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- 1) Hans-Ulrich PETEREIT
- 2) Christian MEIER
- 3) Erna ROTH

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: ROEHM GMBH & Co. KG
Address: Kirschenallee
D-64293 Darmstadt, GERMANY

Additional name(s) and address(es) attached? ☐ Yes ☒ No

3. Nature of Conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: 1) December 21, 2000
2) December 21, 2000
3) January 9, 2001

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

09/764,993

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:



22850

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6. Total applications and patents involved:

7. Total fee (37 CFR 3.41): \$40.00

- ☒ Enclosed
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To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

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PATENT
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Assignment Of Application

Page 1 of 2

WHEREAS, I (WE) 1) Hans-Ulrich PETEREIT 2) Christian MEIER and

3) Erna ROTH of:

1) Haendelstrasse 40, D- 64291 Darmstadt, Germany

2) Kolbeweg 35, D-64295 Darmstadt, Germany

3) Eberstaedter Kirchstrasse 5, D-64297 Darmstadt, Germany

INSERT NAMES
AND RESIDENCE
ADDRESSES OF
THE INVENTORS:

_____, respectively,

have invented certain new and useful improvements in: COATING AND BINDING AGENT
FOR ORAL OR DERMAL PHARMACEUTICAL FORMS,

for which an application for Letters Patent was executed on _____

(Application No. 09/764,993, filed January 23, 2001), and

WHEREAS, ROEHM GMBH & Co. KG

(hereinafter referred to as "ASSIGNEE") having a place of business at: Kirschenallee,
D-64293 Darmstadt, Germany,

is desirous of acquiring the entire right, title and interest in and to said invention and in and to any Letters Patent that may be granted therefore in the United States and its territorial possessions and in any and all foreign countries;

NOW, THEREFORE, in consideration of the sum of FIVE DOLLARS (\$5.00), the receipt whereof is hereby acknowledged, and for other good and valuable consideration, I (WE), by these presents do sell, assign and transfer unto said ASSIGNEE, the full and exclusive right to the said invention in the United States and its territorial possessions and in all foreign countries and the entire right, title and interest in and to any and all Letters Patent which may be granted therefor in the United States and its territorial possessions and in any and all foreign countries and in and to any and all divisions, reissues, continuations, substitutions and renewals thereof.

I (WE) hereby authorize and request the Patent Office Officials in the United States and its territorial possessions and any and all foreign countries to issue any and all of said Letters Patent, when granted, to said ASSIGNEE as the assignee of my (our) entire right, title and interest in and to the same, for the sole use and behoof of said ASSIGNEE, its (his) successors and assigns, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held by me (us) had this Assignment and sale not been made.

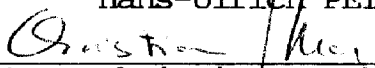
Further, I (WE) agree that I (WE) will communicate to said ASSIGNEE or its (his) representatives any facts known to me (us) respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, substitute, renewal and reissue applications, execute all necessary assignment papers to cause any and all of said Letter Patent to be issued to said ASSIGNEE, make all rightful oaths, and, generally do everything possible to aid said ASSIGNEE, its (his) successors and assigns, to obtain and enforce proper protection for said invention in the United States and its territorial possessions and in any and all foreign countries.

The undersigned hereby grant(s) the firm of Oblon, Spivak, McClelland, Maier & Neustadt, P.C. of Fourth Floor, 1755 Jefferson Davis Highway, Arlington, Virginia 22202 the power to insert on this assignment any further identification, including the application number and filing date, which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.


Date: 2000-12-21


(Signature of Inventor) Hans-Ulrich PETERETT

Date: 2000-12-21


(Signature of Inventor) Christian MEIER

Date: 2001-01-09


(Signature of Inventor) Erna ROTH

Date: _____

(Signature of Inventor)

Date: _____

(Signature of Inventor)

Date: _____

(Signature of Inventor)

Date: _____

(Signature of Inventor)

Date: _____

(Signature of Inventor)

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.
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