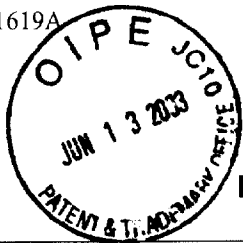


06-20-2003

FORM PTO-1619A  
Expires 06/30/99  
OMB 0651-0027



102478505

U.S. Department of Commerce  
Patent and Trademark Office  
**PATENT**

6. 13. 03

**RECORDATION FORM COVER SHEET  
PATENTS ONLY**

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

**Submission Type**

- New
- Resubmission (Non-Recordation)  
Document ID# \_\_\_\_\_
- Correction of PTO Error  
Reel # \_\_\_\_\_ Frame # \_\_\_\_\_
- Corrective Document  
Reel # \_\_\_\_\_ Frame # \_\_\_\_\_

**Conveyance Type**

- Assignment  Security Agreement
  - License  Change of Name
  - Merger  Other \_\_\_\_\_
- U.S. Government**  
(For Use ONLY by U.S. Government Agencies)
- Departmental File  Secret File

**Conveying Party(ies)**

- Mark if additional names of conveying parties attached
- |                     |              |                |                |
|---------------------|--------------|----------------|----------------|
| Name (line 1)       | Katie Kuwata | Execution Date | Month Day Year |
|                     |              |                | 06/02/2003     |
| Name (line 2)       | _____        |                |                |
| <b>Second Party</b> |              | Execution Date | Month Day Year |
| Name (line 1)       | William Su   |                | 06/02/2003     |
| Name (line 2)       | _____        |                |                |

**Receiving Party**

- Mark if additional names of receiving parties attached
- If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Name (line 1) Toshiba Corporation

Name (line 2) \_\_\_\_\_

Address (line 1) 6-78, Minami-cho

Address (line 2) Mishima-shi

Address (line 3) Shizuoka-ken Japan 411-8520

City State/Country Zip Code

**Domestic Representative Name and Address**

Enter for the first Receiving Party only.

Name \_\_\_\_\_

Address (line 1) \_\_\_\_\_

Address (line 2) \_\_\_\_\_

Address (line 3) \_\_\_\_\_

Address (line 4) \_\_\_\_\_

**FOR OFFICE USE ONLY**

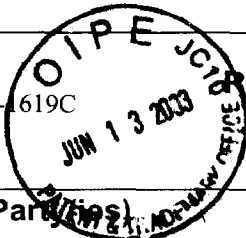
06/16/2003 WABDELRI 00000111 10384906

40.00 00

Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0651-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

Mail documents to be recorded with required cover sheet(s) information to:  
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**PATENT**  
**REEL: 014180 FRAME: 0167**



# RECORDATION FORM COVER SHEET CONTINUATION PATENTS ONLY

U.S. Department of Commerce  
Patent and Trademark Office  
**PATENT**

## Conveying Party(ies)

Mark if additional names of conveying parties attached

Enter additional Conveying Parties

Execution Date  
Month Day Year

Name (line 1) Truc Nguyen

05/13/2003

Name (line 2)

Execution Date  
Month Day Year

Name (line 1)

Name (line 2)

Execution Date  
Month Day Year

Name (line 1)

Name (line 2)

## Receiving Party(ies)

Mark if additional names of receiving parties attached

Enter additional Receiving Party(ies)

Name (line 1) Toshiba Tec Kabushiki Kaisha

If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Name (line 2)

Address (line 1) 6-78, Minami-cho

Address (line 2) Mishima-shi

Address (line 3) Shuzuoka-ken Japan 411-8520  
City State/Country Zip Code

Name (line 1)

If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3) City State/Country Zip Code

## Application Number(s) or Patent Number(s)

Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

### Patent Application Number(s)

### Patent Number(s)

|            |  |  |
|------------|--|--|
| 10/384,006 |  |  |
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**Correspondent Name and Address**

Area Code and Telephone Number (216) 696-3466

Name Susan L. Mizer

Address (line 1) Arter & Hadden LLP

Address (line 2) 1100 Huntington Building

Address (line 3) 925 Euclid Avenue

Address (line 4) Cleveland, Ohio 44115

**Pages** Enter the total number of pages of the attached conveyance document including any attachments. # 10

**Application Number(s) or Patent Number(s)**  Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

**Patent Application Number(s)**

**Patent Number(s)**

|            |  |  |  |  |  |  |
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| 10/384,006 |  |  |  |  |  |  |
|            |  |  |  |  |  |  |
|            |  |  |  |  |  |  |

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor. Month Day Year

**Patent Cooperation Treaty (PCT)**

Enter PCT application number only if a U.S. Application Number has not been assigned.

|     |  |     |  |     |  |
|-----|--|-----|--|-----|--|
| PCT |  | PCT |  | PCT |  |
| PCT |  | PCT |  | PCT |  |

**Number of Properties** Enter the total number of properties involved. # 1

**Fee Amount** Fee Amount for Properties Listed (37 CFR 3.41): \$ 40.00

Method of Payment: Enclosed  Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number: # 50-0902(66329/22603)

Authorization to charge additional fees: Yes  No

**Statement and Signature**

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Susan L. Mizer, Reg. No. 38,245

Name of Person Signing

Signature

6/11/03

Date

**ASSIGNMENT**

In consideration of the payment by ASSIGNEES to ASSIGNORS of the sum of Ten Dollars (\$10.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNOR:           Name:           Katie Kuwata  
                          Address:        603 Seagate Drive #154  
  Oceanside, California 92054  
                          County:         \_\_\_\_\_

ASSIGNOR:           Name:           William Su  
                          Address:        ~~1655 Via Santiago #B~~      4221 Hidatsu Street  
  ~~Corona, California 92882~~    Riverside, CA  
                          County:         \_\_\_\_\_                                   92509

ASSIGNOR:           Name:           Truc Nguyen  
                          Address:        9813 Kika Court  
  San Diego, California 92129  
                          County:         \_\_\_\_\_

hereby sell, assign and transfer jointly to:

ASSIGNEE:           Name:           Toshiba Corporation  
                          Principal Place  
                          Of Business:   6-78, Minami-cho, Mishima-shi  
  Shizuoka-ken, 411-8520 JAPAN  
                          Corporation  
                          of:             Japan

~and~

ASSIGNEE: Name: Toshiba Tec Kabushiki Kaisha

Principal Place

Of Business: 6-78, Minami-cho, Mishima-shi  
Shizuoka-ken, 411-8520  
JAPAN

Corporation of: Japan

and to the successors, assigns and legal representatives of the ASSIGNEES, the entire right, title and interest, such that each ASSIGNEE has an undivided one-half interest, for the United States and its territorial possessions, and in all foreign countries, including all rights to claim priority in and to any and all improvements which are disclosed in the invention entitled:

**METHOD AND IMPLEMENTATION OF SESSION-BASED  
FILE LOCKING FOR NETWORK APPLICATIONS**

and which is found in (37 C.F.R. § 3.21)

\_\_\_ U.S. patent application executed on the \_\_\_ day of \_\_\_\_\_, 20\_\_\_

\_\_\_ U.S. patent application executed on the \_\_\_ day of \_\_\_\_\_, 20\_\_\_

\_\_\_ U.S. patent application executed on the \_\_\_ day of \_\_\_\_\_, 20\_\_\_

\_\_\_ U.S. patent application executed on the \_\_\_ day of \_\_\_\_\_, 20\_\_\_

To comply with 37 C.F.R. § 3.21 for recordal of this assignment, we, as ASSIGNOR(S) signing below, hereby authorize and request our attorney to insert below the filing date and application number when they become known.

U.S. Patent Application No. 10/384,006 filed on March 7, 2003.

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for such invention by the above application or any continuation, division, renewal, or substitute thereof, and as to letters patent, any reissue or re-examination thereof.

ASSIGNORS hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNORS further covenant that ASSIGNEES will, upon their request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR(S) and will testify as to the same in any interference, litigation or proceeding related thereto and will

promptly execute and deliver to ASSIGNEES or their legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

Signed at Irvine, California on this  
(City) (State)

2<sup>nd</sup> day of June, 2003.  
(day) (Month)



KATIE KUWATA

STATE OF *California*  
):SS  
COUNTY OF *Orange*

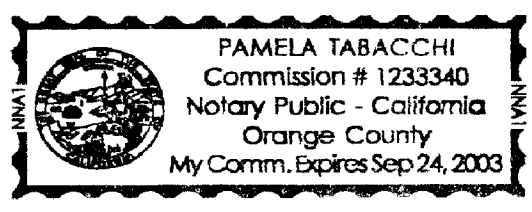
Personally appeared before me this day, the above named **Katie Kuwata** to me personally known, who acknowledged that he/she signed the foregoing instrument for the uses and purposes therein mentioned, and that the same is his free act and deed.

In testimony whereof, I have hereunto set my hand and affixed my seal, this *2nd* day of *June*, 2003.

*Pamela Tabacchi*

\_\_\_\_\_  
Notary Public

(Seal)



Signed at Irvine, California on this  
(City) (State)

2<sup>nd</sup> day of June, 2003.  
(day) (Month)

William Sun-yang Su  
WILLIAM SU

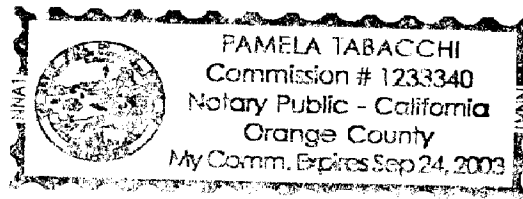


STATE OF *California*  
):SS  
COUNTY OF *Orange*

Personally appeared before me this day, the above named **William Su** to me personally known, who acknowledged that he/she signed the foregoing instrument for the uses and purposes therein mentioned, and that the same is his free act and deed.

*2nd* In testimony whereof, I have hereunto set my hand and affixed my seal, this day of June, 2003.

*Pamela Tabacchi*  
\_\_\_\_\_  
Notary Public



Signed at Irvine, California on this  
(City) (State)

13<sup>th</sup> day of May, 2003.  
(day) (Month)




**TRUC NGUYEN**

STATE OF California  
) :SS  
COUNTY OF Orange

Personally appeared before me this day, the above named **Truc Nguyen** to me personally known, who acknowledged that he/she signed the foregoing instrument for the uses and purposes therein mentioned, and that the same is his free act and deed.

In testimony whereof, I have hereunto set my hand and affixed my seal, this  
13<sup>th</sup> day of May, 2003.

  
Notary Public

(SEAL)

