,432,581
US 6,517,972
US 6,482,548

Creating the Future Today
THE RUTGERS CAMPAIGN

ASSIGNMENT

WHEREAS, Rutgers University Foundation (ASSIGNOR), a 501(c)(3) organization having an address at ASB III, 3 Rutgers Plaza, New Brunswick, NJ, 08901-8559, has rights in certain technology and useful improvements in the field of memory storage and supercapacitance (the "TECHNOLOGY"). Certain embodiments of the TECHNOLOGY are disclosed in the patents and patent applications filed in the United States set forth in Schedule A (the "PATENTS") annexed hereto and made a part hereof;

AND WHEREAS Rutgers, The State University (ASSIGNEE), having its statewide Office of Corporate Liaison and Technology Transfer at ASB III, 3 Rutgers Plaza, New Brunswick, NJ 08901, desires to acquire the entirety of any right, title, and interest in and to the TECHNOLOGY and the PATENTS;

NOW, THEREFORE, in consideration of the sum of one dollar ($1.00) to ASSIGNOR in hand and other good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR has sold, assigned, transferred, and set over, and do hereby sell, assign, transfer, and set over to ASSIGNEE our entire right, title, and interest in and to the TECHNOLOGY and in and to the PATENTS, and all United States Letters Patents that may be granted thereon;

In further view of the consideration, ASSIGNOR has sold, assigned, transferred, and set over, and do hereby sell, assign, transfer, and set over to ASSIGNEE our entire right, title, and interest in and to all United States and foreign patents and patent applications related or claiming priority to the PATENTS including, but not limited to, all divisions, continuations, continuations-in-part, design applications, reissues, substitutions, and extensions thereof, heretofore or hereafter filed;

In further view of the consideration, ASSIGNOR has sold, assigned, transferred, and set over, and do hereby sell, assign, transfer, and set over to ASSIGNEE our entire right, title, and interest in and to all priority rights under the International Convention for the Protection of Industrial Property for every member country, and all applications for patents in any foreign countries (including related rights such as utility-model registrations, registrations, inventor's certificates, and the like) heretofore or hereafter filed for the TECHNOLOGY and the PATENTS, and all patents granted for the TECHNOLOGY and the PATENTS in any foreign countries; and

President and Vice President
for Development and Alumni Relations
carroll@winants.rutgers.edu

Rutgers University Foundation
7 College Avenue Winants Hall
732/932-7890 FAX 732/932-6757
15884/1
11/24/2003 1464542.01

Assignment (Cont.)

I hereby authorize and request the United States Commissioner of Patents and Trademarks, and any officials of foreign countries whose duty it is to issue patents on applications as aforesaid, to issue all patents for the TECHNOLOGY and the PATENTS in the name of ASSIGNEE or their successors in interest in accordance with the terms of this Assignment;

In testimony whereof, I hereunto set my hand this 24th day of Nov, 2003

.

Michael W. Carroll
President, Rutgers University Foundation

STATE OF New Jersey)
) SS:
COUNTY OF Middlesex)

On Nov 24, 2003, before the undersigned, a Notary Public for the State and County aforesaid, personally appeared **Michael W. Carroll,** known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the above Assignment, and acknowledged that he executed the same.

(Notary Public)
SHARON A. LOFTUS
Notary Public State of New Jersey
Commission Expires 9/02/07

SHARON A. LOFTUS NOTARY PUBLIC STATE OF NEW JERSEY

SCHEDULE A: THE PATENTS

Type	US Patent No. or Serial No.	Title	Issue Date or Filing date
Patent	6,122,092	Reversible Inorganic Electrochromic Solution Device	Issue date: September 19, 2000
Patent	6,181,545	Supercapacitor Structure And Method Of Making Same	Issue date: January 30, 2001
Patent	6,187,061	Supercapacitor Structure And Method Of Making Same	Issue date: February 13, 2001
Patent	6,198,623	Carbon Fabric Supercapacitor Structure	Issue date: March 6, 2001
Patent	6,252,762	Rechargeable Hybrid Battery/Supercapacitor System	Issue date: June 26, 2001
Patent	6,383,682	Yttrium-Ion Rechargeable Battery Cells	Issue date: May 7, 2002
Patent	6,432,581	Rechargeable Battery Including An Inorganic Anode	Issue date: August 13, 2002
Patent	6,517,972	High Energy Density Hybrid Battery/Supercapacitor System	Issue date: February 11, 2003
Patent	6,482,548	Lithium-Aluminum Dual-Cation Rechargeable Electrochemical Battery Cell	Issue date: November 19, 2002
Application	09/884,283	System structure for in situ x-ray study of electrochemical cell component performance	Filing date: June 19, 2001

Application	09/813,414	High Voltage Rechargeable Electrochemical Energy Storage System Structure	Filing date: March 21, 2001
Application	09/771,809	Nanostructure Lithium Titanate Electrode For High Cycle Rate Rechargeable Electrochemical Cell	Filing date: January 29, 2001
Application	09/764,640	Carbon Fabric Supercapacitor Structure	Filing date: January 18, 2001
Application	09/833,529	Metal Nitride Electrode Materials For High Capacity Rechargeable Lithium Battery Cells	Filing date: April 12, 2001
Application	09/970,437	Electrochemical Cell Comprising Lamination of Electrode and Paper Separator Members	Filing date: November 3, 2001
Application	09/969,175	Hydrated Iron Phosphate Electrode Materials for Rechargeable Lithium Battery Cell Systems	Filing date: October 2, 2001
Application	09/532,702	Transition Metal Chalcogenide Electrode Compositions For Low-Voltage Rechargeable Li and Li-Ion Batteries	Filing date: March 22, 2000
Application	09/577,643	Dual Cation Rechargeable Electrochemical Battery Cell	Filing date: May 24, 2000

Application	10/134,953	Nano-particle Electrode Rechargeable Battery Cell	Filing date: April 29, 2002
Application	10/261,863	Transition Metal Fluoride: Carbon Nanoamalgram Rechargeable Battery Cell Electrode Material	Filing date: October 1, 2002
Provisional Application	60/429,492	Transition-Metal Fluoride Plus Metal As Positive Electrode Materials For Lithium Ion Batteries	Filing date: November 27, 2002