

R 06-25-2003

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To the Commissioner for Patents and
or a copy thereof.

OFFICE

102481935

Attached original documents

1. Name of conveying party: *2003 JUN 24 10 27 05*

1) Neurologix, Inc.
2) Weidong Xiao
3) Matthew During
4) Lei Cao

Additional name(s) of conveying party(ies) attached?
Yes No

FINANCE SECTION

6.24.03

2. Name and address of receiving party:

Name: Thomas Jefferson University
Street Address: 1020 Walnut Street
City: Philadelphia
State: PA Zip: 19034

3. Nature of conveyance:

Assignment Security Agreement
 Merger Change of Name
Other:
Execution Dates: **03/24/03; 06/04/03; 03/15/03;**
03/17/03; 04/07/03

Additional name(s) & address(es) attached?
Yes No

4. Application number(s) or patent number(s): (If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No(s):

B. Patent No(s): **6,329,181**

Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

Atty. Name: Thomas J. Engellenner
Firm Name: Nutter, McClennen & Fish, LLP
Street Address: World Trade Center West
155 Seaport Blvd.
City/State: Boston, MA
Zip Code: 02210-2604

6. Total number of applications and patents involved? 1

7. Total fee (37 CFR 3.41): **40.00**
 Fee Enclosed
Charge Deposit Account No.
The Commissioner is hereby authorized to charge underpayment/credit overpayment to Deposit Account No. **141449**.
(Attach duplicate copy of this page if paying fees by Deposit Account)

DO NOT USE THIS SPACE

9. Statement and signature *(To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.)*

Thomas J. Engellenner
Name of Attorney

Signature

20 June 2003

Date

06/25/2003 ECDDPER 00000017 6329181

Total number of pages including cover sheet: 11

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CONFIRMATORY ASSIGNMENT

This agreement is between **Thomas Jefferson University**, a private corporation of Pennsylvania, having its principal place of business at 1020 Walnut Street, Philadelphia, PA 19107, **Weidong Xiao** of 1604 Conquest Way, Fort Washington, PA 19034, **Matthew During** of 1512 Spruce Street, Apt. 2901, Philadelphia, PA 19102, **Lei Cao** of 210 W. Crystal Lake Avenue, Apt 248A, Haddonfield, NJ 08033, and **Neurologix, Inc.**, a private corporation of Delaware, having its principal place of business at 271-32E Grand Central Parkway, Floral Park, NY 11005 (the "parties"), and is intended to clarify and, if necessary, rectify the assignment records of the U.S. Patent and Trademark Office to reflect the actual intent of the parties with regard to ownership of certain U.S. Patents and U.S. patent applications listed on attached Schedule A (collectively, "the inventions").

Whereas, **Weidong Xiao, Matthew During** and **Lei Cao** are the inventors of the two inventions listed on attached Schedule A (U.S. Patent No. 6,329,181 and Application No. PCT/US01/24564); and

Whereas, an assignment document was erroneously executed by **Weidong Xiao** on August 18, 2000, **Matthew During** on August 14, 2000 and **Lei Cao** on August 18, 2000 and recorded with the U.S. Patent and Trademark Office on October 5, 2001 (Reel 012210; Frame 0966) purporting to transfer the full and sole ownership of the invention (U.S. Patent No. 6,329,181 and foreign counterparts) listed on Schedule A from **Weidong Xiao, Matthew During** and **Lei Cao** to **Neurologix, Inc.**; and

Whereas, the parties wish to clarify the actual state of ownership and correct the records of the U.S. Patent and Trademark Office;

Therefore, it is agreed:

To the extent that the assignment document dated October 5, 2001, and recorded with the U.S. Patent and Trademark Office at Reel 012210, Frame 0966, purports to grant full ownership in the inventions listed on Schedule A to **Neurologix, Inc.**, the parties rescind said document;

It is the parties' intent and desire that ownership in the inventions listed on Schedule A be held solely by **Thomas Jefferson University**, with Thomas Jefferson University holding a full, undivided interest in the inventions; and

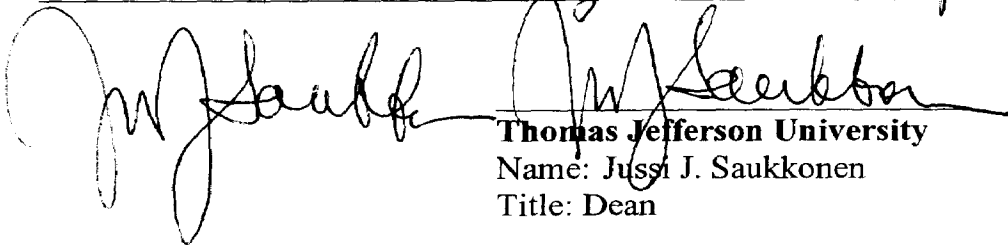
Weidong Xiao, Matthew During and **Lei Cao** hereby confirm, for themselves, their successors and assigns, in consideration of One Dollar and other valuable consideration paid to them by **Thomas Jefferson University**, the receipt of which is hereby acknowledged, that they have sold, assigned and transferred unto said **Thomas Jefferson University** an undivided interest for the United States of America in certain inventions or improvements listed on attached Schedule A and for which applications have been filed in the United States Patent and Trademark Office, and in all Letters Patent(s) of the United States which may, shall be, or have been granted on said inventions or improvements, or any parts thereof, or on said application(s) or patent(s), or any divisional, continuing, reissue or other application(s) based in whole or in part thereon.

The parties further agree to execute all application(s), amended specification(s), deed(s) or other instrument(s), and to do all acts necessary or proper to secure the grant of Letters Patent(s) in the United States to **Thomas Jefferson University**, jointly with specifications and claims in such form as shall vest and confirm in **Thomas Jefferson University**, or their successors and assigns, the joint legal title to all such patent(s).

And the parties do hereby authorize and request the Commissioner of Patents and Trademarks of the United States to issue such Letters Patent(s) as shall be granted upon said application or applications based thereon to said **Thomas Jefferson University**.

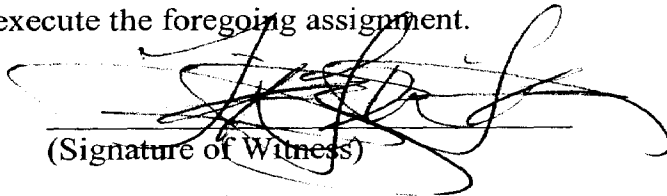
WITNESS the parties by their hands. The effective date of this agreement shall be the date of the last party to sign this agreement.

SIGNED at Thomas Jefferson University, this 7th day of April, 2003.


Thomas Jefferson University
Name: Jussi J. Saukkonen
Title: Dean

DECLARATION OF WITNESS

I, Lisa Lau, hereby declare that I was personally present and did see Jussi J. Saukkonen duly sign and execute the foregoing assignment.


(Signature of Witness)

Lisa Lau
Name
Office of Technology Transfer
Thomas Jefferson University
Address 1020 Locust Street
Philadelphia, PA 19107

SIGNED at Philadelphia, this 4th day of June, 2003.

Weidong Xiao
Weidong Xiao

DECLARATION OF WITNESS

I, Rodney M. Camire, hereby declare that I was personally present and did see Weidong Xiao duly sign and execute the foregoing assignment.

Rodney M. Camire
(Signature of Witness)

Rodney M. Camire
Name

39 Breakly Dr.
Address
Sicklerville, NJ 08081

SIGNED at 1512 Spruce St, Philadelphia, PA, this 15th day of March, 2003.
Matthew J. During
Matthew J. During

DECLARATION OF WITNESS

I, Susan Lee, hereby declare that I was personally present and did see Matthew J. During duly sign and execute the foregoing assignment.

Susan Lee
(Signature of Witness)

Susan Lee
Name

1512 Spruce St Philadelphia PA 19102
Address

SIGNED at Thomas Jefferson University, this 17th day of March, 2003.

Lei Cao
Lei Cao

DECLARATION OF WITNESS

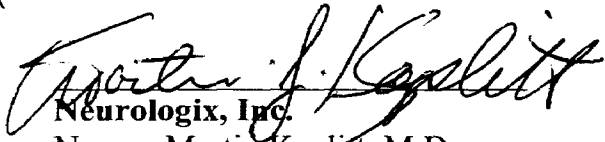
I, Michael Oshinsky, hereby declare that I was personally present and did see Lei Cao duly sign and execute the foregoing assignment.

Michael Oshinsky
(Signature of Witness)

Michael Oshinsky
Name

1429 Faunce St, Phila, PA 19111
Address

SIGNED at 277 Northern Blvd, this 24 day of March, 2003.
Great Neck, NY 11051


Neurologix, Inc.
Name: Martin Kaplitt, M.D.
Title: President

DECLARATION OF WITNESS

I, Leslie Bucalo, hereby declare that I was personally present and did see Martin Kaplitt duly sign and execute the foregoing assignment.

Leslie Bucalo
(Signature of Witness)

Leslie Bucalo
Name

277 Northern Blvd
Address Great Neck, NY 11051

SCHEDULE A

I. United States Issued Patent(s)

- A. 6,329,181, entitled "Helper Functions for Recombinant Vector Production"
Issued on December 11, 2001
Serial No. 09/633,566
Inventor(s) Weidong Xiao, Matthew J. During, and Lei Cao

II. Foreign Patent Application(s)

- A. Application No. PCT/US01/24564, entitled "Novel Helper Functions for Recombinant Vector Production"
Filed August 6, 2001
Inventor(s) Weidong Xiao, Matthew J. During, and Lei Cao

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